

Subject: RE: Butterfly Trust - new brokerage account needed [I]
From: Davide-A Sferrazza <[REDACTED]>
Date: Tue, 04 Sep 2018 15:26:20 -0400
To: Richard Iarossi <[REDACTED]>
Cc: Liam Osullivan <[REDACTED]>

Classification: For internal use only

Thanks, Rich.

Liam and I will review the relevant documentation from the previous KYC and let you know if anything else is needed from our end (aside from an updated KYC, which is needed to open the account).

Regards,
Davide

Davide Sferrazza
Analyst | Key Client Partners

Deutsche Bank Securities, Inc.
Deutsche Bank Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

-----Original Message-----

From: Richard Iarossi
Sent: Tuesday, September 04, 2018 3:24 PM
To: Davide-A Sferrazza <[REDACTED]>
Subject: RE: Butterfly Trust - new brokerage account needed [I]

Classification: For internal use only

I just took a look at Stew's outstanding KYC review and Butterfly is on the list so I would expect a KYC update to be initiated soon. I will speak with him when he gets back to the office Wednesday and make sure he knows we have to complete that first.

Thanks!

Richard Iarossi
Assistant Vice President

Deutsche Bank Wealth Management
345 Park Avenue, 24th Floor
New York, NY 10154
[REDACTED]
[REDACTED]

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-----Original Message-----

From: Richard Iarossi
Sent: Tuesday, September 04, 2018 3:19 PM
To: Davide-A Sferrazza <[REDACTED]>
Subject: RE: Butterfly Trust - new brokerage account needed [I]

Classification: For internal use only

Hi Davide,

The latest approved KYC is 01651789, please let me know if you can pull the docs. I will come back and confirm the validity of the KYC.

Thank You,
Rich

Richard Iarossi
Assistant Vice President

Deutsche Bank Wealth Management
345 Park Avenue, 24th Floor
New York, NY 10154
[REDACTED]
[REDACTED]

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-----Original Message-----

From: Davide-A Sferrazza
Sent: Friday, August 31, 2018 5:47 PM
To: Richard Iarossi <[REDACTED]>
Subject: RE: Butterfly Trust - new brokerage account needed [I]

Classification: For internal use only

Richard,

Pleasure to meet you over email - today was a bit crazy for me, so I wasn't

able to stop by to say hi before everyone left. I'll stop by to introduce myself next week!

If there is a case number you can provide that has documents uploaded, that would be great. It's possible I wasn't looking in the right place - I only saw an old KYC.

Perhaps when I stop by you can show me how to get to it. Thanks, and have a great long weekend!

Regards,
Davide

Davide Sferrazza
Analyst | Key Client Partners

Deutsche Bank Securities, Inc.
Deutsche Bank Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA Tel. [REDACTED] Mobile
[REDACTED] Email [REDACTED]

-----Original Message-----

From: Richard Iarossi
Sent: Friday, August 31, 2018 12:34 PM
To: Davide-A Sferrazza <[REDACTED]>
Subject: RE: Butterfly Trust - new brokerage account needed [I]

Hi Davide,

First off, nice to meet you, I'm going to be working with Stew, Brad and Terri and started last week with the team.

In regards to the KYC documentation, are you looking for a Case # within DB Force to find the documents?

Thanks,
Rich

Richard Iarossi
Assistant Vice President

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345 Park Avenue, 24th Floor

New York, NY 10154
[REDACTED]
[REDACTED]

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-----Original Message-----

From: Davide-A Sferrazza
Sent: Friday, August 31, 2018 11:41 AM
To: Stewart Oldfield <[REDACTED]>; Martin Zeman <[REDACTED]>; Liam Osullivan <[REDACTED]>
Cc: Bradley Gillin <[REDACTED]>; Richard Iarossi <[REDACTED]>
Subject: RE: Butterfly Trust - new brokerage account needed [I]

Classification: For internal use only

Stewart,

As discussed, I will review with Liam when he is back next week and we will put together an account opening package.

Brad/Rich, would you please send me what KYC documentation you have on file? I am not able to see on DB Force (I may not be looking at the right place if it is on there already.

Thank you,
Davide

-----Original Message-----

From: Stewart Oldfield
Sent: Friday, August 31, 2018 9:27 AM
To: Martin Zeman <[REDACTED]>; Liam Osullivan <[REDACTED]>; Davide-A Sferrazza <[REDACTED]>
Cc: Bradley Gillin <[REDACTED]>; Richard Iarossi <[REDACTED]>
Subject: RE: Butterfly Trust - new brokerage account needed

Anyone looking at this?

-----Original Message-----

From: Stewart Oldfield
Sent: Thursday, August 30, 2018 8:04 AM
To: Martin Zeman <[REDACTED]>; Liam Osullivan <[REDACTED]>; Davide-A Sferrazza <[REDACTED]>
Cc: Bradley Gillin <[REDACTED]>; Richard Iarossi <[REDACTED]>
Subject: Butterfly Trust - new brokerage account needed

Gents,

Need to open a new brokerage account for Jeffrey. Will do some trading but won't be a huge account. Note that Paul Barrett will NOT be trading this account.

Please send some pre-filled docs to Rich Kahn for signatures. We are currently doing the annual KYC review for the existing Butterfly banking accounts we have, so let us know what info we can provide to help out.

Thanks

Stewart Oldfield, CFA, CAIA
Director

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[REDACTED]
[REDACTED]
Email [REDACTED]

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