

Subject: RE: Gratitude America, Ltd & Mort Inc
From: Vijay-A Sawant [REDACTED]
Date: Thu, 23 Aug 2018 08:30:26 -0400
To: Bradley Gillin [REDACTED]
Cc: Stewart Oldfield <[REDACTED]>,
Richard Iarossi [REDACTED],
Mayur Rathod [REDACTED]

Hi Brad,

Understood, we have already launched KYC Case#01977700 for this account and also for acct Mort, Inc (GCIS#487266) in this case. Based on latest review, we confirm the following items required for these entities -

Either SOS or COGS for Gratitude America Ltd dated in last 6 months.
For Gratitude America Ltd - Please note Erika Kellerhals is listed as a Secretary in the Not for Profit Resolution (dtd 02/11/2015), attached for reference. However, she is missing from the Signature card (dtd 02/24/2015). So we are using the latest doc (signature card) to verify & confirm that she should NOT be added as an RP. Note this concurs with the last approved KYC#01790739. Let us know in case you disagree.
SOS & COGS for Mort Inc dated in last 6 months
For Mort Inc – Confirmation on whether Richard Kahn needs to be added as an RP or not? He's a director in board minutes (dtd 04/12/2011) but missing from Signature card dated 2015. (both attached for your reference). As per these docs, we are adding him as an RP in Director role in this entity only. Please advise in case you disagree. Note this does NOT match with the previously approved KYC (01790820), but we have document evidence to justify this approach.

Kindly provide the above docs when available so we can submit this case for approvals, thanks.

Kind Regards,

{cid:1__=C7BBF707DF9B04F18f9e8a93df9386909@db.com}

Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
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Goregaon East, Mumbai - 400063 , India



From: Bradley Gillin
Sent: Wednesday, August 22, 2018 2:29 AM
To: Vijay-A Sawant <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>; Richard Iarossi
<[REDACTED]>
Subject: Gratitude America, Ltd [I]
Importance: High

Classification: For internal use only

Vijay,

This entity is on your high risk list for the Southern Financial/Jeffery Epstein relationship. They would like to open a new DB AG Checking account, unfortunately the most recent approved KYC is over a year old (8/16/2017).

Please put this entity ahead of the other Southern Financial accounts. I believe all you need is the COGS (within 6 months) - this was requested from the client today.

Signature verified FinCEN form is attached.

Thanks.

Regards,

Brad

Bradley Gillin
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