

Subject: RE: KYC remediation [I]  
From: Nina Tona <[REDACTED]>  
Date: Thu, 28 Feb 2019 15:39:24 -0500  
To: Bill King <[REDACTED]>, Ian Salters <[REDACTED]>  
Cc: Davide-A Sferrazza <[REDACTED]>

Classification: For internal use only

Hi Bill –can you please call me to clarify the below when you have a minute, not sure I understand your status.

Thank you

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Nina Tona

Deutsche Bank Wealth Management

Institutional Wealth Partners – Business Manager Americas

Deutsche Bank (Americas) Ltd.

5022 Gate Parkway, Jacksonville FL 32256

Tel: + [REDACTED]

[deutschewealth.com](http://deutschewealth.com)

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From: Bill King  
Sent: Wednesday, February 27, 2019 4:02 PM  
To: Nina Tona <[REDACTED]>; Ian Salters <[REDACTED]>  
Cc: Davide-A Sferrazza <[REDACTED]>  
Subject: RE: KYC remediation [I]

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Nina,

Attached please find the the status of the 12 WM accounts below, highlighted in blue.

Regards,

Bill

From: Nina Tona  
Sent: Wednesday, February 27, 2019 10:28 AM  
To: Ian Salters <[REDACTED]>  
Cc: Bill King <[REDACTED]>; Davide-A Sferrazza <[REDACTED]>  
Subject: RE: KYC remediation [I]

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Ian – I have added the below to our SharePoint tracker, to also include Bill's.

@Davide- please advise who in IWP will be responsible for ours.

@Bill- please cc me on your email with the onboarding team so I can update the status on our tracker.

Thank you

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Nina Tona

Deutsche Bank Wealth Management

Institutional Wealth Partners – Business Manager Americas

Deutsche Bank (Americas) Ltd.

5022 Gate Parkway, Jacksonville FL 32256

Tel: + [REDACTED]

deutschewealth.com

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From: Ian Salters

EFTA01408544

Sent: Monday, February 25, 2019 4:00 PM

To: Brian Serino <[REDACTED]>; Kareen Johnson

<[REDACTED]>

Cc: Bill King <[REDACTED]>; Davide-A Sferrazza <[REDACTED]

>[REDACTED]>; Nina Tona <[REDACTED]>; Ian Salters

<[REDACTED]>

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Brian/Kareen - As previously discussed, the priority list is less than I thought, please see attached clients that need to be onboarded with CIB as a priority:

Bill - can you please take the lead on the ISG clients (this ties into the 32 clients you previously advised of):

Nina / Davide – can you please work together on the IWP clients (understand that Southern Financial is going away):

A) Wealth Management

{cid:image002.png@01D4CE87.149B83F0}

B) IWP

{cid:image003.png@01D4CE87.149B83F0}

Kind regards,  
Ian Salters

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Ian Salters  
COO Americas - Institutional Wealth Partners

DB Securities Inc  
Deutsche Bank Wealth Management  
345 Park Avenue, 10154-0004 New York, NY, USA  
Tel. [REDACTED]  
Mobile [REDACTED]  
Email [REDACTED]

Visit us: <http://deutschewealth.com>

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