

Subject: RE: KYC Remediation - Southern Financial Relationship [I]  
From: Darnetta Chandler-Johnson [REDACTED]  
Date: Thu, 13 Jul 2017 10:44:18 -0400  
To: Cynthia Rodriguez [REDACTED]  
Cc: Daphne Cales [REDACTED]

Classification: For internal use only

You're welcome. No worries at all.

Have a great day!

From: Cynthia Rodriguez  
Sent: Thursday, July 13, 2017 10:36 AM  
To: Darnetta Chandler-Johnson [REDACTED]  
Cc: Daphne Cales [REDACTED]  
Subject: RE: KYC Remediation - Southern Financial Relationship [I]

Classification: For internal use only

Thank you so much. So sorry for the duplicate effort.

Kind regards,

Cynthia Rodriguez

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Cynthia Rodriguez  
Assistant Vice President

Deutsche Bank Trust Company Americas  
Deutsche Bank Wealth Management  
345 Park Avenue, 24th Fl 10154-0004 New York, NY, USA  
[REDACTED]

From: Darnetta Chandler-Johnson  
Sent: Thursday, July 13, 2017 10:20 AM  
To: Cynthia Rodriguez [REDACTED]  
Cc: Daphne Cales [REDACTED]  
Subject: RE: KYC Remediation - Southern Financial Relationship [I]

Classification: For internal use only

Good Morning Cynthia,

No worries, I will submit the searches again.

I will keep you posted.

Best Regards,

Darnetta

From: Cynthia Rodriguez  
Sent: Thursday, July 13, 2017 10:16 AM  
To: Darnetta Chandler-Johnson [REDACTED]  
Cc: Daphne Cales [REDACTED]  
Subject: RE: KYC Remediation - Southern Financial Relationship [I]

Classification: For internal use only

Hi Darnetta,

So sorry to do this to you, but compliance asked for dates of birth to be included in the research. Would you mind requesting the research again and having them attached to KYC case 01804531? Thank you so much for your help.

[Redacted]

Darren Indyke

[Redacted]

Karyna Shuliak

[Redacted]

Richard Kahn

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

  


Kind regards,

Cynthia Rodriguez

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Cynthia Rodriguez  
Assistant Vice President

Deutsche Bank Trust Company Americas  
Deutsche Bank Wealth Management  
345 Park Avenue, 24th Fl 10154-0004 New York, NY, USA



From: Darnetta Chandler-Johnson  
Sent: Friday, June 30, 2017 8:13 AM  
To: Cynthia Rodriguez   
Cc: Daphne Cales   
Subject: FW: KYC Remediation - Southern Financial Relationship [I]

Good Morning Cynthia,

Hope all is well.

As requested, searches have been completed for the below UBO's. Please advise if you have any addition request or concerns.

The searches for Richard Kahn were done as of last week, so I provided them to you so that you can attach them to case #01790739. I could not attach, because the case is assigned to you.

Best Regards,

Darnetta

From: Cynthia Rodriguez  
Sent: Friday, June 23, 2017 5:11 PM  
To: Darnetta Chandler-Johnson  
Cc: Daphne Cales  
Subject: RE: KYC Remediation - Southern Financial Relationship [I]

Classification: For internal use only

Hi Darnetta,

Would you please run research on the beneficiaries for The Butterfly Trust? Please have the research attached to KYC case 01790739 as I have condensed some of the KYCs you've assigned for efficiency. Thank you for your help!



[REDACTED]  
Darren Indyke

[REDACTED]  
Karyna Shuliak

[REDACTED]  
Richard Kahn

(See Above)

[REDACTED]  
Kind regards,

Cynthia Rodriguez

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Cynthia Rodriguez

Assistant Vice President

Deutsche Bank Trust Company Americas  
Deutsche Bank Wealth Management



From: Darnetta Chandler-Johnson  
Sent: Thursday, June 22, 2017 4:48 PM  
To: Cynthia Rodriguez  
Cc: Stewart Oldfield; Daphne Cales; Patrick Harris; Vaishali-P Mehta  
Subject: KYC Remediation - Southern Financial Relationship [I]

Classification: For internal use only

Hello Cynthia,

The following KYC's are being assigned to you. Please review and comment, edit where necessary. The Volcker needs to be completed for all required parties. Please review PEP classification on all parties. Please review the research on checklist and make comments where needed.

- o KYC needs to be submitted to the KYC team first and not AML. The KYC team will forward accordingly.
- o Make sure to review all the information with the client and acquire missing information and confirm no material changes have occurred.

KYC 1790655 –Jeffrey Epstein, Southern Financial LLC, Southern Trust Company, Inc. and J. Epstein Virgin Islands Foundation, Inc, - comments made on research for all parties. Please review and edit where necessary. Searches have been completed for the required KYC parties. ID found for Darren Indyke is expired. KYC confirms Jeffrey Epstein, Harry Beller, and Darren Indyke as the signor's/POA on the accounts, Signature card list Jeffrey Epstein. For Southern Financial LLC KYC confirms Jeffrey Epstein, Darren Indyke and Jeanne Brennan as the signor's/POA on the accounts, and for Southern Trust company Inc KYC confirms Jeffrey Epstein, Darren Indyke, Harry Beller and Jeanne Brennan as the signor's/POA on the accounts.

Please make sure that compliance has given clearance on RDC & PCR Alerts for customer.

KYC 1790685 – Hyperion Air LLC, Plan D LLC, JEJE LLC and JEJE Inc., - comments made on research for all parties. Please review and edit where necessary. Searches have been completed for the required KYC parties con. KYC firms Jeffrey Epstein, Harry Beller and Darren Indyke as the signor's/-POA on all 3 accounts, Signature card only list Jeffrey Epstein, Harry Beller. Photo ID found for Darren Indyke found under GCIS 487199 is expired. Please make sure that compliance has given clearance on RDC & PCR Alert for customer.

KYC 1791049 – The 2007 Jeffrey Epstein Insurance Trust No. 3, and HBRK Associates Inc., - comments made on research for all parties. Please review and edit where necessary. Searches have been completed for the required KYC parties. Photo ID's Darren Indyke is expired. Signature cards confirms Jeffrey Epstein, Richard Kahn and Darren Indyke as the signor's on The 2007 Jeffrey Epstein Ins., and Richard Kahn and Harry Beller for HBRK Assoc. Inc. Please make sure that compliance has given clearance on RDC & PCR Alert for Richard Kahn.

KYC 1790724 – [REDACTED] - comments made on research for all parties. Please review and edit where necessary. Searches have been

completed for the required KYC parties. Signature card confirms [REDACTED] and Karyna Shuliak as the signor's on the account. Photo ID's for both Signors are expired, found under the KYC attachment. Please make sure that compliance has given clearance on RDC & PCR Alert for customer.

KYC 1790739 – Gratitude America - comments made on research for all parties. Please review and edit where necessary. Searches have been completed for the required KYC parties. Photo ID for Darren Indyke is expired, found under GCIS# 487199. Signature card confirms Jeffrey Epstein and Darren Indyke as the signor's on the account. Please make sure that compliance has given clearance on RDC & PCR Alert for customer.

KYC 1790748 – Neptune, LLC- comments made on research for all parties. Please review and edit where necessary. Searches have been completed for the required KYC parties. Photo ID for Darren Indyke is expired, found under GCIS# 487199. KYC confirms 3 signor's/POA on the account. Signature card only list Jeffrey Epstein, Harry Beller. Please make sure that compliance has given clearance on RDC & PCR Alert for customer.

KYC 1790755 – NES LLC - comments made on research for all parties. Please review and edit where necessary. Searches have been completed for the required KYC parties. Photo ID's Darren Indyke is expired, found under GCIS# 487199. KYC confirms 3 signor's/POA on the account. Signature card only list Jeffrey Epstein, Harry Beller. Please make sure that compliance has given clearance on RDC & PCR Alert for customer.

KYC 1790768 – Butterfly Trust - comments made on research for all parties. Please review and edit where necessary. Searches have been completed for the required KYC parties. Photo ID found for the UBO. Photo ID's found in the repository are not for the UBO's . KYC firms Harry Beller and Erika Kellerhals as the signor's/POA . Signature cards in the repository list Richard Kahn and Darren Indyke as the signor's on the account. Please make sure that compliance has given clearance on RDC & PCR Alert for customer.

KYC 1790820 – Zorro Management LLC - comments made on research for all parties. Please review and edit where necessary. Searches have been completed for the required KYC parties. Photo ID for Darren Indyke is expired, found under GCIS# 487199. Signature card confirms Jeffrey Epstein, Darren Indyke, [REDACTED], and Brice M Gordon as the signor's on the account. Please make sure that compliance has given clearance on RDC & PCR Alert for customer.

KYC 1790849 – LSLE LLC - comments made on research for all parties. Please review and edit where necessary. Searches have been completed for the required KYC parties. Photo ID for Darren Indyke is expired, found under GCIS# 487199. Signature card confirms Jeffrey Epstein and Darren Indyke as the signor's on the account. Please make sure that compliance has given clearance on RDC & PCR Alert for customer.

KYC 1790854 – MORT Inc - comments made on research for all parties. Please review and edit where necessary. Searches have been completed for the required KYC parties. Photo ID for Darren Indyke is expired, found under GCIS# 487199. Signature card confirms Jeffrey Epstein and Darren Indyke as the signor's on the account. Please make sure that compliance has given clearance on RDC & PCR Alert for customer.

KYC 1790862 – The Haze Trust - comments made on research for all parties. Please review and edit where necessary. Searches have been completed for the required KYC parties. Photo ID for Darren Indyke is expired, found under GCIS# 487199. Signature card confirms Darren Indyke is the only signor on the KYC and Signature card for the account. Please make sure that compliance has given clearance on RDC & PCR Alert for customer.

KYC 1790869 – Jeepers, Inc - comments made on research for all parties.

Please review and edit where necessary. Photo ID for Darren Indyke is expired, found under GCIS# 487199. KYC specifies Jeffrey Epstein, Harry Beller, Jeanne Brennan, Darren Indyke as signors/POA and Signature cards in the repository only specifies Jeffrey Epstein, Harry Beller, and Jeanne Brennan as signors Please make sure that compliance has given clearance on RDC & PCR Alert for customer.

Best Regards,

Darnetta