

NAME SEARCHED: [REDACTED]

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below.

Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search: Result:

RDC

PCR

BIS

Yes

No Hit

Hit

No Hit

Hit

Not Required

Not Required

No

Not Required

D&B

Smartlinx

Court Cases

Results?

Yes

Not Required

Results?

Not Required

Yes

Not Required

Review by Legal May

No Results

Search not required

Prepared by: Prachi Pawa Date: 12/30/2016

Research Analyst

Instructions:

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: <http://www.martindale.com/xp/Martindale/home.xml>
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

No

VII. Smartlinx

There was no information found

VIII. Court Cases

No

Click here for results:

I. RDC Results

II. PCR Results

III. Negative Media

IV. Non-Negative Media

Reviewer Comments (as necessary):

No RDC alert (Please see attached)

No PCR alert (Please see attached)

There was no information found

There was no information found

V. Other Language Media There was no information found

VI. D&B

Not Required

There was no information found

For internal use only

OFAC RESULTS

RDC:

[REDACTED] No Match Found GCIS [REDACTED]

Country: [REDACTED]

PCR:

[REDACTED] NCA customised Auto-Closed No-Hit

30/12/2016

BIS RESULTS

Negative Media:

There was no information found

Non-Negative Media:

There was no information found

Other Language Media:

There was no information found

Public Records:

There was no information found

For internal use only

Page 2  
Worldbase, 11/30/2013, THE RELATED COMPANIES LP  
D&B:  
Not Required  
LEGAL RESULTS:  
Court Cases:  
There was no information found  
For internal use only