

Subject: Re: ACATS for Southern Financial/Trust [I]
From: Amanda Kirby <[REDACTED]>
Date: Mon, 09 Sep 2013 17:20:30 -0400
To: Paul Morris <[REDACTED]>
Cc: Jay Lipman <[REDACTED]>, Tazia Smith <[REDACTED]>, Vahe Stepanian <[REDACTED]>

Classification: For internal use only

Jay -- Do you have a list of what positions can't be transferred in kind?

Best,
Amanda Kirby

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Amanda Kirby
Associate

Deutsche Bank Trust Company Americas
345 Park Avenue, 27th Fl
New York, NY, USA, 10154-0004
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

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From: Paul Morris/[REDACTED]/-
[REDACTED]

To: Amanda Kirby/[REDACTED]/[REDACTED], Jay Lipman/[REDACTED]/-
[REDACTED],

Cc: Tazia Smith/[REDACTED]/[REDACTED], Vahe Stepanian/[REDACTED]/-
[REDACTED]

Date: 09/09/2013 05:09
PM

Subject: Re: ACATS for Southern Financial/Trust
[I]

Classification: For internal use only

Thanks need to move this forward,

----- Original Message -----

From: Amanda Kirby

Sent: 09/09/2013 04:13 PM EDT

To: Jay Lipman

Cc: Tazia Smith; Vahe Stepanian; Paul Morris

Subject: Re: ACATS for Southern Financial/Trust [I]

Classification: For internal use only

Thank you, Jay. I'll send the account docs to the client today and we can discuss the ACATs further when Paul is in the office tomorrow

Best,
Amanda Kirby

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Amanda Kirby
Associate

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From: Jay Lipman/█/-
█

To: Amanda Kirby/█/-
█,

Cc: Tazia Smith/█/█, Vahe Stepanian/█/-
█

Date: 09/09/2013 04:03
PM

Subject: Re: ACATS for Southern Financial/Trust
[I]

Classification: For internal use only

Hi Amanda,

As we discussed earlier, the ACAT's will be more complicated than usual due to the exotic nature of some of the assets in the accounts.

As for Jeepers, please find attached below the necessary brokerage documents for a corporate account. Please find Completion Instructions:
Account Agreement – Please complete highlighted areas on pgs. 1, 6, 7, 14, and 15
Corp Acct. Authorization – Please complete highlighted area and sign/date bottom of pages 1 + 2

[attachment "AWM Acct. Agreement.pdf" deleted by Amanda Kirby/█/█]
[attachment "Corporate Account Authorization.pdf" deleted by Amanda Kirby/█/█] [attachment "New Corporate Info Sheet 6.2012.pdf" deleted by

Amanda Kirby/[REDACTED]

Kind Regards,

Jay Lipman

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Jay Lipman
Analyst I Markets Coverage Group

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From: Amanda Kirby/[REDACTED]/-
[REDACTED]

To: Jay Lipman/[REDACTED]/-
[REDACTED],

Cc: Tazia Smith, Vahe Stepanian/[REDACTED]/-
[REDACTED]

Date: 09/09/2013 10:59
AM

Subject: ACATS for Southern Financial/Trust
[I]

Classification: For internal use only

Hi Jay,

Wanted to touch base in regards to the ACATS for Southern Trust and Southern Financial. I know you were working on them on Friday. Would like to send them to the client today, if possible.

Also, Jeepers Inc was KYC approved on our end. I am going to work on getting those account docs prepared and send them over today. Would you mind sending me Brokerage accounts for them?

Thank you

Best,
Amanda Kirby

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Amanda Kirby
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