

NAME SEARCHED: [REDACTED]

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches

on your subject name(s) in the required databases and have attached the search results under the correct heading below.

Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search: Result:

RDC

PCR

Yes

BIS

No Hit

Hit

No Hit

Hit

Not Required

Not Required

No

Not Required

D&B

Smartlinx

Court Cases

Results?

Yes

Not Required

Results?

Yes

Not Required

be Required

Review by Legal May

No Results

Search not required

Prepared by: Prachi Pawa Date: 10/12/2016

Research Analyst

Instructions:

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: <http://www.martindale.com/xp/-Martindale/home.xml>
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC. Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

No

VII. Smartlinx

VIII. Court Cases

No

Click here for results:

I. RDC Results

II. PCR Results

III. Negative Media

IV. Non-Negative Media

Reviewer Comments (as necessary):

No RDC alert (Please see attached)

No PCR alert (Please see attached)

There was no information found

There was no information found

V. Other Language Media Not Required

VI. D&B

Not Required

Result Found (Please see attached)

There was no information found

For internal use only

OFAC RESULTS

RDC:

[REDACTED] No Match Found GCIS [REDACTED]
[REDACTED]

Country:United States

PCR:

[REDACTED] NCA customised Auto-Closed No-Hit

12/10/2016

BIS RESULTS

Negative Media:

There was no information found

Non-Negative Media:

There was no information found

Other Language Media:

Not Required

Public Records:

1 OF 2 RECORD(S)

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Date:10/12/2016

Report processed by:

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DEUTSCHE BANK AG||

Full Name

Address

County

ADDITIONAL PERSONAL INFORMATION

SSN

DOB

Subject Summary

Name Variations

1:

DOBs

Reported DOBs:

Possible E-Mail Addresses

Others Using SSN - 0 records found

Address Summary - 1 records found

No. Address

1:

Address Details

1:

Address

Census Data for Geographical Region

Median Head of Household Age:

Median Income:

Median Home Value:

Median Education:

Household Members

Other Associates

None Listed

Voter Registrations - 3 records found

1: Voter Registration

Registrant Information

Name:

Residential Address:

Date of Birth: [REDACTED]
Gender: [REDACTED]
Voter Information
For internal use only

[REDACTED]
Phone
None Listed
Gender
LexID(sm)

[REDACTED]
Dates
Phone
[REDACTED]

Page 3

Registration Date: 9/27/2013

Party Affiliation: NONE DECLARED

Active Status: ACTIVE

2: [REDACTED] Voter Registration

Registrant Information

Name: [REDACTED]

Residential Address: [REDACTED]

[REDACTED]

Date of Birth: [REDACTED]

Occupation: [REDACTED]

Voter Information

3: [REDACTED] Voter Registration

Registrant Information

Name: [REDACTED]

Residential Address: [REDACTED]

[REDACTED]

Date of Birth: [REDACTED]

Occupation: [REDACTED]

Voter Information

- Driver Licenses - 0 records found
- Professional Licenses - 0 records found
- Health Care Providers - 0 records found
- Health Care Sanctions - 0 records found
- Pilot Licenses - 0 records found
- Sport Licenses - 0 records found
- Real Property - 0 records found
- Motor Vehicle Registrations - 0 records found
- Boats - 0 records found
- Aircraft - 0 records found
- Bankruptcy Information - 0 records found
- Judgments/Liens - 0 records found
- UCC Liens - 0 records found
- Fictitious Businesses - 0 records found
- Notice Of Defaults - 0 records found
- Potential Relatives - 10 records found
- 1st Degree: 5, 2nd Degree: 5

No.

1.

Full Name

[REDACTED]



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No.

Full Name

[REDACTED]

SSN: [REDACTED]
DOB: [REDACTED]

1.A.

[REDACTED]

SSN: [REDACTED]
DOB: [REDACTED]

1.B.

[REDACTED]

SSN: [REDACTED]

1.C.

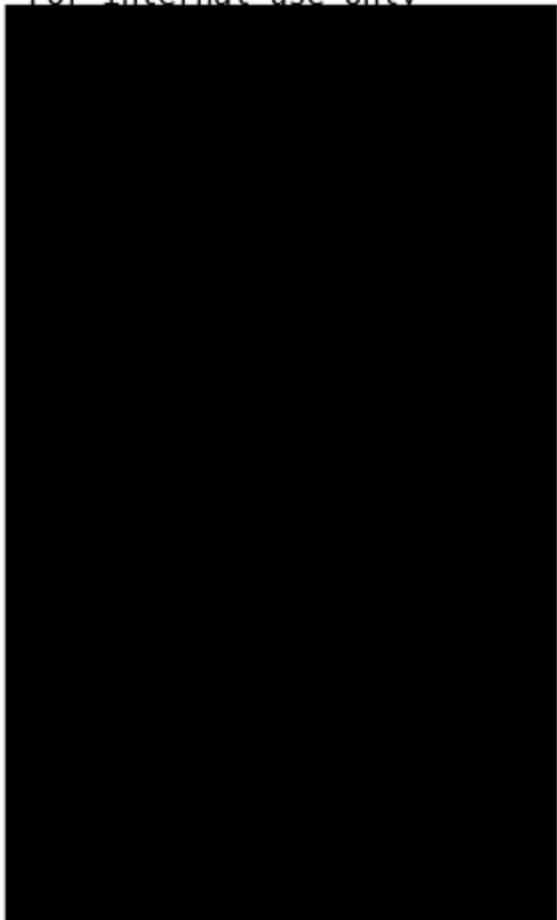
[REDACTED]

SSN: [REDACTED]
DOB: [REDACTED]

1.D.



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No.

Full Name

SSN [REDACTED]

DOB [REDACTED]

[REDACTED]
Address/Phone

[REDACTED]

1. E.

[REDACTED]

SSN: [REDACTED]

DOB: [REDACTED]

2.

[REDACTED]

SSN: [REDACTED]

3.

[REDACTED]

4.

SSN: [REDACTED]

[REDACTED]

5.

[REDACTED]
Business Associates - 0 records found
Person Associates - 0 records found
Neighbors - 1 records found

[REDACTED]
Name

[REDACTED]
Address

[REDACTED]
Employment Locator - 0 records found
Criminal Filings - 0 records found
Cellular & Alternate Phones - 1 records found
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Phone

Page 6

1:

Personal Information

Name: DUBIN, CELINA

Address:

Phone Number:

Phone Type: Mobile

Carrier Information

Carrier:

Carrier City:

Carrier State: NY

Sources - 10 records found

All Sources

Email addresses

Person Locator 1

Phone

PhonesPlus Records

Utility Locator

Voter Registrations

10 Source Document(s)

1 Source Document(s)

1 Source Document(s)

3 Source Document(s)

1 Source Document(s)

1 Source Document(s)

3 Source Document(s)

Key

High Risk Indicator. These symbols may prompt you to investigate further.

Moderate Risk Indicator. These symbols may prompt you to investigate further.

General Information Indicator. These symbols inform you that additional information is provided.

The most recent telephone listing as reported by the EDA source.

Important: The Public Records and commercially available data sources used on reports have errors. Data is sometimes entered poorly, processed incorrectly and is generally not free from defect. This system should not be relied upon as definitively accurate. Before relying on any data this system supplies, it should be independently verified. For Secretary of State documents, the following data is for information purposes only and is not an official record. Certified copies may be obtained from that individual state's Department of State.

Your DPPA Permissible Use is: Debt Recovery/Fraud

Your GLBA Permissible Use is: Legal Compliance

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2 OF 2 RECORD(S)

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Date:10/12/2016

Report processed by:

DEUTSCHE BANK AG||

Full Name

[REDACTED]

Address

[REDACTED]

ADDITIONAL PERSONAL INFORMATION

SSN

DOB

County

[REDACTED]

Phone

None Listed

Gender

LexID(sm)

[REDACTED]

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Subject Summary

Name Variations

1: [REDACTED]

Others Using SSN - 0 records found

Address Summary - 1 records found

No. Address

1:

[REDACTED]

Address Details

1: [REDACTED]

Address

[REDACTED]

Census Data for Geographical Region

Median Head of Household Age: [REDACTED]

Median Income: [REDACTED]

Median Home Value: [REDACTED]

Median Education: [REDACTED]

Household Members

None Listed

Other Associates

None Listed

Voter Registrations - 0 records found

Driver Licenses - 0 records found

Professional Licenses - 0 records found

Health Care Providers - 0 records found

Health Care Sanctions - 0 records found

Pilot Licenses - 0 records found

Sport Licenses - 0 records found

Real Property - 0 records found

Motor Vehicle Registrations - 0 records found

Boats - 0 records found

Aircraft - 0 records found

Bankruptcy Information - 0 records found

Judgments/Liens - 0 records found

UCC Liens - 0 records found

Fictitious Businesses - 0 records found

Notice Of Defaults - 0 records found

Potential Relatives - 5 records found

1st Degree: 1, 2nd Degree: 4

No.

1.

Full Name

[REDACTED]

DOB: [REDACTED]

[REDACTED]

1.A.

[REDACTED]

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Address/Phone

[REDACTED]

Dates

Phone

[REDACTED]

No.

Full Name



SSN: [REDACTED]

DOB: [REDACTED]

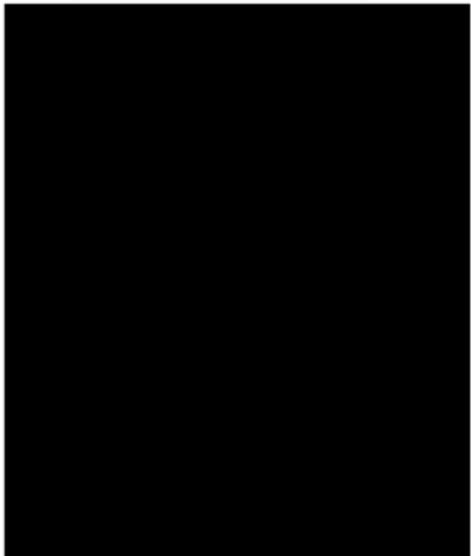
1.B. [REDACTED]

SSN: [REDACTED]

1.C. [REDACTED]

1.D. [REDACTED]

SSN [REDACTED]



Business Associates - 0 records found

Person Associates - 0 records found

Neighbors - 2 records found



Name



[REDACTED]

Address

[REDACTED]

Phone
Address/Phone

[REDACTED]

Employment Locator - 0 records found
Criminal Filings - 0 records found
Cellular & Alternate Phones - 0 records found
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Worldbase, 11/30/2013, THE RELATED COMPANIES LP

Sources - 17 records found

All Sources

Person Locator 1

Phone

Utility Locator

17 Source Document(s)

1 Source Document(s)

15 Source Document(s)

1 Source Document(s)

D&B:

Not Required

LEGAL RESULTS:

Court Cases:

There was no information found

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