

> 75% Pipeline

Opportunity Name	Customer Name	Close Date	(%)	Product Name	Actual Assets	Stru
cture BPS/Flat Fee	Actual Revenue	Sales Team Member		Estimated Month #	2015	Est 2015 Rev
Est 2016 Rev						
Brokerage	WANEK TRUST OF 2000	3/31/2016	90	Brokerage (Commissions)	5000000	BPS
5 2500 0	IF(G2="BPS",I2*(K2/12),I2)=0			IF(G2="BPS",I2,0)=2500		
JTWROS Brokerage	Wanek, Karen A.	3/31/2016	90	Brokerage (Commissions)	5000000	BPS
20 10000 Morris 0	IF(G3="BPS",I3*(K3/12),I3)=0			IF(G3="BPS",I3,0)=10000		
Banking Black, Leon D.	3/31/2016	90	Private Wealth	Premium Checking	50000000	BPS
40 200000 Morris 0	IF(G4="BPS",I4*(K4/12),I4)=0			IF(G4="BPS",I4,0)=200000		
Secondary CLOs (Equity)	General Atlantic Family Office	3/31/2016	75	Structured Notes & Deposits		
5000000 Flat Fee	200000 200000 Morris 0			I5=200000		
Phoenix America	Third Lake Capital	12/31/2015	75	Private Equity Funds	15000000	
BPS 150 225000 Morris 0				I6=225000		
KCP Black, Leon D.	3/31/2016	75	Brokerage (Commissions)	50000000	BPS	5
25000 0 0	I7=25000					
Additional Deposits	Third Lake Capital	3/31/2016	75	DB AG NY Preferred Certifica		
te of Deposit 50000000	BPS 20 100000 Morris 0			IF(G8="BPS",I8*(K8/12),I8)=0		
IF(G8="BPS",I8,0)=100000						
RIN General Atlantic Family Office	3/31/2016	75	Structured Notes & Deposits (Equity)			
3000000 Flat Fee	90000 90000 Morris 0			IF(G9="BPS",I9*(K9/12),I9)=90000		I9=90000
0000						
Brokerage	Gratitude America	3/31/2016	75	Brokerage (Asset-Based Fees) - Advis		
or Select 10000000	BPS 20 20000 Oldfield 0			IF(G10="BPS",I10*(K10/12),I10)=0		
IF(G10="BPS",I10,0)=20000						
Structured Notes (Equity)	SK Family Office	3/31/2016	75	Structured Notes & Deposits		
3000000 Flat Fee	45000 45000 Morris 0			I11=45000		
Deposits Meister, Todd	3/31/2016	75	Private Wealth	Premium Money Market	1000	
0000 BPS 35 35000 Morris 0				IF(G12="BPS",I12*(K12/12),I12)=0		IF(G12="BPS",I12,0)=35000
Additional Banking	Southern Financial LLC	3/31/2016	75	Private Wealth	Premium Check	
ing w/Interest 50000000	BPS 20 100000 Morris 0			I13=100000		
Loan SK Family Office	3/31/2016	75	U.S. Structured Lending (Secured)		5000	
000 BPS 200 100000 Morris 0				IF(G14="BPS",I14*(K14/12),I14)=0		IF(G14="BPS",I14,0)=100000
DPM The Haze Trust	3/31/2016	75	U.S. IA - Equity	25000000	BPS	35
87500 Morris 0	IF(G15="BPS",I15*(K15/12),I15)=0			IF(G15="BPS",I15,0)=87500		
Goldrush Parami Capital Management	3/31/2016	75	U.S. Structured Lending (Sec			
ured) 90000000	BPS 1235 13365000 Morris 0			IF(G16="BPS",I16*(K16/12),I16)=0		
IF(G16="BPS",I16,0)=13365000						
ETE Loan Lindemann, Adam M.	12/31/2015	75	U.S. Letter of Credit	37500000		
BPS 100 375000 Morris 0				IF(G17="BPS",I17*(K17/12),I17)=0		IF(G17="BPS",I17,0)=375000
KCP/ Private Markets	EverWatch Financial	3/31/2016	75	Private Equity Funds	5000	
000 Flat Fee	200000 200000 Oldfield 0			IF(G18="BPS",I18*(K18/12),I18)=20000		
0 IF(G18="BPS",I18,0)=0						
KCP/ Private Markets	ORIX Corporation	3/31/2016	75	Private Equity Funds	1000	
0000 Flat Fee	400000 400000 Oldfield 0			IF(G19="BPS",I19*(K19/12),I19)=40000		
0 IF(G19="BPS",I19,0)=0						
SUM(F2:F19)=428500000	SUM(I2:I19)=15580000	SUM(L2:L19)=690000	SUM(M2:M19)=14980000			

Full Pipeline							
Opportunity Name	Customer Name	Close Date	(%)	Product Name	Actual Assets	Stru	
cture BPS/Flat Fee	Actual Revenue	Sales Team Member					
Brokerage	WANEK TRUST OF 2000	3/31/2016	90	Brokerage (Commissions)	5000000	BPS	
5	2500 Paul Morris						
JTWROS Brokerage	Wanek, Karen A.	3/31/2016	90	Brokerage (Commissions)	5000000	BPS	
20	10000 Paul Morris						
Banking Black, Leon D.	3/31/2016	90	Private Wealth Premium Checking	50000000		BPS	
40	200000 Paul Morris						
Secondary CLOs (Equity)	General Atlantic Family Office	3/31/2016	75	Structured Notes & Deposits			
	5000000 Flat Fee	200000	200000	Paul Morris			
Phoenix America	Third Lake Capital	12/31/2015	75	Private Equity Funds	15000000		
BPS	150 225000 Paul Morris						
KCP Black, Leon D.	3/31/2016	75	Brokerage (Commissions)	50000000		BPS	5
25000	Paul Morris						
Additional Deposits	Third Lake Capital	3/31/2016	75	DB AG NY Preferred Certifica			
te of Deposit	50000000 BPS	20	100000 Paul Morris				
Rated Infrastructure Notes	General Atlantic Family Office	3/31/2016	75	Structured Notes & Deposits			
otes & Deposits (Equity)	3000000 Flat Fee	90000	90000	Paul Morris			
Brokerage	Gratitude America	3/31/2016	75	Brokerage (Asset-Based Fees) - Advis			
or Select	10000000 BPS	20	20000 Paul Morris				
Structured Notes (Equity)	SK Family Office	3/31/2016	75	Structured Notes & Deposits			
	3000000 Flat Fee	45000	45000	Paul Morris			
Deposits	Meister, Todd	3/31/2016	75	Private Wealth Premium Money Market			1000
0000	BPS 35 35000 Paul Morris						
Additional Banking	Southern Financial LLC	3/31/2016	75	Private Wealth Premium Check			
ing w/Interest	50000000 BPS	20	100000 Paul Morris				
Loan	SK Family Office	3/31/2016	75	U.S. Structured Lending (Secured)			5000
000	BPS 200 100000 Paul Morris						
DPM	The Haze Trust	3/31/2016	75	U.S. IA - Equity	25000000	BPS	35
87500	Paul Morris						
Goldrush	Parami Capital Management	3/31/2016	75	U.S. Structured Lending (Sec			
ured)	90000000 BPS	1235	13365000 Stewart Oldfield				
ETE Loan	Lindemann, Adam M.	12/31/2015	75	U.S. Letter of Credit	37500000		
BPS	100 375000 Stewart Oldfield						
KCP/ Private Markets	EverWatch Financial	3/31/2016	75	Private Equity Funds			5000
000	Flat Fee 200000 200000 Stewart Oldfield						
KCP Private Markets	ORIX Corporation	3/31/2016	75	Private Equity Funds			1000
0000	Flat Fee 400000 400000 Stewart Oldfield						
Cash Management	Elysium Management	12/31/2015	50	U.S. IA - RCM	50000000	BPS	
25	125000 Paul Morris						
Commerical Real Estate	Gottesman, Noam	12/31/2015	50	Commercial Real Estate	50000000		
BPS	200 1000000 Paul Morris						
CMBS	Third Lake Capital	3/31/2016	50	Commercial Real Estate	20000000		Flat
Fee	30000 30000 Paul Morris						
Art Lending	Black, Leon D.	9/30/2016	50	U.S. Structured Lending (Secured)			2500
00000	BPS 100 2500000 Paul Morris						
KCP Private Markets	Third Lake Capital	3/31/2016	50	Private Equity Funds			1500
0000	BPS 150 225000 Paul Morris						
Fixed Income	Third Lake Capital	3/31/2016	50	U.S. IA - Fixed	100000000	BPS	
35	350000 Paul Morris						
Credit Draw (Lombard)	The National Organization of the NAC	12/31/2015	50	U.S. Secured Lending			
	10000000 BPS	180	180000 Paul Morris				
Deposits	Hillspire LLC	3/31/2016	50	Private Wealth Premium Checking	50000000		
BPS	20 100000 Stewart Oldfield						
Consumer RE	Lindemann Jr., George	12/31/2015	50	Commercial Real Estate	50000000		
BPS	100 500000 Stewart Oldfield						
Unsecured Loan	Lindemann Jr., George	12/31/2015	50	U.S. Structured Lending (Unsecured)			
100000000	BPS 200 2000000 Stewart Oldfield						
Proxy Hedge	Elysium Management	12/31/2015	25	Derivatives (Equity - Option)			2500
00000	BPS 100 2500000 Paul Morris						
Aggregator Fund	TFMG, LLC	3/31/2016	25	Private Equity Funds	5000000 Flat Fee		
125000	125000 Paul Morris						
Aggregator Fund	Third Lake Capital	3/31/2016	25	Private Equity Funds	5000000 Flat		
Fee	125000 125000 Paul Morris						
Deposits	Gagosian, Larry	3/31/2016	25	Private Wealth Premium Checking w/Interest			
25000000	0 Paul Morris						
Suntex	Southern Financial LLC	12/31/2015	25	Brokerage (Commissions)	5000000 Flat Fee		
0	Paul Morris						
Structured Credit	Fitzgibbons, John	12/31/2015	25	U.S. Letter of Credit	5000		
0000	BPS 200 1000000 Paul Morris						
Structured Credit	Meister, Todd	3/31/2016	25	U.S. Letter of Credit	50000000		
BPS	200 1000000 Paul Morris						
Aggregator Fund	Willett Advisors, LLC	3/16/2016	25	Private Equity Funds	5000000 Flat		
Fee	125000 125000 Stewart Oldfield						
Cash Management	Third Lake Capital	12/31/2015	10	U.S. IA - Fixed	100000000	BPS	
25	250000 Paul Morris						
Fixed Income	Moody, Erik V	12/31/2015	10	U.S. IA - Fixed	25000000	BPS	35
87500	Paul Morris						

Banking Moody, Erik V	12/31/2015	10	Private Wealth Premium Checking w/Interest	2500
0000 BPS 35	87500 Paul Morris			
Spurlin, Angela-	Spurlin, Angela 2/29/2016	10	0 Paul Morris	
Rubenstein, Keith-	Rubenstein, Keith	2/29/2016	10 0 Paul Morris	
Somerset Partners-	Somerset Partners	2/29/2016	10 0 Paul Morris	
SSW 2007 LLC-	SSW 2007 LLC 3/31/2016	10	Private Wealth Premium Money Market	5000
0000 BPS 40	200000 Paul Morris			
Retirement Plan Ellin, Howard	9/30/2016	10	U.S. IA - Balanced	1000000 BPS 60
6000 Paul Morris				
Loan Bulloch, Roger	12/31/2015	10	U.S. Structured Lending (Secured)	20000000
BPS 100	200000 Stewart Oldfield			
FallLine-	FallLine	2/29/2016	10 0 Stewart Oldfield	
Bakhshandehpour, Sam-	Bakhshandehpour, Sam	2/29/2016	10 0 Stewart Oldfield	
Custody and Trading	Tisch Financial Management	12/31/2015	0	Onshore Custody 3000
00000 BPS 20	600000 Paul Morris			
Hattrick Sports Group	Meister Company LLC	12/31/2015	0	Int'l Structured Lending (Se
cured) 50000000	BPS 325 1625000 Paul Morris			
Loan Dorrance Family	Foundation	12/31/2015	0	U.S. Structured Lending (Secured)
200000000	BPS 100 2000000 Stewart Oldfield			
Cash management Dorrance Family	Foundation	12/31/2015	0	Private Wealth Premium Money
Market 20000000	BPS 30 60000 Stewart Oldfield			
Deposits	The George Lindemann Family Limited Partnership	12/31/2015	0	Private Weal
th Premium Money Market	1000000 BPS 35 3500 Stewart Oldfield			
ETE Brokerage- Series 2	The George Lindemann Family Limited Partnership	12/31/2015	0	Brok
erage (Asset-Based Fees) - Advisor Select	30000000 BPS 20 60000 Stewart Oldf			ield
ETE- Brokerage Series 1	The George Lindemann Family Limited Partnership	3/31/2016	0	Brok
erage (Commissions)	30000000 BPS 20 60000 Stewart Oldfield			
ETE- Loan Series 1	The George Lindemann Family Limited Partnership	3/31/2016	0	U.S.
Secured Lending (Lombard)	20000000 BPS 135 270000 Stewart Oldfield			
ETE loan- Series 2	The George Lindemann Family Limited Partnership	3/31/2016	0	U.S.
Secured Lending (Lombard)	20000000 BPS 135 270000 Stewart Oldfield			
ETE loan- Series 2	The George Lindemann Family Limited Partnership	3/31/2016	0	U.S.
Secured Lending (Lombard)	20000000 BPS 135 270000 Stewart Oldfield			
Margin Loan	DF Enterprises	3/31/2016	0	U.S. Secured Lending (Lombard) 250000000
BPS 65	1625000 Stewart Oldfield			
Land Loan	DF Enterprises	12/31/2016	0	U.S. Structured Lending (Secured) 1000
00000 BPS 600	6000000 Stewart Oldfield			

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Customer Name	Last Call Note	Customer Number	Status	Relationship	Tier	Rel. Net Worth	Rela
Investable Assets (Est.)	Revenue Potential	(\$M per annum)	Last Modified	Date			
3 G CAPITAL RELATIONSHIP		00000492967	Active	Tier 2	#####	H	
AVISTA RELATIONSHIP	5/16/2013	00000408824	Active	Tier 3	\$25 - 50 M	<\$0.5 M	####
####	H						
BLUE MOUNTAIN RELATIONSHIP		00000494553	Active	Tier 2	#####	H	
BOMMER, SCOTT RELATIONSHIP		00000482412	Active	Tier 3	#####	H	
BRONFMAN, EDGAR RELATIONSHIP		00000482491	Active	Tier 2	#####	H	
CAVALRY RELATIONSHIP	00000483810	Active	Tier 1	#####	H		
CUMMINGS, IAN RELATIONSHIP		00000481149	Active	Tier 1	\$100 - 500 M	\$250 - 500K	####
####	H						
DELL, MICHAEL RELATIONSHIP	1/6/2015	00000409133	Active	Tier 1	\$50 - 100 M	\$0.5	
- 1 M #####	H						
DINAN, JAMIE RELATIONSHIP		00000482458	Active	Tier 3	#####	H	
ERGEN, CHARLES RELATIONSHIP	7/9/2013	00000448035	Active	Tier 1	\$50 - 100 M	\$0.5	
- 1 M #####	H						
FELDSTEIN, ANDREW RELATIONSHIP		00000480417	Active	Tier 2	\$50 - 100 M	\$50 - 100K	####
####	H						
GAGOSIAN, LARRY RELATIONSHIP	1/12/2015	00000416916	Active	Tier 3	#####	H	
GATES FOUNDATION RELATIONSHIP		00000487611	Active	Tier 2	#####	H	
LAFFONT, PHILIPPE RELATIONSHIP		00000482519	Active	Tier 2	#####	H	
MANDEL, STEPHEN RELATIONSHIP	12/20/2012	00000409312	Active	Tier 1	\$50 - 100 M	\$0.5	
- 1 M #####	H						
MARRON, DONALD B. RELATIONSHIP		00000487026	Active	Tier 3	#####	H	
PINE RIVER CAPITAL RELATIONSHIP		00000495627	Active	Tier 2	#####	H	
SCHAEFER, MARLA RELATIONSHIP		00000482432	Active	Tier 2	#####	H	
SIRIS CAPITAL RELATIONSHIP		00000485211	Active	Tier 3	#####	H	
STREAM REALTY RELATIONSHIP		00000495565	Active	Tier 2	#####	H	
TABLADA, MARO RELATIONSHIP		00000482398	Active	Tier 2	#####	H	
TOMS CAPITAL LLC RELATIONSHIP	6/3/2013	00000409286	Active	Tier 1	#####	H	
VIOLA, VINCENT RELATIONSHIP		00000481140	Active	Tier 2	\$50 - 100 M	\$100 - 250K	####
####	H						
WEISS, GEORGE RELATIONSHIP		00000480421	Active	Tier 2	\$50 - 100 M	\$100 - 250K	####
####	H						
YORKTOWN PARTNERS, LLC RELATIONSHIP		00000483947	Active	Tier 3	#####	H	

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Customer Name	Last Call Note	Customer Number	Status	Relationship	Tier	Rel. Net Worth	Rela
Investable Assets (Est.)	Revenue Potential (\$M per annum)	Notes	Notes	Notes	Notes	Last Modified Date	Date
54 MADISON PARTNERS RELATIONSHIP		00000520240	Active	Tier 1	H		
ANNANDALE CAPITAL RELATIONSHIP	00000499580		Active	Tier 2	9/20/2015	H	
BBR PARTNERS RELATIONSHIP	11/10/2014	00000480937	Active	Tier 2	<\$25K	9/20/2015	
H							
BLUESTEM LLC RELATIONSHIP	00000499584		Active	Tier 3	9/20/2015	H	
CARUSO, RICHARD RELATIONSHIP	1/6/2015	00000411476	Active	Tier 2	\$10 - 25 M	<\$25	
K	9/20/2015	H					
CFM RELATIONSHIP	00000508292	Active	Tier 2	9/20/2015	H		
COLLIS, CHARLES RELATIONSHIP	00000499562		Active	Tier 2	9/20/2015	H	
DORRANCE FAMILY RELATIONSHIP	8/3/2015	00000409820	Inactive		Tier 1	1.5E9	\$100
- 500 M	\$25 - 50K	9/20/2015	H				
DUNCAN INVESTMENTS RELATIONSHIP	00000499619		Active	Tier 2	9/20/2015	H	
FORRESTAL CAPITAL RELATIONSHIP	2/18/2015	00000475431	Active	Tier 1	9/20/2015	H	
GEORGETOWN UNIVERSITY RELATIONSHIP	00000495959		Active	Tier 2	9/20/2015	H	
GETZ FAMILY RELATIONSHIP	8/19/2015	00000421545	Inactive		Tier 1	\$100 - 500 M	
\$250 - 500K	9/20/2015	H					
HIGHLANDER PARTNERS RELATIONSHIP		00000499594	Active	Tier 2	9/20/2015	H	
KIM, STEVEN RELATIONSHIP	00000499732		Active	Tier 2	9/20/2015	H	
MAKENA CAPITAL MANAGMENT, LLC RELATIONSHIP		00000499849	Active	Tier 2	9/20/2015	H	
ORIX RELATIONSHIP	00000497520	Active	Tier 2	9/20/2015	H		
ROGER BULLOCH RELATIONSHIP	00000514745		Active	Tier 2	9/20/2015	H	
SCHMIDT, ERIC RELATIONSHIP	12/4/2014	00000409366	Active	Tier 2	>\$500 M	<\$0.5 M	9/20
/2015	H						
SHAMROCK RELATIONSHIP	00000508301	Active	Tier 2	9/20/2015	H		
SLOME, IAN RELATIONSHIP	00000499573	Active	Tier 2	9/20/2015	H		
SNYDER, DANIEL RELATIONSHIP	00000495876		Active	Tier 2	9/20/2015	H	
SONEN CAPITAL RELATIONSHIP	00000507994		Active	Tier 2	9/20/2015	H	
SPRUCE PRIVATE INVESTORS LLC RELATIONSHIP		11/3/2014		00000416313	Active	Tier 3	\$10
- 25 M	<\$25K	9/20/2015	H				

Clients

Customer Name	Last Call Note	Customer Number	Status	Relationship	Classification	Last Modified Date	Category
BLANK, ARTHUR M.	RELATIONSHIP	8/3/2015	00000409087	Active	Tier 2	9/20/2015	H
BOIES FAMILY	RELATIONSHIP	8/3/2015	00000474263	Active	Tier 2	9/20/2015	H
DZIALGA, KIRSTEN & MARK	RELATIONSHIP	00000492969	Active	Tier 2	10/19/2015		H
GENERAL ATLANTIC FAMILY OFFICE	RELATIONSHIP		00000492971	Active	Tier 2	9/20/2015	H
THIRD LAKE CAPITAL	RELATIONSHIP	10/1/2014	00000490359	Active	Tier 2	9/20/2015	H
TISCH FAMILY	RELATIONSHIP	7/22/2013	00000409409	Active	Tier 1	9/20/2015	B
ELLIN, HOWARD	RELATIONSHIP	8/3/2015	00000474212	Active	Tier 3	9/20/2015	
ELYSIUM MANAGEMENT	RELATIONSHIP	00000483288	Active	Tier 2		9/20/2015	
KLEIN, LISA	RELATIONSHIP	12/14/2012	00000440552	Active	Tier 3	10/19/2015	
LINDEMANN FAMILY	RELATIONSHIP	2/6/2015	00000427075	Active	Tier 3	10/19/2015	
SK FAMILY OFFICE	RELATIONSHIP	00000481998	Active	Tier 1		9/20/2015	
SOUTHERN FINANCIAL	RELATIONSHIP	00000483290	Active	Tier 1		10/19/2015	
THE NATIONAL ORGANIZATION OF THE NEW APOSTOLIC CHURCH OF NORTH AMERICA	RELATIONSHIP						8/3/2015
00000499740	Active	Tier 2				9/20/2015	

Prospects

Customer Name	Last Call Note	Customer Number	Status	Relationship	Classification	Last Modified Date	Category
3 G CAPITAL RELATIONSHIP		00000492967	Active	Tier 2	9/20/2015		H
ANNANDALE CAPITAL RELATIONSHIP		00000499580	Active	Tier 2	9/20/2015		H
AVISTA RELATIONSHIP	5/16/2013	00000408824	Active	Tier 3	9/20/2015		H
BBR PARTNERS RELATIONSHIP	11/10/2014	00000480937	Active	Tier 2	9/20/2015		H
BLUE MOUNTAIN RELATIONSHIP		00000494553	Active	Tier 2	9/20/2015		H
BLUESTEM LLC RELATIONSHIP		00000499584	Active	Tier 3	9/20/2015		H
BOMMER, SCOTT RELATIONSHIP		00000482412	Active	Tier 3	9/20/2015		H
BRONFMAN, EDGAR RELATIONSHIP		00000482491	Active	Tier 2	9/20/2015		H
CARUSO, RICHARD RELATIONSHIP	1/6/2015	00000411476	Active	Tier 2	9/20/2015		H
CAVALRY RELATIONSHIP	00000483810	Active	Tier 1	9/20/2015		H	
CFM RELATIONSHIP	00000508292	Active	Tier 2	9/20/2015		H	
COLLIS, CHARLES RELATIONSHIP	00000499562	Active	Tier 2	9/20/2015		H	
CUMMINGS, IAN RELATIONSHIP	00000481149	Active	Tier 1	9/20/2015		H	
DELL, MICHAEL RELATIONSHIP	1/6/2014	00000409133	Active	Tier 1	9/20/2015		H
DINAN, JAMIE RELATIONSHIP	00000482458	Active	Tier 3	9/20/2015		H	
DORRANCE FAMILY RELATIONSHIP	8/3/2015	00000409820	Inactive		Tier 1	9/20/2015	
DUNCAN INVESTMENTS RELATIONSHIP	00000499619	Active	Tier 2	9/20/2015		H	
ERGEN, CHARLES RELATIONSHIP	7/9/2013	00000448035	Active	Tier 1	9/20/2015		H
FELDSTEIN, ANDREW RELATIONSHIP	00000480417	Active	Tier 2	9/20/2015		H	
FORRESTAL CAPITAL RELATIONSHIP	2/18/2015	00000475431	Active	Tier 1	9/20/2015		H
GAGOSIAN, LARRY RELATIONSHIP	1/12/2015	00000416916	Active	Tier 3	9/20/2015		H
GATES FOUNDATION RELATIONSHIP	00000487611	Active	Tier 2	9/20/2015		H	
GEORGETOWN UNIVERSITY RELATIONSHIP	00000495959	Active	Tier 2	9/20/2015		H	
GETZ FAMILY RELATIONSHIP	8/19/2015	00000421545	Inactive		Tier 1	9/20/2015	
HIGHLANDER PARTNERS RELATIONSHIP	00000499594	Active	Tier 2	9/20/2015		H	
KIM, STEVEN RELATIONSHIP	00000499732	Active	Tier 2	9/20/2015		H	
LAFFONT, PHILIPPE RELATIONSHIP	00000482519	Active	Tier 2	9/20/2015		H	
MAKENA CAPITAL MANAGEMENT, LLC RELATIONSHIP	00000499849	Active	Tier 2	9/20/2015		H	
MANDEL, STEPHEN RELATIONSHIP	12/20/2012	00000409312	Active	Tier 1	9/20/2015		H
MARRON, DONALD B. RELATIONSHIP	00000487026	Active	Tier 3	9/20/2015		H	
ORIX RELATIONSHIP	00000497520	Active	Tier 2	9/20/2015		H	
PINE RIVER CAPITAL RELATIONSHIP	00000495627	Active	Tier 2	9/20/2015		H	
ROGER BULLOCH RELATIONSHIP	00000514745	Active	Tier 2	9/20/2015		H	
SCHAEFER, MARLA RELATIONSHIP	00000482432	Active	Tier 2	9/20/2015		H	
SCHMIDT, ERIC RELATIONSHIP	12/4/2014	00000409366	Active	Tier 2	9/20/2015		H
SHAMROCK RELATIONSHIP	00000508301	Active	Tier 2	9/20/2015		H	
SIRIS CAPITAL RELATIONSHIP	00000485211	Active	Tier 3	9/20/2015		H	
SLOME, IAN RELATIONSHIP	00000499573	Active	Tier 2	9/20/2015		H	
SNYDER, DANIEL RELATIONSHIP	7/3/2015	00000495876	Active	Tier 2	9/20/2015		H
SONEN CAPITAL RELATIONSHIP	00000507994	Active	Tier 2	9/20/2015		H	
SPRUCE PRIVATE INVESTORS LLC RELATIONSHIP	11/3/2014	00000416313	Active	Tier 3	9/20/2015		H
STREAM REALTY RELATIONSHIP	00000495565	Active	Tier 2	9/20/2015		H	
TABLADA, MARO RELATIONSHIP	00000482398	Active	Tier 2	9/20/2015		H	
TOMS CAPITAL LLC RELATIONSHIP	6/3/2013	00000409286	Active	Tier 1	9/20/2015		H
VIOLA, VINCENT RELATIONSHIP	00000481140	Active	Tier 2	9/20/2015		H	
WEISS, GEORGE RELATIONSHIP	00000480421	Active	Tier 2	9/20/2015		H	
YORKTOWN PARTNERS, LLC RELATIONSHIP	00000483947	Active	Tier 3	9/20/2015		H	
DELUCA, FREDERICK RELATIONSHIP	11/5/2014	00000409192	Inactive		Tier 1	9/20/2015	
54 MADISON PARTNERS RELATIONSHIP	00000520240	Active	Tier 1	10/14/2015			
ALAGAPPAN, ARUN RELATIONSHIP	00000482385	Active	Tier 1	9/20/2015			
AMOROUSO, PAUL RELATIONSHIP	00000482396	Inactive		Tier 2	9/20/2015		
ARCHSTONE MULTIFAMILY PARTNERS AC, LP RELATIONSHIP	11/5/2014	00000469740	Inactive				
ARDEN ASSET MGMT RELATIONSHIP	00000499582	Active	Tier 2	9/20/2015			
ARROWPOINT PARTNERS RELATIONSHIP	00000493738	Active	Tier 2	9/20/2015			
ASPEN GROVE CAPITAL RELATIONSHIP	00000493757	Active	Tier 2	9/20/2015			
ASTON, SHERRELL RELATIONSHIP	00000482400	Active	Tier 2	9/20/2015			
ATLAS PIPELINE PARTNERS, LP RELATIONSHIP	00000495788	Active	Tier 2	9/20/2015			
BARRY, MITCHELL RELATIONSHIP	00000482402	Active	Tier 2	9/20/2015			
BASQUIAT RELATIONSHIP	00000486597	Active	Tier 2	9/20/2015			
BENEFIT STREET PARTNERS RELATIONSHIP	00000494492	Active	Tier 2	9/20/2015			
BERTAGNA, ROBERT RELATIONSHIP	00000482406	Inactive		Tier 2	9/20/2015		
BHALLA, AJAY RELATIONSHIP	00000482389	Active	Tier 2	9/20/2015			
BLACK, LEON D. RELATIONSHIP	6/5/2013	00000409101	Inactive		Tier 1	9/20/2015	
BLATT, MITCHELL RELATIONSHIP	00000482408	Active	Tier 2	9/20/2015			
BRACK CAPITAL RELATIONSHIP	00000507983	Active	Tier 2	9/20/2015			
BRADLEY, THOMAS RELATIONSHIP	00000482414	Active	Tier 2	9/20/2015			
BRANDIS, STEVE RELATIONSHIP	00000482416	Active	Tier 2	9/20/2015			
BRESLOW, LEN RELATIONSHIP	00000482420	Inactive		Tier 2	9/20/2015		
BRIGHT GROUP RELATIONSHIP	00000499587	Active	Tier 3	9/20/2015			
BROWN, BATES RELATIONSHIP	00000482426	Inactive		Tier 2	9/20/2015		
BRUDERMAN, MATTHEW RELATIONSHIP	00000482429	Inactive		Tier 2	9/20/2015		

CANDOVER INVESTMENTS RELATIONSHIP	00000483659	Inactive		Tier 2	9/20/2015
CANTER, STEPHEN RELATIONSHIP	00000481394	Inactive		Tier 2	9/20/2015
CHOU, SILAS RELATIONSHIP	00000482437	Active	Tier 1		9/20/2015
CLINE, MICHAEL RELATIONSHIP	00000482481	Active	Tier 2		9/20/2015
COLD SPRING HARBOR LABORATORY RELATIONSHIP	00000481396	Active	Tier 2		9/20/2015
COOPER, FRED RELATIONSHIP	00000482464	Active	Tier 3		9/20/2015
CORRIGAN, PATTON RELATIONSHIP	00000481136	Active	Tier 3		9/20/2015
COX, BERRY RELATIONSHIP	00000495990	Active	Tier 3		9/20/2015
CROSS, LISA RELATIONSHIP	00000487462	Active	Tier 2		9/20/2015
CV STARR RELATIONSHIP	00000499589	Active	Tier 3		9/20/2015
DANIELS, JOSEPH RELATIONSHIP	00000482485	Inactive		Tier 2	9/20/2015
DENATALE, ROBERT RELATIONSHIP	00000482487	Inactive		Tier 2	9/20/2015
DOCK STREET CAPITAL RELATIONSHIP	00000520273	Active		Tier 1	10/16/2015
DODD, RYAN RELATIONSHIP	00000482489	Active	Tier 2		9/20/2015
DONALD BRYANT JR. RELATIONSHIP	6/1/2013	00000469399	Active	Tier 2	9/20/2015
ELLMAN, STUART RELATIONSHIP	00000482462	Active	Tier 3		9/20/2015
ENCAP INVESTMENTS RELATIONSHIP	5/16/2013	00000466131	Active	Tier 2	9/20/2015
FINE, LONNIE RELATIONSHIP	00000482493	Active	Tier 2		9/20/2015
FITZGIBBONS, JOHN RELATIONSHIP	00000482193	Active	Tier 3		9/20/2015
FORD, GERALD J RELATIONSHIP	2/18/2015	00000409212	Active	Tier 1	9/20/2015
GAIL, COLEMAN RELATIONSHIP	00000482497	Inactive		Tier 2	9/20/2015
GASLOW, LAWRENCE RELATIONSHIP	00000482500	Active	Tier 2		9/20/2015
GEMINI REAL ESTATE RELATIONSHIP	00000492404	Active	Tier 2		9/20/2015
GERSCHEL, PATRICK RELATIONSHIP	00000481147	Active	Tier 2		9/20/2015
GLICKMAN, DONALD RELATIONSHIP	00000482505	Active	Tier 2		9/20/2015
GLIMCHER, ARNIE RELATIONSHIP	00000480419	Active	Tier 2		9/20/2015
GOLDMAN, BUDD RELATIONSHIP	00000482509	Active	Tier 2		9/20/2015
GOODWIN, KEIRNAN RELATIONSHIP	00000482456	Active	Tier 3		9/20/2015
GRANDWAY ASSET MANAGEMENT, INC. RELATIONSHIP	11/5/2014	00000483929	Active	Tier 1	9/20/2015
GRANITE GROUP RELATIONSHIP	00000508353	Active	Tier 2		9/20/2015
GREENBERG, MAURICE RELATIONSHIP	11/5/2014	00000409240	Active	Tier 1	9/20/2015
HARBOURTON RELATIONSHIP	00000496026	Inactive		Tier 3	9/20/2015
HARVEY, BERGER RELATIONSHIP	00000482512	Active	Tier 2		9/20/2015
HATFIELD, JAY RELATIONSHIP	00000482508	Active	Tier 2		9/20/2015
HG CAPITAL RELATIONSHIP	00000483662	Inactive		Tier 2	9/20/2015
HOLTERBOSCH, HEIDI RELATIONSHIP	00000482522	Active	Tier 3		9/20/2015
HUDIBURG RELATIONSHIP	00000496304	Inactive		Tier 2	9/20/2015
HUGHSON, PAUL RELATIONSHIP	00000482515	Inactive		Tier 2	9/20/2015
HUSAIN, FAZLE RELATIONSHIP	00000482529	Active	Tier 2		9/20/2015
HYMAN, ED RELATIONSHIP	00000481404	Active	Tier 3		9/20/2015
ILLIG, CLIFF RELATIONSHIP	00000499604	Active	Tier 3		9/20/2015
IRONSIDES ASSET MGMT RELATIONSHIP	00000499596	Active	Tier 2		9/20/2015
ITAFIN RELATIONSHIP	00000498895	Active	Tier 3		9/20/2015
JACK NORRIS RELATIONSHIP	3/7/2013	00000451105	Inactive		Tier 2 9/20/2015
JAIN, NEIL RELATIONSHIP	00000482496	Active	Tier 3		9/20/2015
JESSELSON CAPITAL RELATIONSHIP	00000489088	Active	Tier 2		9/20/2015
JL SQUARED GROUP RELATIONSHIP	00000499598	Active	Tier 2		9/20/2015
JSC INTERIORS LLC RELATIONSHIP	00000496076	Inactive		Tier 2	9/20/2015
KALIKOW, PETER RELATIONSHIP	00000178401	Active	Tier 2		9/20/2015
KARGMAN, HARRY RELATIONSHIP	00000482503	Inactive		Tier 3	9/20/2015
KATZ, STEVEN RELATIONSHIP	00000482472	Inactive		Tier 3	9/20/2015
KEENAN, WILLIAM HOWARD RELATIONSHIP	00000482513	Inactive		Tier 2	9/20/2015
KELLY, JUDY RELATIONSHIP	00000482527	Inactive		Tier 3	9/20/2015
KIMMEL, ADAM RELATIONSHIP	00000482533	Active	Tier 3		9/20/2015
KRISTOFF, MARK RELATIONSHIP	00000482524	Inactive		Tier 3	9/20/2015
LANGFORD, DAVID RELATIONSHIP	00000482518	Inactive		Tier 2	9/20/2015
LICHTENSTEIN, WARREN RELATIONSHIP	00000482267	Active		Tier 2	9/20/2015
LIME ROCK PARTNERS RELATIONSHIP	5/16/2013	00000478453	Active	Tier 2	9/20/2015
LORBER, HOWARD RELATIONSHIP	00000520256	Active	Tier 3		10/16/2015
M2O INCORPORATED RELATIONSHIP	00000499600	Active	Tier 2		9/20/2015
MARINER CAPITAL RELATIONSHIP	00000499577	Active	Tier 3		9/20/2015
MATEER, CRAIG RELATIONSHIP	8/19/2015	00000495818	Inactive		Tier 2 9/20/2015
MEISTER, TODD RELATIONSHIP	00000482449	Active	Tier 2		9/20/2015
MFP INVESTORS LLC RELATIONSHIP	12/14/2012	00000410774	Active	Tier 1	9/20/2015
MICHELSON, GARY KARLIN RELATIONSHIP	11/6/2014	00000435204	Inactive		Tier 2 9/20/2015
MILCH, NEAL RELATIONSHIP	00000482476	Active	Tier 3		9/20/2015
MILTON ACADEMY RELATIONSHIP	00000483494	Active	Tier 2		9/20/2015
MIVEN LP RELATIONSHIP	11/4/2014	00000418860	Active	Tier 3	9/20/2015
MOODY, ERIK RELATIONSHIP	00000514634	Active	Tier 3		9/20/2015
MURSTEIN, ALVIN RELATIONSHIP	00000481145	Active	Tier 2		9/20/2015
NAZERIAN, DAVID RELATIONSHIP	00000499575	Active	Tier 1		9/20/2015
NIR, DAN RELATIONSHIP	00000482454	Active	Tier 3		9/20/2015
OVERSTROM, GUNNAR RELATIONSHIP	00000482474	Active	Tier 3		9/20/2015
OXFORD LANE CAPITAL RELATIONSHIP	00000493740	Active	Tier 2		9/20/2015
PACKER, MARC RELATIONSHIP	00000481318	Active	Tier 2		9/20/2015
PARAMI CAPITAL MANAGEMENT RELATIONSHIP	00000498424	Active	Tier 2		9/20/2015
PATRIOT STRATEGY PARTNERS RELATIONSHIP	00000484400	Active	Tier 2		10/19/2015

PENSLER, SANDY RELATIONSHIP	00000482468	Active	Tier 3	9/20/2015
PEREGRINE GROUP RELATIONSHIP	00000499602	Active	Tier 2	9/20/2015
POLYMER LOGISTICS RELATIONSHIP	00000508809	Active	Tier 2	9/20/2015
RICHARDSON, JULIE RELATIONSHIP	00000481328	Active	Tier 2	9/20/2015
ROARK CAPITAL GROUP RELATIONSHIP	11/20/2013	00000459563	Active	Tier 2 9/20/2015
ROSE, SIMON RELATIONSHIP	00000482444	Active	Tier 3	9/20/2015
ROSEMORE, INC RELATIONSHIP	11/4/2014	00000409735	Inactive	9/20/2015
SALZMAN, RICHARD RELATIONSHIP	00000482442	Active	Tier 3	9/20/2015
SCF PARTNERS RELATIONSHIP	00000483655	Active	Tier 2	9/20/2015
SCHOR, FRANCIS RELATIONSHIP	00000482430	Inactive	Tier 2	9/20/2015
SCHREIBER, KARL RELATIONSHIP	00000506830	Active	Tier 3	9/20/2015
SCS FINANCIAL RELATIONSHIP	11/10/2014	00000470597	Active	Tier 3 9/20/2015
SHANKEN, MARVIN RELATIONSHIP	00000482424	Active	Tier 2	9/20/2015
SIEGEL, JEROME RELATIONSHIP	00000482422	Active	Tier 2	9/20/2015
SILVESTRI, ANGELO RELATIONSHIP	00000482418	Active	Tier 2	9/20/2015
SIMON, RICHARD M RELATIONSHIP	00000484535	Active	Tier 2	9/20/2015
SK CAPITAL PARTNERS RELATIONSHIP	3/6/2013	00000435834	Inactive	9/20/2015
SLIFKA, RANDY RELATIONSHIP	8/12/2015	00000451242	Active	Tier 1 9/20/2015
STALLER, CARY RELATIONSHIP	00000482410	Active	Tier 2	9/20/2015
TAXEL, NELSON RELATIONSHIP	00000482391	Inactive	Tier 3	9/20/2015
TRUE NORTH ADVISORS RELATIONSHIP	00000508807	Active	Tier 2	9/20/2015
UNITED COMPANY RELATIONSHIP	00000499617	Active	Tier 2	9/20/2015
WEINDLING, LESTER RELATIONSHIP	11/17/2014	00000169891	Active	Tier 3 9/20/2015
WHITE DEER ENERGY RELATIONSHIP	3/18/2013	00000478302	Active	Tier 2 9/20/2015
WILLETT ADVISORS RELATIONSHIP	00000495941	Active	Tier 2	9/20/2015
WILSON, WELCOME RELATIONSHIP	00000480635	Active	Tier 1	9/20/2015
WINSTON ADVISORS RELATIONSHIP	00000514077	Active	Tier 2	9/20/2015