

Subject: FW: SFL and Gratitude America Ltd [C] [I]
From: Ariane Dwyer <[REDACTED]>
Date: Wed, 13 Jan 2016 14:10:08 -0500
To: Daniel Sabba <[REDACTED]>
Cc: Vahe Stepanian <[REDACTED]>

Yes, from the below emails it looks like there is a PB account for Gratitude

From: Jj Litchford
Sent: Wednesday, October 07, 2015 1:11 PM
To: Vahe Stepanian; Paul Morris
Cc: Ariane Dwyer
Subject: RE: SFL and Gratitude America Ltd [C] [I]

Classification: For internal use only

Here you go—I presume you don't need any of our research?

{cid:image001.gif@01D02B3A.F8AC3FC0}

JJ Litchford
Associate Banker

Deutsche Bank Trust Company Americas
Deutsche Asset & Wealth Management
345 Park Avenue, 24th Floor
New York, New York 10154

{cid:image002.gif@01D02B3A.F8AC3FC0}

From: Vahe Stepanian
Sent: Wednesday, October 07, 2015 12:59 PM

To: Paul Morris; Jj Litchford
Cc: Ariane Dwyer
Subject: RE: SFL and Gratitude America Ltd [C] [I]

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Rich specifically asked to open another brokerage account, and he understood that there is paperwork involved in doing so. Is there an alternative you have in mind?

Thank you,

Vahe

From: Paul Morris
Sent: Wednesday, October 07, 2015 12:55 PM
To: Vahe Stepanian; Jj Litchford
Cc: Ariane Dwyer
Subject: RE: SFL and Gratitude America Ltd [C]

Vahe do we really need a full KCP account for this?

-----Original Message-----

From: Vahe Stepanian
Sent: Wednesday, October 07, 2015 12:51 PM Eastern Standard Time
To: Jj Litchford
Cc: Ariane Dwyer; Paul Morris
Subject: FW: SFL and Gratitude America Ltd [C]

Classification: Confidential

JJ - Rich Kahn just called us back. He would like to proceed with opening a Pershing account on the KCP desk for Gratitude to hold the GILD stock. Can you please send over all of the relevant KYC docs you have on your end as it relates to this particular entity?

We would like to take a look before we send Rich a list of documentation

required to open the new acct.

Thank you,
Vahe

-----Original Message-----

From: Vahe Stepanian
Sent: Tuesday, October 06, 2015 9:07 AM
To: 'Richard Kahn'; Jj Litchford
Cc: Darren Indyke; Jeanne Brennan; Ariane Dwyer
Subject: RE: SFL and Gratitude America Ltd [C]

Classification: Confidential

Rich - adding Ari. We'll take a look this morning and be back to you.

Thanks,
Vahe

-----Original Message-----

From: Richard Kahn [mailto:]
Sent: Tuesday, October 06, 2015 9:01 AM
To: Jj Litchford; Vahe Stepanian
Cc: Darren Indyke; Jeanne Brennan
Subject: re: SFL and Gratitude America Ltd

Jeffrey would like to transfer 100,000 of Gilead Stock (approximately 1050-1100 shares) to Gratitude

I believe we only have checking and savings account for Gratitude at DB
Please advise what we need to do to make this happen ASAP Thank you

Rich