

Subject: RE: URGENT - SECOND REQUEST - RE: Inquiry Regarding Account
42953715, Client: HBRK ASSOCIATES, INC; Case No. 141374 - DUE MAY 5 [I]
From: Cynthia Rodriguez <[REDACTED]>
Date: Thu, 11 May 2017 15:32:12 -0400
To: Stewart Oldfield <[REDACTED]>,
Danya Friedman <[REDACTED]>
Cc: Amlcompliance Inquiries <[REDACTED]>,
Andrew Gallivan <[REDACTED]>

Classification: For internal use only

Hi Danya,

The client provided the following responses:

3/16/2017, \$40,000.00, ACHRAYUT LEUMIT LTD at BANK LEUMI LE ISRAEL B.M.

1. Please explain the purpose of this Outgoing Wire. Contributions for public policy organization in Israel
2. Please explain the relationship between this account and the Counterparty. Friend
3. Please explain the business activities of the Counterparty. Public Policy Org

Please let me know if you need any further information. Thank you.

Kind regards,

Cynthia Rodriguez

{cid:image001.png@01D0778D.2D49CDD0}

Cynthia Rodriguez
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl 10154-0004 New York, NY, USA

[REDACTED]
[REDACTED]
Email [REDACTED]

From: Stewart Oldfield
Sent: Wednesday, May 10, 2017 10:35 AM
To: Danya Friedman
Cc: Amlcompliance Inquiries; Andrew Gallivan; Cynthia Rodriguez
Subject: RE: URGENT - SECOND REQUEST - RE: Inquiry Regarding Account 42953715, Client: HBRK ASSOCIATES, INC; Case No. 141374 - DUE MAY 5 [I]

Classification: For internal use only

Sorry, I have been out of the office. Will follow up with the client today. Thanks

From: Danya Friedman
Sent: Friday, May 05, 2017 7:41 AM
To: Stewart Oldfield
Cc: Amlcompliance Inquiries; Andrew Gallivan
Subject: URGENT - SECOND REQUEST - RE: Inquiry Regarding Account 42953715, Client: HBRK ASSOCIATES, INC; Case No. 141374 - DUE MAY 5 [I]
Importance: High

Classification: For internal use only

Good Morning:

EFTA01409044

I am writing to follow up on the request below. Please note that the deadline for a response has passed. Please respond with an answer to the inquiry or a status update as soon as possible.

Best Regards/Mit freundlichen Grüßen,

PWM AML Compliance
Deutsche Bank
60 Wall St., 23 Floor
New York, NY 10005 - 2836
[REDACTED]
[REDACTED]

From: Danya Friedman On Behalf Of Amlcompliance Inquiries
Sent: Friday, April 28, 2017 1:57 PM
To: Stewart Oldfield <[REDACTED]>
Cc: Amlcompliance Inquiries <[REDACTED]>
Subject: Inquiry Regarding Account 42953715, Client: HBRK ASSOCIATES, INC;
Case No. 141374 - DUE MAY 5 [I]
Importance: High

Classification: For internal use only

Good Afternoon:

The above referenced account has had the following activity. To assist in this review and further document your supervision of this account, please provide information regarding the following transactions. Your complete response must be received within 5 business days.

3/16/2017, \$40,000.00, ACHRAYUT LEUMIT LTD at BANK LEUMI LE ISRAEL B.M.

1. Please explain the purpose of this Outgoing Wire.
2. Please explain the relationship between the Client and Counterparty.

3. Please explain the business activities of the Counterparty.

Please provide any additional pertinent information.

Thank you in advance for your prompt attention to this matter.

Best Regards/Mit freundlichen Grüßen,

PWM AML Compliance
Deutsche Bank
60 Wall St., 23 Floor
New York, NY 10005 - 2836

PLEASE NOTE: YOU ARE BEING CONTACTED BECAUSE YOU ARE LISTED AS THE PRIMARY OFFICER FOR THIS ACCOUNT ON GCIS. IF YOU ARE NOT THE CURRENT PRIMARY OFFICER, PLEASE LET ME KNOW IMMEDIATELY, SO THAT I MAY FORWARD THIS EMAIL TO THE APPROPRIATE PARTY.

Do not forward this e-mail or any attachment directly to the client.