

Subject: RE: Southern Financial - KYC Docs. [C]  
From: FATCA Outreach <[REDACTED]>  
Date: Wed, 11 Apr 2018 04:23:43 -0400  
To: Zack Bunimovich <[REDACTED]>,  
Martin Zeman <[REDACTED]>,  
Nina Tona <[REDACTED]>,  
Anthony Lentini <[REDACTED]>  
Cc: Oliver Griffin <[REDACTED]>,  
Amar Ubhi <[REDACTED]>

Classification: Confidential

Hi All,

I believe this query lies with my colleagues Oliver and Amar, CRS Team Leads. Cc'd.

Best,

{cid:image002.png@01D2A168.336F5250}

FATCA Outreach Team

Client Data Services | C00 Global Markets Operations

Deutsche Bank Birmingham  
Five Brindleyplace, B1 2JB Birmingham, United Kingdom  
Email [REDACTED]

From: Zack Bunimovich  
Sent: 10 April 2018 19:14  
To: Martin Zeman <[REDACTED]>; Nina Tona <[REDACTED]>; FATCA Outreach <[REDACTED]>; Anthony Lentini <[REDACTED]>  
Subject: RE: Southern Financial - KYC Docs. [C]

Classification: Confidential

EFTA01406772

Hi FATCA Outreach team,

We are currently in the middle of a swaps extension for Southern Financial and the KYC team is asking for a CRS Self Cert per their usual process. Can you please advise why you stated this was not necessary within the attached emails?

Thanks,

Zack

From: Martin Zeman  
Sent: Tuesday, April 10, 2018 1:28 PM  
To: Zack Bunimovich <[REDACTED]>; Nina Tona <[REDACTED]>  
Subject: RE: Southern Financial - KYC Docs. [I]

Classification: For internal use only

Zack

See the attached email chain where we established that a FATCA form was not needed.

Martin

From: Zack Bunimovich  
Sent: Monday, April 09, 2018 11:47 AM  
To: Martin Zeman <[REDACTED]>; Nina Tona <[REDACTED]>  
Subject: FW: Southern Financial - KYC Docs. [I]

Classification: For internal use only

Hey Martin / Nina,

Southern Financial is with Verification but they noticed that we do not have a CRS Self Certification form on file anymore from previous onboardings. We are usually looking for something similar to the attached format, but it's possible that the client has provided this before and has their own template. Can this be requested from the client?

Thanks,

Zack

From: Anthony Lentini  
Sent: Monday, April 09, 2018 10:51 AM  
To: Zack Bunimovich <[REDACTED]>  
Subject: Southern Financial - KYC Docs. [I]

Classification: For internal use only

Hi Zack,

An update on Southern Financial, it is with Verification and they are reviewing right now. While they were going through their review they noticed that the CRS Self Cert file from a previous onboarding is no longer on file/- in the system.

Could you please send over a new CRS Self Cert so they can proceed with their review?

Apologies for the inconvenience.

Kind Regards,

{cid:1\_\_=0FBBF1B8DFF0A9818f9e8a93df9386909@db.com}

Anthony Lentini

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