

NAME SEARCHED: [REDACTED]

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches

on your subject name(s) in the required databases and have attached the search results under the correct heading below.

Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search: Result:

RDC

PCR

BIS

Yes

No Hit

Hit

No Hit

Hit

Not Required

Not Required

No

Not Required

D&B

Smartlinx

Court Cases

Results?

Yes

Not Required

Results?

be Required

Yes

Not Required

Review by Legal May

No Results

Search not required

Prepared by: Prachi Pawa Date: 02/02/2017

Research Analyst

Instructions:

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: <http://www.martindale.com/xp/-Martindale/home.xml>
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC. Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

No

VII. Smartlinx

VIII. Court Cases

No

Click here for results:

I. RDC Results

II. PCR Results

III. Negative Media

IV. Non-Negative Media

Reviewer Comments (as necessary):

No RDC alert (Please see attached)

No PCR alert (Please see attached)

There was no information found

There was no information found

V. Other Language Media Not Required

VI. D&B

Not Required

Result Found(please see attached)

Result Found(please see attached)

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OFAC RESULTS

RDC:

[REDACTED] No Match Found GCIS [REDACTED]  
[REDACTED]

Country:UNITED STATES

PCR:

[REDACTED] NCA customised Auto-Closed No-Hit

02/02/2017

BIS RESULTS

Negative Media:

There was no information found

Non-Negative Media:

There was no information found

Other Language Media:

Not Required

Public Records:

1 OF 1 RECORD(S)

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Date:2/2/2017

Report processed by:

DEUTSCHE BANK AG||

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Full Name

[REDACTED]

Address

[REDACTED]

ADDITIONAL PERSONAL INFORMATION

SSN

DOB

[REDACTED]

Subject Summary

Name Variations

[REDACTED]

SSNs Summary

No. SSN

1:

[REDACTED]

DOBs

Reported DOBs:

[REDACTED]

Possible E-Mail Addresses

[REDACTED]

Others Using SSN - 1 records found

#

Full Name

1:

[REDACTED]

Address Summary - 19 records found

No. Address

1:

[REDACTED]

2:

3:

4:

5:

[REDACTED]



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State Iss.



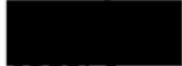
Date Iss.

Warnings

Most frequent SSN attributed to subject:

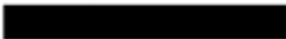


County



Gender

Phone



LexID(sm)



SSN

DOB



No. Address

7:  
8:  
9:

10:

11:

12:

13:

14:

15:

16:

17:

18:

19:

Address Details

1:

Address

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Dates

Phone



[REDACTED]  
Census Data for Geographical Region

Median Head of Household Age: [REDACTED]

Median Income: [REDACTED]

Median Home Value: [REDACTED]

Median Education: [REDACTED]

Household Members  
[REDACTED]

Other Associates  
[REDACTED]

2: [REDACTED]

Address  
[REDACTED]

Census Data for Geographical Region

Median Head of Household Age: [REDACTED]

Median Income: [REDACTED]

Median Home Value: [REDACTED]

Median Education: [REDACTED]

Household Members  
[REDACTED]

Other Associates

None Listed

3: [REDACTED]

Address  
[REDACTED]

Census Data for Geographical Region

Median Head of Household Age: [REDACTED]

Median Income: [REDACTED]

Median Home Value: [REDACTED]

Median Education: [REDACTED]

Household Members  
[REDACTED]

Other Associates  
[REDACTED]

4: [REDACTED]

Address  
[REDACTED]

Census Data for Geographical Region

Median Head of Household Age: [REDACTED]

Median Income: [REDACTED]

Median Home Value: [REDACTED]

Median Education: [REDACTED]

Household Members

[REDACTED]

Other Associates

None Listed

Dates

[REDACTED]

Phone

[REDACTED]

Dates

[REDACTED]

Phone

[REDACTED]

Dates

[REDACTED]

Phone

[REDACTED]

For internal use only

5: [REDACTED]

Address

[REDACTED]

Census Data for Geographical Region

Median Head of Household Age: [REDACTED]

Median Income: [REDACTED]

Median Home Value: [REDACTED]

Median Education: [REDACTED]

Household Members

None Listed

Other Associates

None Listed

6: [REDACTED]

Address

[REDACTED]

Census Data for Geographical Region

Median Head of Household Age: [REDACTED]

Median Income: [REDACTED]

Median Home Value: [REDACTED]

Median Education: [REDACTED]

Household Members

[REDACTED]

Other Associates

None Listed

7: [REDACTED]

Address

[REDACTED]

Census Data for Geographical Region

Median Head of Household Age: [REDACTED]

Median Income: [REDACTED]

Median Home Value: [REDACTED]

Median Education: [REDACTED]

Household Members

None Listed

Other Associates

None Listed

8: [REDACTED]

Address

[REDACTED]

Census Data for Geographical Region

Median Head of Household Age: [REDACTED]

Median Income: [REDACTED]

Median Home Value: [REDACTED]

Median Education: [REDACTED]

Household Members

None Listed

Other Associates

None Listed

Dates

[REDACTED]

Phone

Dates

[REDACTED]

Phone

[REDACTED]

Dates

[REDACTED]

Phone

Dates

[REDACTED]

Phone

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Worldbase, 11/30/2013, THE RELATED COMPANIES LP

9: [REDACTED]

Address

[REDACTED]

Census Data for Geographical Region

Median Head of Household Age: [REDACTED]

Median Income: [REDACTED]

Median Home Value: [REDACTED]

Median Education: [REDACTED]

Household Members

None Listed

Other Associates

None Listed

10: [REDACTED]

Address

[REDACTED]

Census Data for Geographical Region

Median Head of Household Age: [REDACTED]

Median Income: [REDACTED]

Median Home Value: [REDACTED]

Median Education: [REDACTED]

Household Members

None Listed

Other Associates

None Listed

11: [REDACTED]

Address

[REDACTED]

Household Members

None Listed

Other Associates

None Listed

12: [REDACTED]

Address

[REDACTED]

Census Data for Geographical Region

Median Head of Household Age: [REDACTED]

Median Income: [REDACTED]

Median Home Value: [REDACTED]

Median Education: [REDACTED]

Household Members

None Listed

Other Associates

None Listed

13: [REDACTED]

Address

[REDACTED]

Census Data for Geographical Region

For internal use only

Dates

[REDACTED]

Phone

[REDACTED]

Dates

[REDACTED]

Phone

Dates

[REDACTED]

Phone

Dates

[REDACTED]

Phone

Dates

[REDACTED]

Phone

Worldbase, 11/30/2013, THE RELATED COMPANIES LP

Median Head of Household Age: [REDACTED]

Median Income: [REDACTED]

Median Home Value: [REDACTED]

Median Education: [REDACTED]

Household Members

None Listed

Other Associates

None Listed

14: [REDACTED]

Address

Census Data for Geographical Region

Median Head of Household Age: [REDACTED]

Median Income: [REDACTED]

Median Home Value: [REDACTED]

Median Education: [REDACTED]

Household Members

None Listed

Other Associates

None Listed

15: [REDACTED]

Address

Census Data for Geographical Region

Median Head of Household Age: [REDACTED]

Median Income: [REDACTED]

Median Home Value: [REDACTED]

Median Education: [REDACTED]

Household Members

None Listed

Other Associates

None Listed

16: [REDACTED]

Address

Census Data for Geographical Region

Median Head of Household Age: [REDACTED]

Median Income: [REDACTED]

Median Home Value: [REDACTED]

Median Education: [REDACTED]

Household Members

None Listed

Other Associates

None Listed

17: [REDACTED]

Address

[REDACTED]

Census Data for Geographical Region

Median Head of Household Age: [REDACTED]

For internal use only

Dates

[REDACTED]

Phone

Dates

[REDACTED]

Phone

[REDACTED]

Dates

[REDACTED]

Phone

Dates

[REDACTED]

Phone

Worldbase, 11/30/2013, THE RELATED COMPANIES LP

Median Income: [REDACTED]

Median Home Value: [REDACTED]

Median Education: [REDACTED]

Household Members

None Listed

Other Associates

None Listed

18: [REDACTED]

Address

[REDACTED]

Census Data for Geographical Region

Median Head of Household Age: [REDACTED]

Median Income: [REDACTED]

Median Home Value: [REDACTED]

Median Education: [REDACTED]

Household Members

None Listed

Other Associates

None Listed

19: [REDACTED]

Address

[REDACTED]

Census Data for Geographical Region

Median Head of Household Age: [REDACTED]

Median Income: [REDACTED]

Median Home Value: [REDACTED]

Median Education: [REDACTED]

Household Members

None Listed

Other Associates

None Listed

Voter Registrations - 0 records found

Driver Licenses - 0 records found

Professional Licenses - 0 records found

Health Care Providers - 0 records found

Health Care Sanctions - 0 records found

Pilot Licenses - 0 records found

Sport Licenses - 0 records found

Real Property - 0 records found

Motor Vehicle Registrations - 0 records found

Boats - 0 records found

Aircraft - 0 records found

Bankruptcy Information - 0 records found

Judgments/Liens - 3 records found

1: CA Judgments and Liens Filings

Debtor Information

Debtor 1

Name:

SSN:

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Dates

Phone

Dates

Phone

Worldbase, 11/30/2013, THE RELATED COMPANIES LP

Address: [REDACTED]  
[REDACTED]

Debtor 2  
Name: [REDACTED]  
SSN: [REDACTED]  
Address: [REDACTED]  
[REDACTED]

Creditor Information  
Name: [REDACTED]

Filing Information  
Jurisdiction: CA  
Filing Date: [REDACTED]  
Eviction [REDACTED]

Filing 1  
Number: [REDACTED]  
Type: [REDACTED]  
Agency: [REDACTED]  
Agency State: [REDACTED]  
Agency County: [REDACTED]

2: CA Judgments and Liens Filings

Debtor Information  
Debtor 1  
Name: [REDACTED]  
SSN: [REDACTED]  
Address: [REDACTED]  
[REDACTED]

Debtor 2  
Name: [REDACTED]  
SSN: [REDACTED]  
Address: [REDACTED]  
[REDACTED]

Creditor Information  
Name: [REDACTED]

Filing Information  
Jurisdiction: CA  
Amount: [REDACTED]  
Filing Date: [REDACTED]  
Eviction [REDACTED]

Filing 1  
Number: [REDACTED]  
Type: CIVIL JUDGMENT  
Agency: [REDACTED]  
Agency State: [REDACTED]  
Agency County: [REDACTED]

3: CA Judgments and Liens Filings

Debtor Information

Debtor 1

Name:

SSN:

Address:

Debtor 2

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Worldbase, 11/30/2013, THE RELATED COMPANIES LP

Name: [REDACTED]

Address: [REDACTED]

Creditor Information

Name: [REDACTED]

Filing Information

Jurisdiction: CA

Amount: [REDACTED]

Original Filing Number: [REDACTED]

Filing Date: [REDACTED]

Release Date: [REDACTED]

Filing 1

Number: [REDACTED]

Type: [REDACTED]

Agency: [REDACTED]

Agency State: [REDACTED]

Agency County: [REDACTED]

UCC Liens - 0 records found

Fictitious Businesses - 0 records found

Notice Of Defaults - 0 records found

Potential Relatives - 10 records found

1st Degree: 10

No.

1.

Full Name

[REDACTED]

SSN: [REDACTED]

DOB: [REDACTED]

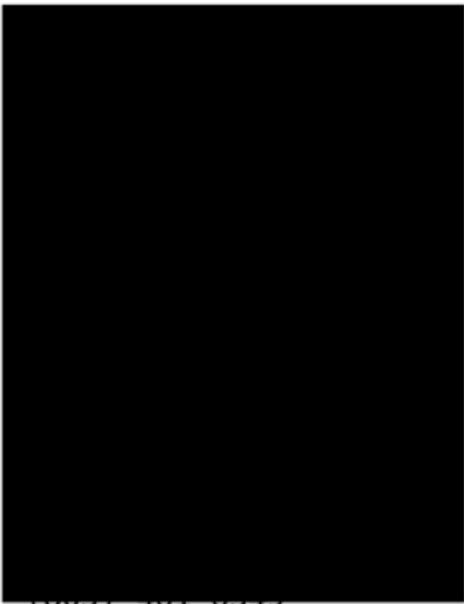
[REDACTED]

Address/Phone

[REDACTED]

2.

[REDACTED]



(805) 401-8543

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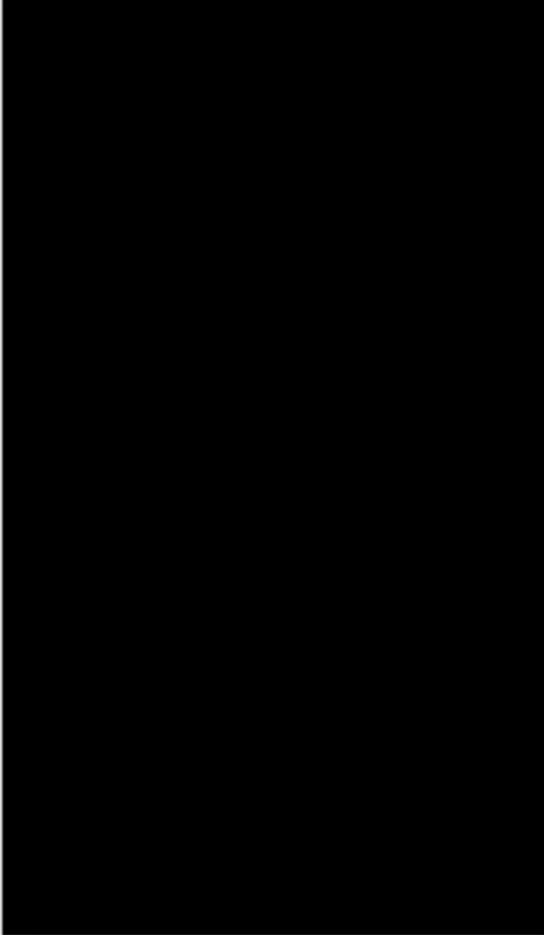
No.

Full Name

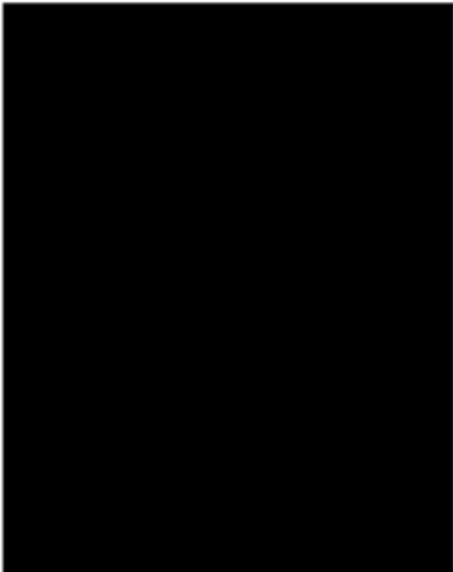
Address/Phone

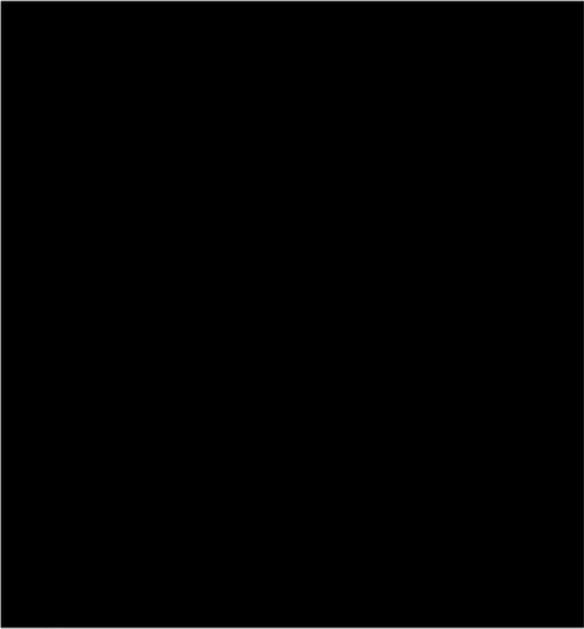


3.

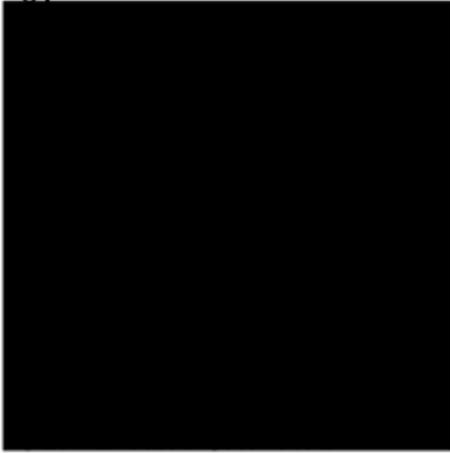


4.





6.



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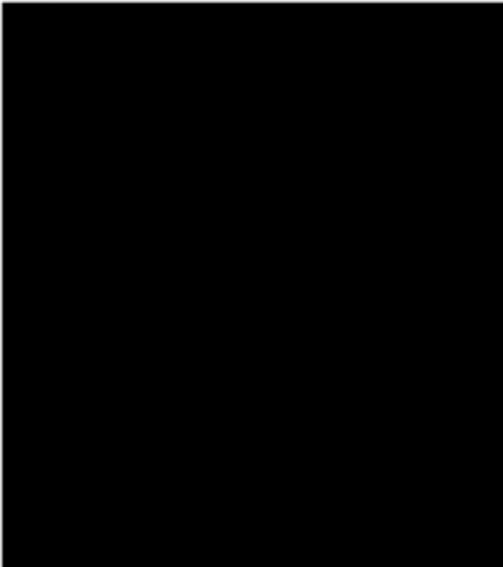
No.

Full Name

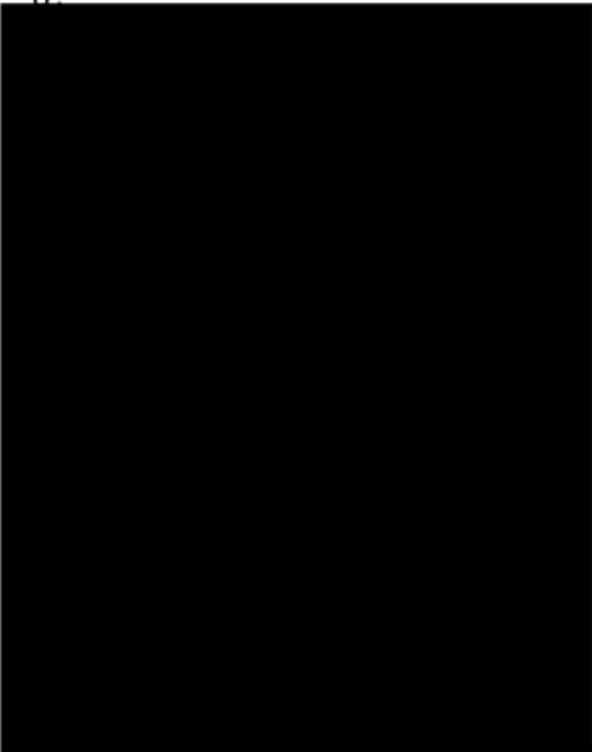
Address/Phone



7.



8.



9.



10.

[REDACTED]  
SSN: [REDACTED]

Business Associates - 0 records found

Person Associates - 9 records found

No. Full Name

Address

1: [REDACTED]  
[REDACTED]

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[REDACTED]

SSN

Phone  
[REDACTED]

DOB  
[REDACTED]

No. Full Name

Address

[REDACTED]

2: [REDACTED]

[REDACTED]

3:

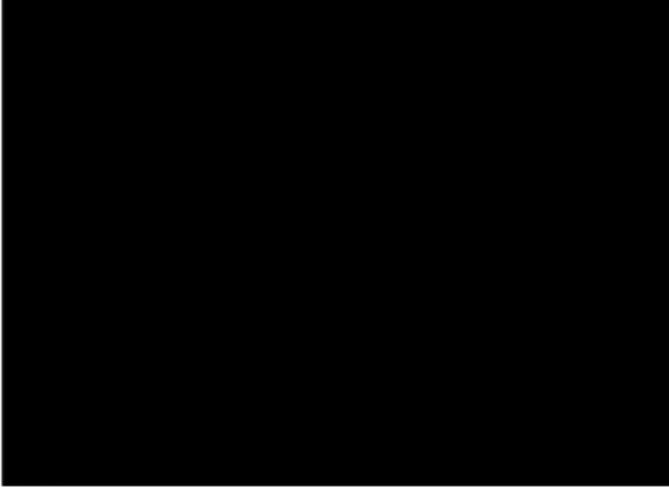
[REDACTED]

4:

[REDACTED]

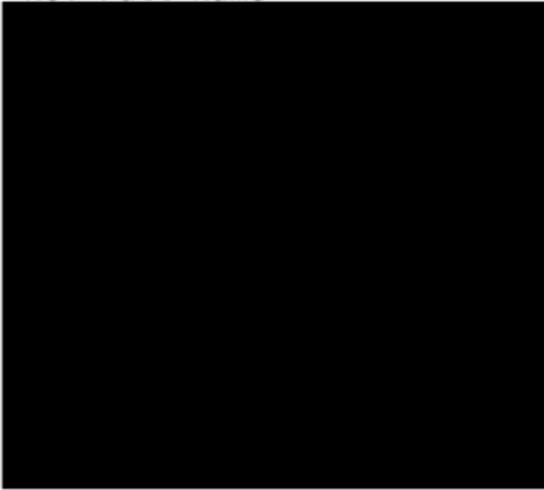


5: [Redacted]

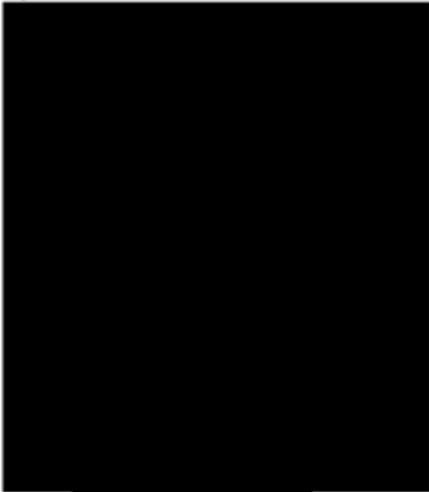


SSN  
Phone  
DOB

No. Full Name



6:



7:



8:



9:



Neighbors

- 10 records found

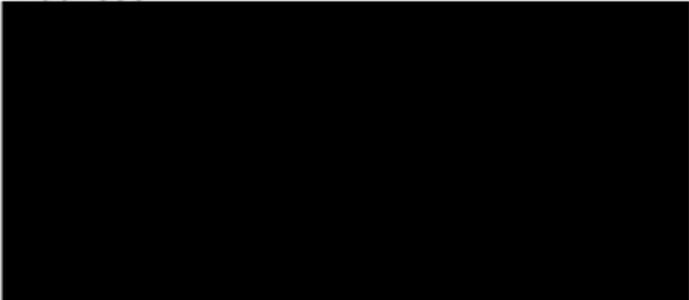


Name





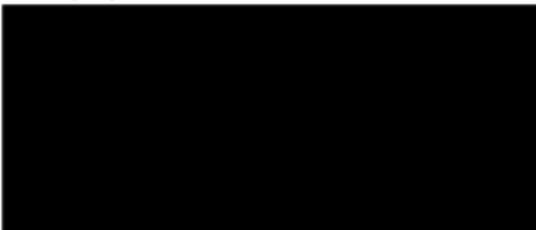
Address



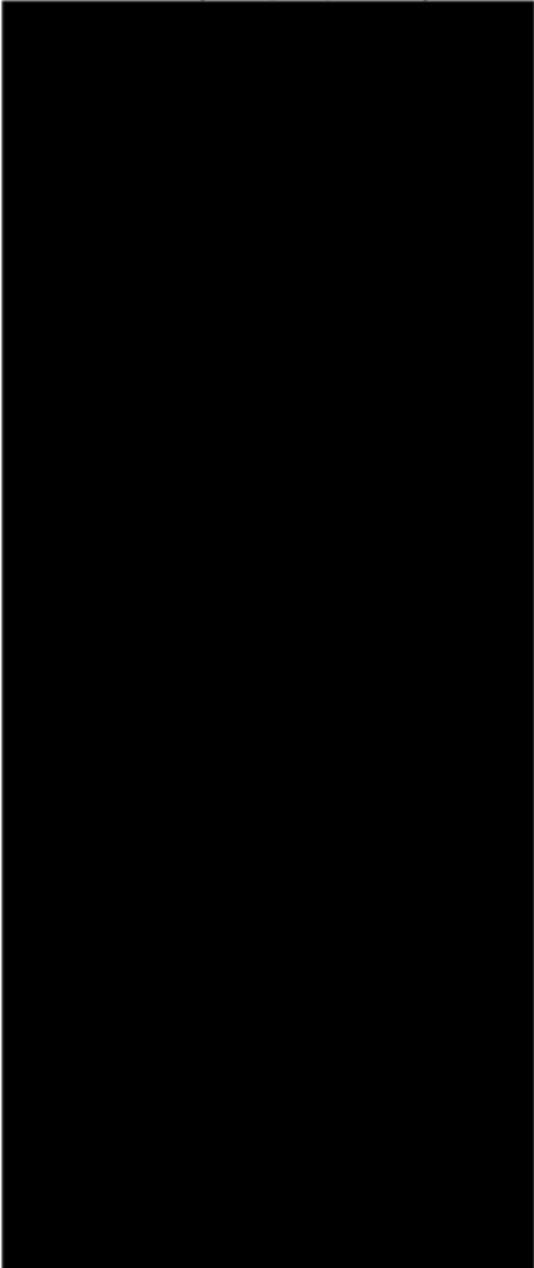
SSN  
Phone



DOB  
Phone



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Employment Locator - 0 records found  
Criminal Filings - 0 records found  
Cellular & Alternate Phones - 0 records found  
Sources - 53 records found  
All Sources  
Email addresses  
Historical Person Locator  
Liens and Judgments  
Person Locator 1  
Person Locator 2  
Person Locator 4  
Phone  
53 Source Document(s)  
6 Source Document(s)  
20 Source Document(s)

3 Source Document(s)

6 Source Document(s)

8 Source Document(s)

2 Source Document(s)

8 Source Document(s)

D&B:

Not Required

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LEGAL RESULTS:

Court Cases:

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CourtLink Corporation

UNITED STATES DISTRICT COURT

EASTERN DISTRICT OF PENNSYLVANIA

PLAINTIFF: [REDACTED]

DEFENDANT: [REDACTED];

DOCKET CASE NUMBER: [REDACTED]

OTHER DOCKET CASE NUMBER: [REDACTED]

FILING DATE: [REDACTED]

JURISDICTION: [REDACTED]

JUDGE: Honorable [REDACTED]

NATURE OF SUIT: [REDACTED]

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[REDACTED]  
FILING TYPE: CIVIL

CAUSE: [REDACTED]

JURY DEMAND: Plaintiff

PLAINTIFF ATTORNEY(S):

JUSTIN R. PARAFINCZUK [PRO HAC VICE;ATTORNEY TO]

KOCH PARAFINCZUK & WOLF

110 East Broward Blvd #1630

Fort Lauderdale, FL, USA 33301

[REDACTED] Email: [REDACTED]

MARCUS J. SUSEN [PRO HAC VICE;ATTORNEY TO]

KOCH PARAFINCZUK & WOLF

110 East Broward Blvd #1630

Fort Lauderdale, FL, USA 33301

[REDACTED] Email: [REDACTED]

JAMES J. MCELDREW, III [LEAD ATTORNEY;ATTORNEY TO]

MCELDREW LAW

123 S Broad St Suite 1920

Philadelphia, PA, USA 19109

[REDACTED] Email: [REDACTED]

THOMAS A. DINAN [LEAD ATTORNEY;ATTORNEY TO]

MCELDREW LAW LLC

123 South Broad St Suite 1920

Philadelphia, PA, USA 19109

[REDACTED] Email: [REDACTED]

DEFENDANT ATTORNEY(S):

ALBERT G. BIXLER (Terminated 8/1/2016)

ALBERT G. BIXLER [ATTORNEY TO BE NOTICED]

ECKERT SEAMANS CHERIN & MELLOTT LLC

Two Liberty Place 22nd Fl 50 South 16th Street

Philadelphia, PA, USA 19102

[REDACTED] Email: [REDACTED]

REBECCA K. WOOD [PRO HAC VICE] (Terminated 8/1/2016)

REBECCA K. WOOD [PRO HAC VICE;ATTORNEY TO]

SIDLEY AUSTIN LLP

1501 K Street Nw

Washington, DC, USA 20005

[REDACTED] Email: [REDACTED]

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THE COURT UPDATED THIS RECORD ON: 08/05/2016 12:00:00 AM  
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