

Subject: RDC alert_Jeffrey Epstein and PCR alert Jeffrey Epstein [I]
From: Cynthia Rodriguez <[REDACTED]>
Date: Thu, 13 Oct 2016 10:24:53 -0400
To: PWMUS AMLKYC <[REDACTED]>

Dear AML Compliance,

Attached are RDC/PCR alerts for Jeffrey Epstein, a current client at DB. He is grantor in the Butterfly Trust, which we are doing a KYC Update on the new beneficiaries. See case 01650218. The attached alerts reference Mr. Epstein as a sex offender, serving a 21 month sentence for fraud, sexual assault, solicitation of prostitution and paying a settlement total of \$437,00 in disgorgement of trading profits, prejudgement interest and civil penalties . These alerts have previously been cleared with AML compliance. No new alerts have come up. Please provide clearance. Thank you.

Kind regards,

Cynthia Rodriguez

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Cynthia Rodriguez
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From: Akash-Kumar Gimesh
Sent: Wednesday, October 12, 2016 5:02 PM
To: Cynthia Rodriguez

Cc: PWM BIS-Research; PWMUS AMLKYC
Subject: RDC alert_James R. French, Jeffrey Epstein and PCR alert_Jeffrey Epstein [I]

Classification: For internal use only

Hello,

Attached is the alerts for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:

Forward explanation of whether the alert pertains to your client directly to compliance.

Receive confirmation from compliance that alert can be removed from the queue.

Include copies of all responses along with your KYC.

Kind regards,

Akash-Kumar Gimesh

{<https://brandportal.intranet.db.com/img/modules/logo.gif>}

Akash-Kumar Gimesh

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