

Subject: RE: Hyperion Air LLC - KYC [I]
From: Alka Babu <[REDACTED]>
Date: Mon, 05 Nov 2018 07:43:27 -0500
To: Bradley Gillin <[REDACTED]>
Cc: Mayur Rathod <[REDACTED]>,
Vijay-A Sawant <[REDACTED]>,
Vinita Advani <[REDACTED]>

Classification: For internal use only

Hi Brad,

Thank you for the attachment (ID for Lawrence Visoski) you forwarded.

Now the only remaining ones for this case are as below. Please refer to the font in black as that is the rejection points :

- Please provide evidence of or a case comment regarding confirmation from the client that the information on the KYC is still accurate (i.e. ownership structure, signatories, etc. have not changed). The comment must include the name of the person spoken with and date this conversation occurred. (The attached internal email does not meet this requirement.) – No material confirmation email was attached to the KYC but will not suffice as per AFC. They need evidence of client (person) & date +confirming no material changes.

- Please attach evidence of signing authority (Corporate authorization) for the brokerage account of J. Epstein Virgin Islands Foundation In., granting signing auth for Erika Kellerhals. – Will need DB Corporate Resolution for this brokerage account# N4G024950

Kind regards,

{cid:[REDACTED]}

Alka Babu

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063 , India
Tel. [REDACTED]
Mobile + [REDACTED]
[REDACTED]

From: Vijay-A Sawant
Sent: Monday, November 05, 2018 11:25 AM
To: Alka Babu <[REDACTED]>
Subject: FW: Hyperion Air LLC - KYC [I]
Importance: High

From: Bradley Gillin
Sent: Friday, November 02, 2018 10:43 PM
To: Vijay-A Sawant <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>; Richard Iarossi
<[REDACTED]>
Subject: Hyperion Air LLC - KYC [I]
Importance: High

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Vijay,

See below.

(4) Operating Agreement for Hyperion Air LLC indicates the company is manager-managed and the initial manager was Lawrence Visoski. Is he still manager? Please provide evidence of the current management for this entity. – Please provide evidence confirming if Lawrence Visoski is still the initial manager at Hyperion Air LLC. We have re-checked the repositories and didn't find anything for this.

o Add as RP (legal rep):

§ See Attached Passport

§ SSN: [REDACTED]

Regards,
Brad

{cid:image001.[REDACTED]8B7110}

Bradley Gillin
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