

Subject: RE: RMS [I]
From: Vahe Stepanian <[REDACTED]>
Date: Wed, 12 Jul 2017 16:33:08 -0400
To: Chris Maxmin <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>

Classification: For internal use only

We haven't traded with this counterparty in a while, so it doesn't surprise me that their DF protocol is not up to date. I'll relay to the client.

Thanks for checking.

Best,

Vahe

From: Chris Maxmin
Sent: Wednesday, July 12, 2017 4:30 PM
To: Vahe Stepanian <[REDACTED]>
Subject: RE: RMS [I]

Classification: For internal use only

They are not approved on our platform

They show Ceiling of 40mm usd

However, Dodd Frank protocol is not up to date with us

However, they may have redone their DF Protocol w/Global markets

They also show having zero open positions in GEMS

From: Vahe Stepanian
Sent: Wednesday, July 12, 2017 4:21 PM
To: Chris Maxmin <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>

Subject: RMS [I]

Classification: For internal use only

Chris – can you please confirm that RMS SOFL is good to buy EURUSD calls?

Thank you,

Vahe

Vahe Stepanian

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