

DB12000P - Deutsche Bank Private Bank

10/12/2016

Batch Date:

Added to Monitoring: 10/12/2016

Person Name: Jeffrey Epstein

Address:

Date of Birth: 01/20/1953

Country:United States

Tracking ID: GCIS 00000483289

Alerted Entity #: 1 of 3

Entity Information

Entity ID: [REDACTED]

Riskid: R12818110

Entity Name: Jeffrey Epstein

Alias: JEFFREY EDWARD EPSTEIN

Address: 9 E 71ST ST, NEW YORK, New York, 10021-4102, UNITED STATES•

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LITTLE ST JAMES, 6100 RED HOOK QUARTER, B3, ST THOMAS, Virgin Islands,  
00802, UNITED  
STATES

- 6100 RED HOOK QUARTER, B3, ST THOMAS, Virgin Islands, 00802, UNITED STATES
- GREAT ST JAMES, Virgin Islands, UNITED STATES
- 358 EL BRILLO WAY, PALM BEACH, Florida, 33480, UNITED STATES
- 22 AVENUE FOCH APT 2DD, PARIS, 75116, FRANCE
- 301 E. 66th St., New York City, New York, UNITED STATES

Recent Event(s): SEX - Sex Offenses (Rape, Sodomy, Sexual Abuse,  
Pedophilia) / CVT - Convict, Conviction, 05/02/2016

Entity appears on the New York Sex Offenders site, 05/02/2016, New York -  
Division of Criminal Justice

Services - Sex Offenders

Riskography: Jeffrey Epstein (Epstein) is an American financier and a  
registered sex offender since 2008. In June 2008,  
after pleading guilty to a single state charge of soliciting prostitution,  
Epstein began serving an 18-month  
sentence. Epstein was convicted by the US District Court of Florida as a sex  
offender in the state of Florida  
of soliciting an underage girl for prostitution. Earlier in March 2005, a  
woman contacted Palm Beach police  
and complained about Epstein sexually assaulting her 14-year old daughter.  
By 2011, 40 underage girls had  
come forward with similar stories, saying Epstein had sexually assaulted  
them. As of May 2013, Epstein has  
made 17 out-of-court settlements.

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Reporting ID: KYC 01651789

Alert ID: 6472545.11593674.73056800

Alert

Alert Date:

10/12/2016

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the information contained in this document is for alert purposes only and is

not to be used for purposes of determining an individual's eligibility for any credit or any other FCRA permissible purposes. User further agrees to hold such material and information in strictest confidence, not to make use thereof other than for authorized purposes, to relate it only to employees requiring such information, and not to release or disclose it to any other party. The Alert information contained in this document may or may not pertain to the individual or entity referenced in your inquiry.

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Event: SEX - Sex Offenses (Rape, Sodomy, Sexual Abuse, Pedophilia) / CVT - Convict, Conviction, 05/02/2016

Entity appears on the New York Sex Offenders site, 05/02/2016, New York - Division of Criminal Justice

Services - Sex Offenders

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FRD - Fraud, Scams, Swindles / CVT - Convict, Conviction, 07/25/2006

Stealing his neighbor's mail, convicted of postal fraud;;, 07/25/2006, New York Post

TFT - Theft (Larceny, Misappropriation, Embezzlement, Extortion) / CVT - Convict, Conviction, 07/24/2006

was convicted of

postal larceny and theft and one count of property theft., 07/24/2006, The Palm Beach Post

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OBS - Obscenity Related, Child Pornography / CVT - Convict, Conviction, 12/05/2008

serving time in jail for soliciting erotic massages, 12/05/2008, South Florida Sun-Sentinel

SEX - Sex Offenses (Rape, Sodomy, Sexual Abuse, Pedophilia) / CVT - Convict, Conviction, 10/08/2013

On List [FL Department of Law Enforcement - Sex Offenders], 10/08/2013, Florida - Department of Law Enforcement - Sex Offenders

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IPR - Illegal Prostitution, Promoting Illegal Prostitution / PLE - Plea, 05/26/2010

pleaded guilty last year to soliciting a minor for prostitution and soliciting prostitution., 05/26/2010, The

Palm Beach Post

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IPR - Illegal Prostitution, Promoting Illegal Prostitution / CVT - Convict, Conviction, 06/25/2009

Jeffrey Epstein will be released after serving less than 13 months of his 18-month sentence for procuring a

minor for prostitution and solicitation of prostitution., 06/25/2009, Palm

Beach Daily News

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IPR - Illegal Prostitution, Promoting Illegal Prostitution / IND - Indict, Indictment, 08/14/2006  
indicted on a charge of felony solicitation of prostitution, 08/14/2006, The Palm Beach Post

IPR - Illegal Prostitution, Promoting Illegal Prostitution / CHG - Charged, 01/26/2008

Has been charged with soliciting a prostitute., 01/26/2008, The Daily Telegraph

IPR - Illegal Prostitution, Promoting Illegal Prostitution / PRB - Probe, 01/10/2015

registered sex offender Jeffrey Epstein, is now accused of keeping underage girls as "sex slaves" for prominent men. The investigation is ongoing., 01/10/2015, The Clarion-Ledger (Jackson, Mississippi)

Date Of Birth: 1954•

- 1/20/1953

Position: president of J Epstein & Co.

Eye Color: Blue

Hair Color: Grey

Height: 6'00"

Race: White

Sex: Male

Weight: 180 lbs

Image:

Alert

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Person Name: Jeffrey Epstein

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Country:United States

Tracking ID: GCIS 00000483289

Alerted Entity #: 1 of 3

Alert Notes

Source Information

RDC URL: [https://grid.rdc.com/wss/entity.html?-entityId=e810c27f4e41c3d25d3f3d53eb346cc4](https://grid.rdc.com/wss/entity.html?entityId=e810c27f4e41c3d25d3f3d53eb346cc4)

Source Name: RiskConnect, 10/11/2016

Entity URL: [http://offender.fdle.state.fl.us/offender/flyer.do?-personId=62762](http://offender.fdle.state.fl.us/offender/flyer.do?personId=62762)

• [http://www.criminaljustice.ny.gov/SomsSUBDirectory/offenderDetails.jsp?-offenderid=33216](http://www.criminaljustice.ny.gov/SomsSUBDirectory/offenderDetails.jsp?offenderid=33216)

Sources: •

New York Post, MEDIA Article,United States,Headline:MAGAZINE MOGUL IN SEX BUST,

[http://global.factiva.com/en/du/article.asp?-NAPC=S&AccessionNo=NYP0000020060725e27p0004w](http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=NYP0000020060725e27p0004w)

• The Palm Beach Post,

[http://global.factiva.com/en/du/article.asp?-NAPC=S&AccessionNo=PMBP000020060726e27o0000i](http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=PMBP000020060726e27o0000i)

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FL Department of Law Enforcement - Sex Offenders, FL Department of Law Enforcement - Sex Offenders,

<http://offender.fdle.state.fl.us/offender/homepage.do>

South Florida Sun-Sentinel, MEDIA Article,United States,Headline:UNDERAGE GIRL SECOND TO SUE BILLIONAIRE,

[http://global.factiva.com/en/du/article.asp?-NAPC=S&AccessionNo=FLSS000020081205e4c50005l](http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=FLSS000020081205e4c50005l)

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The Palm Beach Post, MEDIA Article,United States,Headline:METRO REPORT,

[http://global.factiva.com/en/du/article.asp?-NAPC=S&AccessionNo=PMBP000020100527e65q0000k](http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=PMBP000020100527e65q0000k)

New York - Division of Criminal Justice Services - Sex Offenders, New York - Division of Criminal Justice

Services - Sex Offenders, [http://www.criminaljustice.ny.gov/SomsSUBDirectory/-search\\_index.jsp](http://www.criminaljustice.ny.gov/SomsSUBDirectory/search_index.jsp)

Palm Beach Daily News, MEDIA Article,United States,Headline:JUDGE TO RULE ON SEALED PLEADEAL PAPERS TODAY,

[http://global.factiva.com/en/du/article.asp?-](http://global.factiva.com/en/du/article.asp?)

NAPC=S&AccessionNo=PBDN000020090717e56p0000e

The Palm Beach Post, MEDIA Article,United States,Headline:PALM BEACH CHIEF FOCUS OF FIRE IN

EPSTEIN CASE DEFENDANT'S LAWYERS TAKE HIM ON; HE SLAMS STATE ATTORNEY,

<http://global.factiva.com/en/du/article.asp?>

NAPC=S&AccessionNo=PMBP000020060816e28e00008

• The Daily Telegraph,

•

<http://global.factiva.com/en/du/article.asp?>

NAPC=S&AccessionNo=DT00000020080126e41q0004q

• The Clarion-Ledger (Jackson, Mississippi), MEDIA Article,Headline:Another Clinton scandal brewing?

Reporting ID: KYC 01651789

Alert ID: 6472545.11593674.73056800

Notes: President Of J Epstein & Co., Jeffrey Epstein aka Jeffrey Edward Epstein, DOB- 1/20/1953, of 9 E 71ST

ST, New York, New York, 10021-4102, appears on the New York Sex Offenders site.

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Tracking ID: GCIS 00000483289

Alerted Entity #: 2 of 3

Entity Information

Entity ID: 64722293

Riskid: R110371734

Entity Name: Jeffrey Richard Epstein

Address: Bryn Mawr, Pennsylvania, UNITED STATES

Recent Event(s): REG - Regulatory Action / ACT - Disciplinary, Regulatory Action, 04/16/2012

Jeffrey Richard Epstein submitted a Letter of Acceptance, Waiver and Consent in which he was suspended from

- association with any FINRA member in any capacity for 10 business days. Without admitting or denying the findings, Epstein consented to the described sanction and to the entry of findings that he exercised discretion in a customer's account without the customer's written authorization or his member firm's acceptance of the account as discretionary., 04/16/2012,

Financial Industry Reg. Auth. (FINRA) Disciplinary Actions 2012

Event: REG - Regulatory Action / ACT - Disciplinary, Regulatory Action, 04/16/2012

Jeffrey Richard Epstein submitted a Letter of Acceptance, Waiver and Consent in which he was suspended from

- association with any FINRA member in any capacity for 10 business days. Without admitting or denying the findings, Epstein consented to the described sanction and to the entry of findings that he exercised discretion in a customer's account without the customer's written authorization or his member firm's acceptance of the account as discretionary., 04/16/2012,

Financial Industry Reg. Auth. (FINRA) Disciplinary Actions 2012

Alert Notes

Notes: Jeffrey Richard Epstein, of Bryn Mawr, Pennsylvania, submitted a Letter of Acceptance, Waiver and Consent in which he was suspended from association with any FINRA member in any capacity for 10 business days.

Source Information

RDC URL: <https://grid.rdc.com/wss/entity.html?entityId=9430b4b9ad8d51b65d3f3d53eb346cc4>  
Source Name: RiskConnect, 10/11/2016  
Entity URL: <http://www.finra.org/Industry/Enforcement/DisciplinaryActions/MonthlyActions/2012/index.htm>  
• <http://www.finra.org/web/groups/industry/@ip/@enf/@da/documents/disciplinaryactions/pl26288.pdf>  
Sources: Financial Industry Reg. Auth. (FINRA) Disciplinary Actions 2012, Financial Industry Reg. Auth. (FINRA) Disciplinary Actions 2012, <http://www.finra.org/Industry/Enforcement/DisciplinaryActions/MonthlyActions/2012>  
Reporting ID: KYC 01651789  
Alert ID: 6472545.11593674.64722293  
Alert  
Alert Date:  
10/12/2016  
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Alerted Entity #: 3 of 3

Entity Information

Entity ID: 64322136

Riskid: R110194221

Entity Name: Jeffrey R. Epstein

Recent Event(s): REG - Regulatory Action / ACT - Disciplinary, Regulatory Action, 01/31/1994

Decision number: 1993-003378 - Respondents shall pay to Claimant \$736.00.

This award is joint and

several., 01/31/1994, New York - Stock Exchange Arbitration Decisions

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Event: REG - Regulatory Action / ACT - Disciplinary, Regulatory Action, 01/31/1994

Decision number: 1993-003378 - Respondents shall pay to Claimant \$736.00.

This award is joint and

several., 01/31/1994, New York - Stock Exchange Arbitration Decisions

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Alert Notes

Notes: Jeffrey R. Epstein, of United States, appears on New York - Stock Exchange Arbitration Decisions Site.

Source Information

RDC URL: [https://grid.rdc.com/wss/entity.html?-entityId=238099a3ea52d8275d3f3d53eb346cc4](https://grid.rdc.com/wss/entity.html?entityId=238099a3ea52d8275d3f3d53eb346cc4)

Source Name: RiskConnect, 10/11/2016

Entity URL: <http://finraawardsonline.finra.org/turing.aspx?doc=37613>

Sources: NY Stock Exchange Arbitration Decisions, NY Stock Exchange Arbitration Decisions,

<http://www.nyse.com/regulation/nyse/1022221394131.html>

Reporting ID: KYC 01651789

Alert ID: 6472545.11593674.64322136

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