Subject: 2018 Periodic Review of Accts NES, LLC (GCIS#487265), Plan D, LLC (GCIS#487219), The Haze Trust (GCIS#486428) & The 2007 Jeffrey E. Epstein Insurance Trust#3 (GCIS#487199) under the SOUTHERN FINANCIAL RELATIONSHIP
From: Vijay-A Sawant <[redacted]>
Date: Mon, 13 Aug 2018 09:04:41 -0400
To: Bradley Gillin <[redacted]>,
Stewart Oldfield <[redacted]>
Cc: Alka Babu <alka.babu@db.com>

Hello Brad/Stewart,

This review relates to above high risked accounts which are due for remediation in Aug & Sept 2018.

Upon review of all docs from the repositories and attachments, we have completed attached checklists for which please see assessment below –

Acct Holder – NES, LLC

Sole Member, Sole Shareholder & Authorized Signatory – Jeffrey E. Epstein

Authorized Signatory - Darren Indyke

Authorized Signatory – Harry Beller

Kindly confirm if there are any material changes in this account?

Please note there is some discrepancy in the Corporate Resolutions available for this account, attached both docs (titled “Resolution 2014-1” and “Resolution 2014”). In one of the docs, Harry Beller is missing and included in the other. Unfortunately, the doc in which he is included does not have the 1st page and we are unable to link this doc to this entity even though it was signed on the same date & by same party. The evidence for this was also found in the last approved KYC#01790739 where Mr Baller IS included as an authorized signatory. Kindly advise if ok to include him in the new case as well?

Finally Fincen CDD form is required for this account, so kindly forward the signed doc, when available.

Acct Holder – Plan D, LLC

Sole Member, Sole Shareholder & Authorized Signatory - Jeffrey E. Epstein

Authorized Signatory - Darren Indyke

Authorized Signatory – Harry Beller

Kindly confirm if there are any material changes in this account?

In this account, please note that the latest Corporate Resolution (signed in 2014 & attached in this email) does not reflect Harry Baller, however from the last approved KYCPrint (from 2017), Harry is included as an authorized signer. Kindly confirm if ok to exclude Harry from the latest case as per the Corp Resolution from 2014?

Finally Fincen CDD form is required for this account, so kindly forward the signed doc, when available.

Acct Holder – The Haze Trust

Grantor, Trustee & UBO – Jeffrey E. Epstein

Trustee & Authorized Signer – Darren K. Indyke

Kindly confirm if there are any material changes in this account? If yes, updated id docs will be required for all RP’s in this account since existing ID’s have expired.

Finally Fincen CDD form is required for this account, so kindly forward the signed doc, when available.

Acct Holder – The 2007 Jeffrey E Epstein Insurance Trust#3

Grantor – Jeffrey E. Epstein

Trustee & Authorized Signatory – Darren Indyke

Trustee & Authorized Signatory – Richard Kahn

UBO – [redacted]

Kindly confirm if there are any material changes to this account?

Please note we do not have any doc for address verifying UBO [redacted] address. Could you provide the same, please? Additionally, could you provide a clearer copy of her passport please? Unable to see the DOB on the attached passport copy of Celina.

Finally we require Fincen CDD form required for this account as well.

I look forward to your feedback before launching KYC's for the above accounts. Let me know if any queries, thanks.

Kind Regards,

{cid:1__=C7BBF707DF9B04F18f9e8a93df9386909@db.com}

Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063 , India
Tel. [redacted]

Mobile +[redacted]

[redacted]