

Subject: RE: URGENT - SECOND REQUEST - RE: Inquiry Regarding Account  
42953715, Client: HBRK ASSOCIATES, INC; Case No. 141374 - DUE MAY 5 [I]  
From: Cynthia Rodriguez <[REDACTED]>  
Date: Wed, 10 May 2017 10:35:40 -0400  
To: Stewart Oldfield <[REDACTED]>,  
Danya Friedman <[REDACTED]>  
Cc: Amlcompliance Inquiries <[REDACTED]>,  
Andrew Gallivan <[REDACTED]>

Classification: For internal use only

Hi Danya,

The client has been out of town as well. We will follow up today and revert  
back as soon as the client provides the necessary details. Thanks.

Kind regards,

Cynthia Rodriguez

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{cid:image001.png@01D0778D.2D49CDD0}

Cynthia Rodriguez  
Assistant Vice President

Deutsche Bank Trust Company Americas  
Deutsche Bank Wealth Management  
345 Park Avenue, 24th Fl 10154-0004 New York, NY, USA  
Tel. [REDACTED]  
Fax [REDACTED]  
Email [REDACTED]

From: Stewart Oldfield  
Sent: Wednesday, May 10, 2017 10:35 AM  
To: Danya Friedman  
Cc: Amlcompliance Inquiries; Andrew Gallivan; Cynthia Rodriguez  
Subject: RE: URGENT - SECOND REQUEST - RE: Inquiry Regarding Account

EFTA01407076

42953715, Client: HBRK ASSOCIATES, INC; Case No. 141374 - DUE MAY 5 [I]

Classification: For internal use only

Sorry, I have been out of the office. Will follow up with the client today. Thanks

From: Danya Friedman  
Sent: Friday, May 05, 2017 7:41 AM  
To: Stewart Oldfield  
Cc: Amlcompliance Inquiries; Andrew Gallivan  
Subject: URGENT - SECOND REQUEST - RE: Inquiry Regarding Account [REDACTED],  
Client: HBRK ASSOCIATES, INC; Case No. 141374 - DUE MAY 5 [I]  
Importance: High

Classification: For internal use only

Good Morning:

I am writing to follow up on the request below. Please note that the deadline for a response has passed. Please respond with an answer to the inquiry or a status update as soon as possible.

Best Regards/Mit freundlichen Grüßen,

PWM AML Compliance  
Deutsche Bank  
60 Wall St., 23 Floor  
New York, NY 10005 - 2836  
[REDACTED]

Fax: [REDACTED]

From: Danya Friedman On Behalf Of Amlcompliance Inquiries  
Sent: Friday, April 28, 2017 1:57 PM  
To: Stewart Oldfield <[REDACTED]>  
Cc: Amlcompliance Inquiries <[REDACTED]>  
Subject: Inquiry Regarding Account 42953715, Client: HBRK ASSOCIATES, INC;

EFTA01407077

Case No. 141374 - DUE MAY 5 [I]  
Importance: High

Classification: For internal use only

Good Afternoon:

The above referenced account has had the following activity. To assist in this review and further document your supervision of this account, please provide information regarding the following transactions. Your complete response must be received within 5 business days.

3/16/2017, \$40,000.00, ACHRAYUT LEUMIT LTD at BANK LEUMI LE ISRAEL B.M.

1. Please explain the purpose of this Outgoing Wire.
2. Please explain the relationship between the Client and Counterparty.
3. Please explain the business activities of the Counterparty.

Please provide any additional pertinent information.

Thank you in advance for your prompt attention to this matter.

Best Regards/Mit freundlichen Grüßen,

PWM AML Compliance  
Deutsche Bank  
60 Wall St., 23 Floor  
New York, NY 10005 - 2836  
[REDACTED]

EFTA01407078

Fax: [REDACTED]

PLEASE NOTE: YOU ARE BEING CONTACTED BECAUSE YOU ARE LISTED AS THE PRIMARY OFFICER FOR THIS ACCOUNT ON GCIS. IF YOU ARE NOT THE CURRENT PRIMARY OFFICER, PLEASE LET ME KNOW IMMEDIATELY, SO THAT I MAY FORWARD THIS EMAIL TO THE APPROPRIATE PARTY.

Do not forward this e-mail or any attachment directly to the client.