

Norkom 6.1.0 - Dow Jones Details

Page 1 of 2

Home Page Help Requestor Menu

Dow Jones Details

Show Debug Info

Details

Alert ID:

Score:

A161030512965

100%

Best matches:

In FACTIVA PFA: 04_gender = M Exact Match M

03_name = Jeffrey Epstein Fuzzy Match >= 85% Jeffrey

Epstein

Name:

DOB/DOI:

Nationality:

Gender:

Country of Birth/Branch:

Country of Residence:

Jeffrey Epstein

20/01/1953

United States

Male

United States

United States

Dow Jones Association Details

no rows found

Dow Jones Details

Title:

First Name:

Middle Name:

Last Name:

Full Name:

Gender:

Deceased:

Record Type:

Names

no rows found

Dates

no rows found

Description1

Description 1

Special Interest Person (SIP)

Roles

Countries

Type

Citizenship

Resident of

Description2

Description 2

Other Official Lists

Country

Not Known

United States

Other Information

Content

SEC - Litigation Releases

Type

Other Official Lists

-

Jeffrey

S.

Epstein

Jeffrey S. Epstein

Male

No

Individual

UID:

Active Status:

Entity Name:

Address Country:

Address City:

Address Line:

Entered:

Updated:

1150901

Active

-

USA

Framingham, Massachusetts

-

03/08/2009 00:00:00

03/08/2009 00:00:00

Alert Date:

Detection Check:

Data Source:

12/10/2016

djw names

Customer_NCA_web

Street/Number:

Zip Code/City:

PEP Indicator:

Customer ID:

Alerted Customer ID:

Comments:

8000000034983842

https://aml.cit.gto.intranet.db.com/norkom_pcr_de/screen/view.do?csrftkn=3Is8ycCw...

10/12/2016

Norkom 6.1.0 - Dow Jones Details

Page 2 of 2

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Profile Notes

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SECURITIES AND EXCHANGE COMMISSION NOTES:

Litigation Rel. No. 18675 / April 22, 2004

SEC Charges Former Director of Banknorth Group, Inc. and MetroWest Bank In Settled Insider Trading Case

SEC v. Allen M. Glick et al. (United States District Court for the District of Massachusetts, C.A. No. 04-CV-10801(MEL))

The Commission today filed a settled civil fraud action against Allen M. Glick, a former director of both MetroWest Bank and

Banknorth Group, Inc., and four of his associates – Arthur H. Freedman, Jeffrey S. Epstein, Steven T. Moore and Romeo J.

Pendolari – for illegal insider trading in three publicly-traded Massachusetts banks – MetroWest Bank, Medford Bancorp and

Warren Bancorp. Without admitting or denying the Commission's allegations, Glick, Freedman, Epstein, Moore and Pendolari

consented to the entry of final judgments permanently enjoining them from violating the antifraud provisions of the federal

securities laws. The defendants also agreed to pay a total of over \$437,000 in disgorgement of trading profits, prejudgment

interest and civil penalties, and Glick consented to a permanent bar from serving as an officer or director of any publiclytraded

company.

External Sources

External Link

<http://www.sec.gov/litigation/litreleases/litrelarchive/litarchive2004.shtml>

<http://www.sec.gov/litigation/litreleases/lr18675.htm>

<http://www.sec.gov/litigation/complaints/compl18675.htm>

Images

no rows found

States

Status

Current

Since Date

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To Date

DB_scr_FactivaDetails

https://aml.cit.gto.intranet.db.com/norkom_pcr_de/screen/view.do?csrftkn=3Is8ycCw...

10/12/2016