

Subject: RE: URGENT: Collateral Admin - [REDACTED] [I]
From: Vahe Stepanian <[REDACTED]>
Date: Wed, 02 Sep 2015 14:36:37 -0400
To: Roddy Moore <[REDACTED]>,
Ariane Dwyer <[REDACTED]>
Cc: Troy-D Williams <[REDACTED]>,
Daniel Sabba <[REDACTED]>

Classification: For internal use only

Roddy – Ari is out of the office today. She will follow up with you tomorrow morning.

Thank you,

Vahe

From: Roddy Moore
Sent: Wednesday, September 02, 2015 2:33 PM
To: Ariane Dwyer
Cc: Vahe Stepanian; Troy-D Williams; Daniel Sabba
Subject: RE: URGENT: Collateral Admin - [REDACTED] [I]

Classification: For internal use only

Is this done?

From: Roddy Moore
Sent: Wednesday, September 02, 2015 10:30 AM
To: Ariane Dwyer
Cc: Vahe Stepanian; Troy-D Williams; Daniel Sabba
Subject: RE: URGENT: Collateral Admin - [REDACTED] [I]

Classification: For internal use only

Why don't you just look at your existing clients, and have the back office

send you the SIC codes for those similar to SF/ST? Have them mimic the one for JAWS, as it's the same kind of client. Hopefully, we can finally bring closure to this.

From: Ariane Dwyer
Sent: Monday, August 31, 2015 1:25 PM
To: Roddy Moore
Cc: Vahe Stepanian
Subject: RE: URGENT: Collateral Admin - [REDACTED] [I]

Classification: For internal use only

Hi Roddy,

Joe is on his MTA and I spoke with Caleb and Andy and they both do not know. Any other ideas?

Best,

Ari

From: Roddy Moore
Sent: Monday, August 31, 2015 11:23 AM
To: Ariane Dwyer
Cc: Vahe Stepanian
Subject: RE: URGENT: Collateral Admin - [REDACTED] [I]

Classification: For internal use only

It's my understanding that this is part of onboarding. Have you tried Caleb or Joe?

From: Ariane Dwyer

Sent: Monday, August 31, 2015 11:15 AM
To: Roddy Moore
Cc: Vahe Stepanian
Subject: RE: URGENT: Collateral Admin - [REDACTED] [I]

Classification: For internal use only

Hi Roddy,

I just spoke with Ellenoi and Mary Davitt and they do not work with SIC codes. Do you know who else could provide this information?

Best,

Ari

From: Roddy Moore
Sent: Friday, August 28, 2015 2:56 PM
To: Ariane Dwyer
Cc: Vahe Stepanian; Daniel Sabba; Collateral Admin-NY
Subject: URGENT: Collateral Admin - [REDACTED] [I]

Classification: For internal use only

This should be sent to the back office. CC'd... They'll also need the SIC code to set up the acct.

From: Ariane Dwyer
Sent: Friday, August 28, 2015 2:54 PM
To: Roddy Moore
Cc: Vahe Stepanian; Daniel Sabba
Subject: [I]

Classification: For internal use only

Please find attached KYCs for Southern Financial.

Best,
Ari

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Ariane Dwyer
Analyst | Key Client Partners - US

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