

Subject: RE: OD Referral dated 04-01-2019 For AC # 42967439 [I] [C]
From: Bradley Gillin <[REDACTED]>
Date: Tue, 02 Apr 2019 11:00:13 -0400
To: Stewart Oldfield <[REDACTED]>

Classification: Confidential

I spoke with Bella. They send a wire in – overfunded as they still need the account.

Regards,
Brad

From: Stewart Oldfield
Sent: Tuesday, April 02, 2019 10:48 AM
To: Bradley Gillin <[REDACTED]>
Subject: Fwd: OD Referral dated 04-01-2019 For AC # 42967439 [I]

Can you check in with Bella? I'd like these balances to be zero, but not an overdraft ...

Thanks

Stewart Oldfield, CFA, CAIA

Deutsche Bank Trust Company Americas

Deutsche Bank Wealth Management

345 Park Avenue, New York, NY 10154

Tel. [REDACTED]

Mobile [REDACTED]

Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

Begin forwarded message:

From: Rishabh Sharma <[REDACTED]>
Date: April 2, 2019 at 3:51:17 PM GMT+2
To: Stewart Oldfield <[REDACTED]>, Bradley Gillin
<[REDACTED]>, Monica Gilkinson <[REDACTED]>
Cc: Halina Laczny <[REDACTED]>, Debra Jasper
<[REDACTED]>, "[REDACTED]" <[REDACTED]>, Oversight Control
<[REDACTED]>
Subject: OD Referral dated 04-01-2019 For AC # 42967439 [I]

Classification: For internal use only

Hi Team,

Please find the attached OD Referral for today and approve these with your decision hand-written on the OD referral form with two signatures (mandatory).

Please provide the below information on the form -

1. Transactions - Ok to pay / Not Ok to pay
2. Reason on how and when the OD will be covered
3. Seek CRM Approval for any account if overdrawn USD 100K.

Note: If the form reflects an OD decrease then we don't need a decision but

it does mean that the referred account is still in OD situation and needs to be covered.

Regards,

Rishabh Sharma