

Subject: RE: N4G-026161 - Southern Financial [C]  
From: Davide-A Sferrazza [REDACTED]  
Date: Thu, 22 Feb 2018 13:31:05 -0500  
To: Cynthia Rodriguez [REDACTED]

Classification: Confidential

I should have access to anything that is tagged as KCP Eligible, but for some reason I can't see KYC docs for Southern Financial.

Are the PCR and RDC docs on there as well?

Thanks,  
Davide

From: Cynthia Rodriguez  
Sent: Thursday, February 22, 2018 1:26 PM  
To: Davide-A Sferrazza [REDACTED]  
Subject: RE: N4G-026161 - Southern Financial [C]

Classification: Confidential

Hi Davide,

Do you have DB Force?

Kind regards,  
Cynthia Rodriguez

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{cid:image001.png@01D0778D.2D49CDD0}

Cynthia Rodriguez  
Assistant Vice President

Deutsche Bank Trust Company Americas  
Deutsche Bank Wealth Management  
345 Park Avenue, 24th Fl 10154-0004 New York, NY, USA



From: Davide-A Sferrazza  
Sent: Thursday, February 22, 2018 1:19 PM  
To: Cynthia Rodriguez < >  
Subject: RE: N4G-026161 - Southern Financial [C]

Classification: Confidential

Hi Cynthia,

We are being asked for some documentation for Southern Financial LLC's OTC collateral set up, as follows:

- KYC (we have this from your email below)
- PCR – “Preventative Crime Research”
- RDC – “Regulatory Data Corp”

I am not familiar with PCR and RDC – is this something that you are familiar with/have access to?

Happy to hop on the phone to discuss!

Thank you,

Davide

From: Cynthia Rodriguez  
Sent: Thursday, January 11, 2018 4:08 PM  
To: Stewart Oldfield <[REDACTED]>; Zbynek Kozelsky  
[REDACTED]  
Cc: Liam Osullivan [REDACTED] Davide-A Sferrazza [REDACTED]  
[REDACTED] Joshua Shoshan [REDACTED]  
Subject: RE: N4G-026161 - Southern Financial [I]

Classification: For internal use only

Hi Ziggy,

See attached. Thanks.

Kind regards,

Cynthia Rodriguez

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{cid:image001.png@01D0778D.2D49CDD0}

Cynthia Rodriguez  
Assistant Vice President

Deutsche Bank Trust Company Americas  
Deutsche Bank Wealth Management  
345 Park Avenue, 24th Fl 10154-0004 New York, NY, USA  
[REDACTED]

From: Stewart Oldfield  
Sent: Thursday, January 11, 2018 4:05 PM  
To: Zbynek Kozelsky [REDACTED] Cynthia Rodriguez  
<[REDACTED]>  
Cc: Liam Osullivan [REDACTED]; Davide-A Sferrazza [REDACTED]  
[REDACTED] Joshua Shoshan [REDACTED]  
Subject: RE: N4G-026161 - Southern Financial

Copying Cynthia. Thanks

From: Zbynek Kozelsky  
Sent: Thursday, January 11, 2018 3:41 PM  
To: Stewart Oldfield <[REDACTED]>  
Cc: Liam Osullivan [REDACTED] Davide-A Sferrazza [REDACTED]  
[REDACTED] Joshua Shoshan [REDACTED] Zbynek Kozelsky  
[REDACTED]  
Subject: N4G-026161 - Southern Financial

Hi Stew,

The above account is collateral one and DBOI is setting it up to feed into the "LS2" system (I am told it tracks loans/collateral).

For them to set that up they need the PB KYC – please send that to me.

Thanks!

Ziggy

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Zbynek "Ziggy" Kozelsky  
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