

Sheet1

&F

Fixed Income London Activity Checklist Maker Checker

Activity 1 Account Opening Yes

2 Account Maintenance

3 SSI

DB Client/DBCAR SL. No. DB Client/DB CAR

1 Accept/Open the Request

2 Dupe Check

3 Checking the mandatory details(SSSI & Confirmation)

4 Cross referred in DB Client/DBCAR

5 Push Back/Request ID/search

6 Upload/save/check documents

GM Payments SL. No. GM payment

1 Verify seal and signature in the call back document

2 Setup Account in GM payments

3 Input/update the SSI's in GM payments

CATS SL. No. CATS

1 Verifying the SSI existing in GM payments

2 Create/Update SSI in CATS application

DB Trader MVS SL. No. DB Trader MVS

1 Normal Account Setup

2 SSI's Creation/Updation/Deletion

3 Nostro & Depot Account setup/Closure

4 Book setup/closure

5 Amendments (PC Flag,ODC No, SWX Member code, ISMA/Any other)

6 Confirmation Type- updated

CTM Confirmation

Oasys Confirmation

Swift Confirmation

MT515 Confirmation

Fax updation

No Confirmation (08)

E-mail Updation

7 A/C Maintenance (TR Name, Party Name, Address Change)

8 Retrieve Rates from server & Input same rates in DB Trader MVS

DB SSI SL. No. DB SSI

1 SSI capture/Update through DB SSI

2 Validate & approve/reject the SSI's

3 Checked the flow from DBSSI to DB Trader

4 DB SSI Review

SD Operator SL. No. SD Operator

1 Normal Account Setup Yes

2 SSI's Creation/Updation/Deletion Yes

3 South Africa Account setup

4 A/C Maintenance(TR/Party Name,Address/Confirmations Change details)

5 Book setup/closure

6 RTF counterparty setup

Magellan SL. No. Magellan

1 Normal Account Setup

2 SSI's Creation/Updation/Deletion in Magellan

3 Nostro & Depot Account setup/Closure

4 South Africa Account setup/Closure

5 Book setup/closure

6 A/C Maintenance /Amendments(Party Name, X-ref details)

7 Sales person name creation

4Eye SL. No. RAIL

1 Account Active/Inactive in RAIL

2 Change of CTM BIC/Access code

EM WSS SL. No. EMWSS

1 South Africa account setup

2 A/C Maintenance (TR Name, Party Name, Address Change)

Process SL. No. Process perks

1 Check Authorised Requestor List

2 Soft copies saved and highlighted Yes

3 I-cart Updation Yes

Description Full Name Date(DD/MM/YY) Time(24hrs)

Maker Anil 16/05/18 20:50:00

Checker

Count Capture : 3 Update: Review:

Aniket Jinde

Deeksha Prabhu

Devaraj Vaddin

Hima Bindu

Mahesh Kamath

Manjunatha Nuthanpati

Musthaffa Saleem

Pawan Sankpal

Rajesh Marimuttu

Rupal Mishra

Shuaib Ahmad

Snehal-Ashok Gosavi

Srinivas Jatangi

Sujit-Prabhakar Pillai

Sushma Rangaswamy

Vinikala Vijayan

Vishwanath Pujari

Team: Fixed Income (LDN)

Type: Activity Check list

Version:1.2

Approved:Kiran Naik

Date: 09th Jan 2017

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