

German Banking Separation Act Account Opening  
Questionnaire

Family Office Annex

Name of Client (Required)

I attest that:

A) The prospective account holder is a Family office, as defined below and the relationship among the Family Members has been described to me by the principals as set forth in the attachment hereto;

B) No person has guaranteed the repayment of any potential liabilities (including overdrafts) of the prospective account holder that may result from the account relationship;

C) I am not aware that any alternative investment fund (AIF) could have recourse to the assets of the account holder; and

D) "I attest that I have provided all relevant information and documentation made available by the client/prospect to me to assist in the GBSA review under this Questionnaire."

\_\_\_\_\_ as contact for

\_\_\_\_\_  
Signature of Client Representative

Name of Client Representative

Date

"I have completed this Questionnaire and attest that it is accurate to the best of my knowledge based upon the information and documentation provided to me by the banking team."

Signature of Individual completing form from the Center of Excellence/GBSA Questionnaire Preparation Team

Name of Individual completing form from the Center of Excellence/GBSA Questionnaire Preparation Team

Date

Family Office exemption: "Family office" means an undertaking that has been established by a pre-existing group of family members exclusively for the investment of their private wealth. "Pre-existing group" means a group of family members, irrespective of the legal structure that may be put in place by them, to invest in an undertaking and provided that the sole ultimate beneficiaries of such structure are family members, where the existence of the group pre-dates the establishment of the undertaking. The definition of "pre-existing group" does not prevent family members from joining the group after the undertaking has been established. "Family members" means the spouse of an individual, the person who is living with an individual in a committed intimate relationship, in a joint household and on a stable and continuous basis, the relatives in direct line, the siblings, uncles, aunts, first cousins and the dependents of an individual.

Description of the relationship among the Family Members:

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Rowena Thomas

The 2017 Caterpillar Trust

The 2017 Caterpillar Trust

The entity is a trust for the purpose of retaining the grantor's annuity trust. The trust grantor is Jeffrey Epstein the beneficiary is the grantor and the 2013 Butterfly Trust, the trustees are [REDACTED] Goff and Daphne Wallace.