

NAME SEARCHED: Plan D, LLC

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches

on your subject name(s) in the required databases and have attached the search results under the correct heading below.

Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:

RDC

Hit

No Hit

FIRCOSOFT

Hit

Yes

BIS

IV. Non-Negative Media

Not Required

V. Other Language Media

Results? Yes

D&B

Not Required

SMARTLINX

COURT CASES

Results?

Yes

Not Required

Required

Review by Legal May be

Search not required

Prepared by: Priyanka Sharma Date: 10/01/2018

No Results

No

VII. Smartlinx

No Information Found

VIII. Court Cases

Research Analyst

Instructions:

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: <http://www.martindale.com/xp/-Martindale/home.xml>
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC. Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

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No Information Found

No

VI. D&B

No Information Found

Information Found

(Please See Attached)

No Information Found

No

III. Negative Media

Not Required

II. FIRCOSOFT Results

No FIRCOSOFT Alert

No Information Found

(Please See Attached)

Result:

No Hit

Not Required

I. RDC Results

[Click here for results:](#)

Reviewer Comments (as necessary):

No RDC Alert

(Please See Attached)

Page 2

OFAC RESULTS

RDC:

Inquiry Id Response Type

Tracking Id

11641150 No Match Found KYC 01977694

Plan D, LLC

FIRCOSOFT:

30052200 WR_300522002182

BIS RESULTS:

Negative Media:

No Information Found

Non-Negative Media:

No Information Found

Other Language Media:

No Information Found

D&B:

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Worldbase

March 7, 2018

PLAN D LLC

6100 RED HOOK QTRS

(registered address)

ST THOMAS, VI 008021348

USA

COUNTY: SAINT THOMAS

懺劔勅 櫛券勝卂勅券溧劔 勳箭卂 劔券劔鍵

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Name

Address

Country:VIRGIN ISLANDS,

U.S.

Date of

Birth/Age

Company Plan D, LLC No Alert No Alert No Alert No Alert

Page 2

REGION: NORTH AMERICA

* * * * * COMMUNICATIONS * * * * *

TELEPHONE: 2129711314

COUNTRY CODE: 0001

CABLE TELEX: 1 NMNNANP

* * * * * COMPANY IDENTIFIERS * * * * *

DUNS: 08-111-5986

* * * * * COMPANY INFORMATION * * * * *

FOUNDED: 2018

LEGAL STATUS: Proprietorship

EMPLOYEES HERE: 2 - Estimate

EMPLOYEES TOTAL: 2 - Estimate

COMPANY TYPE: Private

* * * * * DESCRIPTION * * * * *

EQPT RNTL LSING N

* * * * * MARKET AND INDUSTRY * * * * *

SIC CODES:

7359 - Equipment rental/leasing

\$53,460 (US Dollars)

Public Record:

1 OF 1 RECORD(S)

SmartLinx ® Comprehensive Business Report

Report Created:10-01-2018 1:13 PM EST | FOR INFORMATIONAL PURPOSES ONLY |

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Search Terms - company(Plan D, LLC) radius(15)

Executives - Current (0)

MVRs - Current (0)

Licenses (0)

Aircraft - Current (0)

UCC Filings (1)

Possible Employees (0)

Possible Connected

Business (0)

View All Sources (4)

Business Summary

Name

PLAN D LLC

LexID

0001-1416-9672

Address

2629 Sudderth Dr

Ruidoso, NM 88345-6302

Lincoln County

Established

2013

(5 Years in Business)

懺剋勅 櫛券勝卍勅券溧刳 勳筋卍 剋券刳鍵

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TIN

Phone
Incorporation/SOS (1)
URLs (0)
MVRs - Prior (0)
Aircraft - Prior (0)
Executives - Prior (1)
Person Associates (0)
Parent Company (0)
Operations/Sites (1)
Sales (0)
Real Property - Current (0) Real Property - Prior (0)
Watercraft - Current (0)
Bankruptcy Filings (0)
Registered Agents (0)
Business Associates (2)
Industry Information (1)
Watercraft - Prior (0)
Judgments & Liens Filings (0)
Name Variations (2)
TINs (0)

Page 3

At a Glance

Real Property

Personal Property

Secured Assets

Executives

NAME

0

0

0

0

UCC Debtor

Bankruptcy

Judgments/Liens

Foreclosure/Notice of Default

Name Variations - 2 name variations found

NO.

1.

2.

PLAN D LLC

PLAN D, LLC

TINs - 0 TINs found

Business Profile

Executives: Current - 0 executive(s) found

Incorporation/SOS (0 active, 1 other)

NO.

NAME

1.

PLAN D LLC

Additional Details

Business Status: N/A

Filing Type: SOS Filing

Expiration: 11/20/2008

For Profit: Unknown

Origin: Other Business Filing

Operating Locations - Showing 1 location(s)

NO.

ADDRESS

1.

2629 Sudderth Dr

Ruidoso, NM 88345-6302

Lincoln County

Sales - 0 record(s) found

Parent Company - 0 record(s) found

Industry Information

SIC

7299

Miscellaneous Personal Services,

Nec

Industry Description: SERVICES

Business Description:

Licenses - 0 licenses found
URLs - 0 URLs found
Bankruptcy (0 active, 0 closed)
Judgments/Liens (0 filings)
懺劊勅 櫛券勝卍勅券溧劊 勳筭卍 劊券劊鍵
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NAIC
541990
812990
All Other Professional, Scientific,
and Technical Services
All Other Personal Services
METRO AREA
LINCOLN COUNTY
PHONE
FILING TYPE
STATUS
N/A
FILING
DATE
FILING NO.
10/20/2006 2793446
STATE
1
0
0
0

Page 4

UCC Filings (1 debtor, 0 creditor)

NO. ROLE STATUS

1.

Debtor

Active

ORIG.

FILING

DATE

05/23/2013

ORIG. FILE

NUMBER

Filing Office Information

SECRETARY OF STATE/OPERATIONS BUREAU/UCC DIVISION

325 Don Gaspar St Ste 300

Santa Fe, NM 87501

Filing 2

Filing Number: 20179730469G

Filing Date: 10/25/2017

Filing Type: AMENDMENT

Expiration: 05/23/2018

Debtor 1

DREAM CATCHER CAFE

2629 Sudderth Dr

Ruidoso, NM 88345-6302

Secured Party Info 1

MERCHANT CAPITAL SOURCE LLC

2120 Main St Ste 220

Huntington Beach, CA 92648-7454

Filing 1

Filing Number: 20130008935B

Filing Date: 05/23/2013

Filing Type: INITIAL FILING

Expiration: 05/23/2018

Debtor 1

DREAM CATCHER CAFE

2629 Sudderth Dr

Ruidoso, NM 88345-6302

Secured Party Info 1

MERCHANT CAPITAL SOURCE LLC

2120 Main St Ste 220

Huntington Beach, CA 92648-7454

Collateral

RECEIVABLE OF CONTRACT

Real Property (0 current, 0 prior)

Personal Property (0 current, 0 prior)

Associates

備劃勅 櫛券勝卂勅券溧划 勳箭卂 劃券划鍵

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JURISDICTI

ON

20130008935B NM
FILE TYPE
Amendment

Page 5

Executives: Prior - 1 prior executive(s) found

NO.

NAME

1.

Crawford, Lynn

Moderate Risk

Associated with Other Companies

TITLE

PRESIDENT

Registered Agents - 0 registered agent(s) found

Possible Employees - 0 current, 0 prior employees found

Person Associates - 0 other person associates found

Possible Connected Business - 0 businesses found

Business Associates - 2 business associates found

NO.

1.

NAME

DREAM CATCHER CAFE

2.

MERCHANT CAPITAL SOURCE LLC

Sources

All Sources

UCC

Other Directories

Experian

Key:

High Risk Indicator. These symbols may prompt you to investigate further.

Moderate Risk Indicator. These symbols may prompt you to investigate further.

General Information Indicator. These symbols inform you that additional information is provided.

The most recent telephone listing as reported by Electronic Directory Assistance.

Wireless Phone Indicator. These symbols indicate a cell phone number.

Residential Phone Indicator. These symbols indicate a residential phone number.

Business Phone Indicator. These symbols indicate a business phone number.

Shared Phone Indicator. These symbols indicate the phone number may be shared between wireless and landline service.

FAX Indicator. These symbols indicate a FAX number.

Government Phone Indicator. These symbols indicate a government phone number.

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record. Certified copies may be obtained from that individual state's Department of State.

Your DPPA Permissible Use is: Debt Recovery/Fraud

Your GLBA Permissible Use is: Legal Compliance

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ADDRESS

2629 Sudderth Dr

Ruidoso, NM 88345-6302

Lincoln County

2120 Main St Ste 220

Huntington Beach, CA 92648-7454

Orange County

4 Source Documents

1 Source Documents

2 Source Documents

1 Source Documents

ROLE

UCC

UCC

LEGAL RESULTS:

Court Cases:

No Information Found

儼劄勅 櫛券勝刊勅券溙刼 勳箭刊 劄券刼鍵

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