

Subject: RDC Alert Richard Kahn
From: Cynthia Rodriguez <[REDACTED]>
Date: Fri, 30 Jun 2017 10:02:55 -0400
To: PWMUS AMLKYC <[REDACTED]>

Dear AML Compliance,

Attached is an RDC alert for Richard Kahn, appearing on the NY stock exchange disciplinary actions. I believe this alert has previously been cleared. Please see attached clearance and provide updated clearance. Thank you.

Kind regards,

Cynthia Rodriguez

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