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Anastasia Pringle

Vahe Stepanian

Tuesday, January 20, 2015 4:20 PM

IMS Equities-Accounts

Anastasia Pringle; Daniel Sabba; Srinivas-X J; Arun-Agara Sarangapani  
RE; Wire Instructions [I]

From:

Sent:

To:

Cc:

Subject:

Classification: For internal use only

I confirm that the instructions (included in this email again) are for a DB  
AWM account a/c number [REDACTED].

Bank of NY

ABA#: 021 000 018

SWIFT: IRVTUS3N

Account name: Pershing LLC

Account#: [REDACTED]

For Further credit to: Southern Financial LLC

Account#: [REDACTED]

I don't know anything about eSpear/Gloss or NAOP account number, so I'll  
defer to Anastasia on that.

Thank you

Vahe

From: Sumanth Adiga On Behalf Of IMS Equities-Accounts

Sent: Tuesday, January 20, 2015 4:15 PM

To: Vahe Stepanian

Cc: Anastasia Pringle; Daniel Sabba; IMS Equities-Accounts; Srinivas-X J;  
Arun-Agara Sarangapani

Subject: RE: Wire Instructions [I]

Classification: For internal use only

Hi Vahe,

As discussed, If the below instructions are DB internal, we don't required  
callback confirmation. However please let us  
know do we need to update in any internal systems like eSpear/Gloss. Also  
provide 8-10 digit NAOP account number.

Thanks

Kind regards

Client Static - Equities - New York

GT0 - Client Onboarding

Tel. [REDACTED]

Email [REDACTED]

Escalation Contacts:

First Level -

Name : Srinivas-x.j (Bangalore) + [REDACTED] & Shadma Kazmi (Pune)

Email ID : [REDACTED]

1

Second Level -

Name : Arun-Agara Sarangapani

Email ID : ([REDACTED]) M: + [REDACTED]

+ [REDACTED]

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Vahe Stepanian-01/21/2015 01:23:11 AM-Classification: For internal use only !'m at my desk @ [REDACTED]. Thx

Vahe Stepanian/[REDACTED]

From;

IMS Equities-Accounts/[REDACTED], Anastasia Pringle/[REDACTED],

To:

Daniel Sabba/[REDACTED], Srinivas-X J/[REDACTED]

Cc:

Date: 01/21/2015 01:23 AM

Subject: RE: Wire Instructions [I]

Classification: For internal use only

I'm at my desk (S [REDACTED]). Thx

From: Sumanth Adiga On Behalf Of IMS Equities-Accounts

Sent: Tuesday, January 20, 2015 2:45 PM

To: Anastasia Pringle; Vahe Stepanian

Cc: Daniel Sabba; IMS Equities-Accounts; Srinivas-X J

Subject: RE: Wire Instructions [I]

Classification: For internal use only

Hi Vahe,

Tried reaching you and you were not around. Please let us know once you are back. Thanks.

Kind regards

Sumanth

Client Static - Equities - New York

GTO - Client Onboarding

Tel. [REDACTED]

Email [REDACTED]

Escalation Contacts:

First Level -

Name : Srinivas-x.j (Bangalore) + [REDACTED] & Shadma Kazmi (Pune)

[REDACTED]

Email ID: [REDACTED]

[REDACTED]

Second Level -

Name : Arun-Agara Sarangapani

+ [REDACTED]

2

Email ID : ( [REDACTED] ) M: + [REDACTED]

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This email is sent to you by Tata Consultancy Services on behalf of Deutsche Bank.

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Anastasia Pringle-01/21/2015 12:57:02 AM-Classification: For internal use only IMS,

Anastasia Pringle/[REDACTED]

From:

Vahe Stepanian/[REDACTED], IMS Equities-Accounts/[REDACTED], Srinivas-X J/[REDACTED],

To:

Daniel Sabba/[REDACTED]

Cc:

01/21/2015 12:57 AM

Date:

RE: Wire Instructions [I]

Subject:

Classification: For internal use only

IMS,

Can you please urgently advise on this m

Kind Regards,

Mrs. Anastasia Pringle

Deutsche Bank Securities Inc.

Client Onboarding Equities

5022 Gate Parkway, Suite 500, 32256 Jacksonville, FL, USA

Tel. [REDACTED]

Fax [REDACTED]

Email [REDACTED]

Please send all GED related emails to: Ged.account-openina@db.com

From: Vahe Stepanian

Sent: Tuesday, January 20, 2015 2:12 PM

To: Anastasia Pringle; IMS Equities-Accounts; Srinivas-X J

Cc: Daniel Sabba

Subject: RE: Wire Instructions [I]

Classification: For internal use only

Thanks Anastasia. As mentioned on our call, the instructions that I provided below are to the client's Deutsche AWM brokerage account.

Wealth Management (WM) brokerage accounts clear through BONY / Pershing LLC, hence the USD instructions flow through those

3

accounts (i.e. DB WM does not self-clear).

Static data team - please call me @ [REDACTED] should you wish to

discuss further.

Vahe

From: Anastasia Pringle

Sent: Tuesday, January 20, 2015 2:09 PM

To: Vahe Stepanian; IMS Equities-Accounts; Srinivas-X J

Cc: Daniel Sabba

Subject: RE: Wire Instructions [I]

Importance: High

Classification: For internal use only

Vahe,

There seems to be some questioning around the settlement instructions that we are being asked to add to the clients account here under DBSI.

The static data team believes that a callback needs to be done although these are DB instructions to the clients PWM account.

Can you please clarify this.

Thanks

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Kind Regards,

Mrs. Anastasia Pringle

Deutsche Bank Securities Inc.

Client Onboarding Equities

5022 Gate Parkway, Suite 500, 32256 Jacksonville, FL, USA

Tel. [REDACTED]

Fax [REDACTED]

Email [REDACTED]

Please send all GED related emails to: Ged.account-0Denina@db.com

From: Vahe Stepanian

Sent: Tuesday, January 20, 2015 12:27 PM

To: Anastasia Pringle

Cc: Daniel Sabba

Subject: Wire Instructions [I]

Classification: For internal use only

Hi Anastasia - please find below USD instructions per our conversation.

Note these cash instructions are to the client's DB AWM account.

Bank of NY

ABA#: 021 000 018

SWIFT; IRVTUS3N

4

Account name: Pershing LLC

Account#: [REDACTED]

For Further credit to: Southern Financial LLC

Account#: [REDACTED]

Please let us know if you have questions.

Thank you,

Vahe

Vahe Stepanian

Associate | Key Client Partners

Deutsche Bank Securities Inc

Deutsche Asset & Wealth Management

345 Park Avenue - 26th Floor

New York, NY 10154-0004

Tel. [REDACTED]

Fax [REDACTED]

Mobile [REDACTED]

Email [REDACTED]

Bloomberg: [REDACTED]

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5