

Subject: FW: Red List [SC] urgent
From: Nina Tona <[REDACTED]>
Date: Tue, 23 Oct 2018 14:59:24 -0400
To: Davide-A Sferrazza <[REDACTED]>

Hi can you please review the attached and let me know if we need to add more cleints so they wont be on the red list.

{https://brandportal.intranet.db.com/img/modules/-DAWM_logo_metallic_46x46.gif}

Nina Tona

Associate

Business Control Manager, Key Client Partners – Americas

Deutsche Bank Wealth Management

Tel. [REDACTED]
Email [REDACTED]

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From: Tanushree Kirti
Sent: Monday, October 22, 2018 2:19 PM
To: Nina Tona <[REDACTED]>
Subject: RE: Red List [SC]

Classification: Strictly Confidential

Nina – is the below acknowledged and can you pls close this with the latest ICG dependent list and Xavi's approval on the Red/Amber cases not on the 52k offboarding list. I would like to hand this over to Vicky Bruce to manage with Iain Macara and co.

Best,
Tanu

{cid:image001.gif@01D4138A.5BCB6D20}

Tanu Kirti
Director

WM Mercury Implementation Programme Manager

Tel. [REDACTED]
Mobile + [REDACTED]
Email [REDACTED]

"WM Mercury on myDB"

From: Tanushree Kirti
Sent: 19 October 2018 13:39
To: Nina Tona <[REDACTED]>
Subject: RE: Red List [SC]

Classification: Strictly Confidential

Hi Nina,

I asked for confirmation on the attached email that I sent to you and separately you had said you will dig out Xavi's email for the red list client approvals.

Best,
Tanu

{cid:image001.gif@01D4138A.5BCB6D20}

Tanu Kirti
Director

WM Mercury Implementation Programme Manager

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Email [REDACTED]

"WM Mercury on myDB"

From: Nina Tona
Sent: 19 October 2018 13:33
To: Tanushree Kirti <[REDACTED]>
Subject: Red List

Hi Tanu,

Hope all is well.

I was made aware that the red list where we need help from ICG to execute trades its being held up by the US. I have provided this list a while back and from our conversation a week or so ago I recall you saying that you were going to send me the list you had to I can review and see if I need to add anymore. Please advise the next step.

Thanks

Kind regards,

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Nina Tona

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