

Subject: RE: Epstein account opening [I]
From: Ariane Dwyer <[REDACTED]>
Date: Thu, 28 Jan 2016 11:33:26 -0500
To: Paul Morris <[REDACTED]>
Cc: Todd Stevens <[REDACTED]>
Vahe Stepanian <[REDACTED]>,
Mark Frankel <[REDACTED]>
Daniel Sabba <[REDACTED]>

Hi Paul,

Middle Office has requested this go to AML compliance, the group that requested that it go to Rep Risk. With that I have gone through the Rep Risk form and will have it for you to review and sign this afternoon.

Best,

Ari

From: Paul Morris
Sent: Thursday, January 28, 2016 11:28 AM
To: Ariane Dwyer; Daniel Sabba
Cc: Todd Stevens; Vahe Stepanian; Mark Frankel
Subject: RE: Epstein account opening [I]

Classification: For internal use only

Ari, I'm in the office, what is status of this? thanks

From: Ariane Dwyer
Sent: Tuesday, January 26, 2016 5:02 PM
To: Daniel Sabba; Paul Morris
Cc: Todd Stevens; Vahe Stepanian; Mark Frankel
Subject: RE: Epstein account opening [I]

Hello,

I just spoke with Rich and he is going to send us the updated entity docs.

Best,

Ari

From: Daniel Sabba
Sent: Tuesday, January 26, 2016 1:39 PM
To: Paul Morris
Cc: Todd Stevens; Vahe Stepanian; Ariane Dwyer; Mark Frankel
Subject: RE: Epstein account opening [I]

No problem. Ari will liaise with Rich and report back. Safe travels.

From: Paul Morris
Sent: Tuesday, January 26, 2016 1:36 PM
To: Daniel Sabba
Cc: Todd Stevens; Vahe Stepanian; Ariane Dwyer; Mark Frankel
Subject: RE: Epstein account opening [I]

No one is here this week so if you can help would be appreciated

-----Original Message-----

From: Paul Morris
Sent: Tuesday, January 26, 2016 01:31 PM Eastern Standard Time
To: Daniel Sabba
Cc: Todd Stevens; Vahe Stepanian; Ariane Dwyer; Mark Frankel
Subject: RE: Epstein account opening [I]

Please have someone on your team call Rich to confirm details

-----Original Message-----

From: Daniel Sabba
Sent: Tuesday, January 26, 2016 01:17 PM Eastern Standard Time
To: Paul Morris
Cc: Todd Stevens; Vahe Stepanian; Ariane Dwyer; Mark Frankel
Subject: RE: Epstein account opening [I]

Paul, thank you for your note. We just double checked and Gratitude America's formation docs lists JE as president and the entity's KYC lists JE as source of funds. Please complete the request form whenever possible – Ari can help. Thank you, Daniel

From: Paul Morris
Sent: Tuesday, January 26, 2016 12:59 PM
To: Daniel Sabba
Cc: Todd Stevens; Vahe Stepanian; Ariane Dwyer; Mark Frankel
Subject: RE: Epstein account opening [I]

Daniel, isn't Gratitude America a 501-3c? Epstein is not an officer of this and don't think was funded with his \$. I'll be back in NY Thursday. If we still need to do the external due diligence on this one let me know and I can do over the phone with Ari and you can submit. Thanks

-----Original Message-----

From: Daniel Sabba
Sent: Monday, January 25, 2016 04:40 PM Eastern Standard Time
To: Daniel Sabba; Paul Morris
Cc: Todd Stevens; Vahe Stepanian; Ariane Dwyer; Mark Frankel
Subject: RE: Epstein account opening [I]

Hi Paul – I hope all is well. We wanted to circle back on this form. Thank you, Daniel

From: Daniel Sabba
Sent: Thursday, January 21, 2016 3:37 PM
To: Paul Morris

Cc: Todd Stevens; Vahe Stepanian; Ariane Dwyer; Mark Frankel
Subject: RE: Epstein account opening [I]

Paul – I am CCing Mark Frankel, who is the best person to answer any questions on this document, as it was produced by his division.

Mark, can you please connect with Paul Morris with regards to his questions?

Best regards,

Daniel

From: Paul Morris
Sent: Thursday, January 21, 2016 3:13 PM
To: Daniel Sabba
Cc: Todd Stevens; Vahe Stepanian; Ariane Dwyer
Subject: RE: Epstein account opening [I]

Classification: For internal use only

Daniel, can you or Todd please call me to explain this document? Thanks

Paul Morris

Managing Director

Deutsche Bank Private Bank

Office: [REDACTED]

Cell: [REDACTED]

From: Daniel Sabba
Sent: Thursday, January 21, 2016 11:03 AM
To: Paul Morris
Cc: Todd Stevens; Vahe Stepanian; Ariane Dwyer
Subject: Epstein account opening

Paul,

Per our conversation, the reputational risk committee has requested an external due diligence report as a step towards the approval for opening an account for Gratitude America. This report takes about eight business days to be completed, but we need you to please complete the attached request form to get it started.

The committee has informed us DB personnel cannot disclose to the client this matter is being evaluated for reputation matters. They have asked that, when inquired, we communicate to the client "we are in the process of internal due diligence to review the opening of this account, as it is done with all new accounts".

Ari can help fill in any information you need in this form.

Thank you in advance,

Daniel

Daniel Sabba

Deutsche Bank Securities Inc.

Tel. + [REDACTED]

Mobile [REDACTED]

Email [REDACTED]

