

Subject: RE: GBSA Form Case 01898372 [I]

From: GBSA WMA [REDACTED]

Date: Mon, 05 Feb 2018 12:07:38 -0500

To: Cynthia Rodriguez [REDACTED]

Cc: GBSA WMA [REDACTED]

Classification: For internal use only

Hi Cynthia,

I have completed the attached GBSAQ to the best of my ability with the information available. Please review, verify, and sign the attached questionnaire.

Please make sure that you also complete the contact person of the Caterpillar Trust on the form. Thank you.

Kind regards,

Rowena Thomas

{<https://brandportal.intranet.db.com/img/modules/logo.gif>}

Rowena Thomas
Associate | Onboarding Specialist

Deutsche Bank Trust Company, National Association
WM Americas
5022 Gate Parkway, Suite 400, 32256 Jacksonville, USA
[REDACTED]

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Securities offered through Deutsche Bank Securities Inc.

From: Cynthia Rodriguez
Sent: Monday, February 05, 2018 8:23 AM
To: GBSA WMA [REDACTED]
Subject: RE: GBSA Form Case 01898372 [I]

Classification: For internal use only

Hi,

Here you go!

Kind regards,

Cynthia Rodriguez

{cid:image001.png@01D0778D.2D49CDD0}

Cynthia Rodriguez
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, 24th FL 10154-0004 New York, NY, USA
[REDACTED]

From: Rowena Thomas On Behalf Of GBSA WMA
Sent: Friday, February 02, 2018 5:51 PM

EFTA01408935

To: Cynthia Rodriguez [REDACTED]
Cc: GBSA WMA [REDACTED]
Subject: RE: GBSA Form Case 01898372 [I]

Classification: For internal use only

Hi Cynthia,

Can you please attach the trust agreement? Thank you.

Kind regards,

Rowena Thomas

{<https://brandportal.intranet.db.com/img/modules/logo.gif>}

Rowena Thomas
Associate | Onboarding Specialist

Deutsche Bank Trust Company, National Association
WM Americas
5022 Gate Parkway, Suite 400, 32256 Jacksonville, USA

[REDACTED]

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Securities offered through Deutsche Bank Securities Inc.

From: Cynthia Rodriguez
Sent: Friday, February 02, 2018 3:36 PM
To: GBSA WMA [REDACTED]

Subject: GBSA Form Case 01898372 [I]
Importance: High

Classification: For internal use only

Hi Team,

Please provide a GBSA form for The 2017 Caterpillar Trust. Attached is the trust agreement. Jeffrey Epstein is the grantor of this trust.

The information is as follows:

1. The associated KYC #: [REDACTED]
2. The UBO is: Jeffrey Epstein and The 2013 Butterfly Trust
3. The purpose of the entities: grantor retained annuity trust
4. The ownership hierarchy: Grantor: Jeffrey Epstein Trustee: Lesley Groff and Daphne Wallace Beneficiaries: Jeffrey Epstein and The 2013 Butterfly Trust
5. These accounts AIF/AIFM related: NOT AIF/AIF related

This is a rush KYC and the form is needed as soon as possible. Thank you so much for your help!

[Cynthia Rodriguez]

Thank you.

Kind regards,

Cynthia Rodriguez

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Cynthia Rodriguez

Assistant Vice President

Deutsche Bank Trust Company Americas

Deutsche Bank Wealth Management

345 Park Avenue, 24th Fl 10154-0004 New York, NY, USA

