

Subject: RE: CDD form for Prytanee - please complete and return [C]
From: Stewart Oldfield <[REDACTED]>
Date: Tue, 05 Jun 2018 11:22:29 -0400
To: Bradley Gillin <[REDACTED]>,
Darren Indyke <[REDACTED]>
Cc: Rodney Macelus <[REDACTED]>
Bcc: [REDACTED],

By way of background, this year, the United States Department of Treasury enacted a new regulation, the Customer Due Diligence Requirements for Financial Institutions ("CDD Rule"), which requires Deutsche Bank Wealth Management to obtain, verify, and record information about our legal entity customers.

In order to remain compliant with our obligations to combat financial crime, Deutsche Bank has enhanced its KYC policies. As we update your KYC file, we must also obtain the attached Certification Regarding Beneficial Owner of Legal Entity Customers ("Certification") from you.

We kindly ask you to return the completed and signed Certification. You may return the completed and signed Certification via e-mail, fax or regular mail. However, please be aware that pursuant to the guidance issued with the CDD Rule, the individual that returns the Certification must be the same person that completes and signs the Certification.

Once we have reviewed your completed Certification, we will contact you if additional information is required. Thank you.

From: Bradley Gillin
Sent: Tuesday, June 05, 2018 11:21 AM
To: Stewart Oldfield <[REDACTED]>; 'Darren Indyke' <[REDACTED]>
Cc: Rodney Macelus <[REDACTED]>
Subject: RE: CDD form for Prytanee - please complete and return [C]

Classification: Confidential

Hi Darren,

Please find entity list below. Thanks.

Darren K. Indyke PLLC

Gratitude America Ltd

Hyperion Air, LLC

J. Epstein Virgin Islands Foundation, Inc

Jeepers, Inc

JEGE, Inc

JEGE, LLC

LSJE, LLC

Mort, Inc

Neptune, LLC

NES, LLC

Plan D, LLC

Prytanee, LLC

Southern Financial LLC

Zorro Management, LLC

Regards,
Brad

{cid:image002.gif@01D3FCBF.398E5A60}

EFTA01413466

Bradley Gillin
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Securities offered through Deutsche Bank Securities Inc.

From: Stewart Oldfield
Sent: Tuesday, June 05, 2018 11:14 AM
To: Darren Indyke <[REDACTED]>
Cc: Bradley Gillin <[REDACTED]>; Rodney Macelus
<[REDACTED]>
Subject: RE: CDD form for Prytanee - please complete and return

Actually, it seems we plan to get CDD forms for all entity accounts at once. Will come back with a full list so you can complete them together.

Thanks

From: Stewart Oldfield
Sent: Tuesday, June 05, 2018 10:22 AM
To: Darren Indyke <[REDACTED]>
Cc: Bradley Gillin <[REDACTED]>; Rodney Macelus
<[REDACTED]>
Subject: CDD form for Prytanee - please complete and return

Darren,

Please complete the attached form for Prytanee. As of last month, we are

now required to get this form on file for all accounts upon opening or as part of a regular review. So you'll see a few more of these for your other entities as we do those reviews in the future. Please call with any questions. Thanks,

Stew

{cid:image003.png@01D3FCBF.398E5A60}

Stewart Oldfield, CFA, CAIA
Director

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