

Subject: RE: DB preferred deposits and CDs - rate update as of 2/5/18 [I]
From: Cynthia Rodriguez [REDACTED]
Date: Thu, 08 Feb 2018 11:11:18 -0500
To: Stewart Oldfield <[REDACTED]>

Classification: For internal use only

Confirming that the W9 is the most up to date form as it is what populates in our account opening wizard and posted on our portal.

I did not include the DIS form in my previous email, so attached are all the forms needed for signature. Thanks.

Kind regards,

Cynthia Rodriguez

{cid:image001.png@01D0778D.2D49CDD0}

Cynthia Rodriguez
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl 10154-0004 New York, NY, USA
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

From: Cynthia Rodriguez
Sent: Thursday, February 08, 2018 10:38 AM
To: Stewart Oldfield <[REDACTED]>
Subject: RE: DB preferred deposits and CDs - rate update as of 2/5/18 [I]

Classification: For internal use only

Here you go!

Kind regards,

Cynthia Rodriguez

{cid:image001.png@01D0778D.2D49CDD0}

Cynthia Rodriguez
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl 10154-0004 New York, NY, USA
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

From: Stewart Oldfield
Sent: Thursday, February 08, 2018 10:26 AM
To: Cynthia Rodriguez [REDACTED]
Subject: RE: DB preferred deposits and CDs - rate update as of 2/5/18 [I]

Please. Thanks

From: Cynthia Rodriguez
Sent: Thursday, February 08, 2018 10:22 AM
To: Stewart Oldfield [REDACTED]
Subject: RE: DB preferred deposits and CDs - rate update as of 2/5/18 [I]

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Hey,

I'm a little backed up with 2 acct openings and 7 kyacs at the moment so I asked Daphne's team for some help J

For the KYC we will need the following:

- Names
- Address
- Date of Birth
- Social Security Number
- Source of Wealth
- Source of where funds are coming from to fund the CD (bank statement)
- Photo ID

I can send you blank account docs if you want. Thanks!

Kind regards,

Cynthia Rodriguez

{cid:image001.png@01D0778D.2D49CDD0}

Cynthia Rodriguez
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl 10154-0004 New York, NY, USA
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

From: Stewart Oldfield
Sent: Thursday, February 08, 2018 10:12 AM
To: Cynthia Rodriguez <[REDACTED]>
Subject: FW: DB preferred deposits and CDs - rate update as of 2/5/18

From: Richard Kahn [mailto:[REDACTED]]
Sent: Thursday, February 08, 2018 10:11 AM
To: Stewart Oldfield <[REDACTED]>
Subject: Re: DB preferred deposits and CDs - rate update as of 2/5/18

thank you

yes please send account forms

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel [REDACTED]
fax [REDACTED]
cell [REDACTED]

On Feb 8, 2018, at 10:10 AM, Stewart Oldfield <[REDACTED]> wrote:

Happy to help her out. Minimum CD investment is \$250k since not FDIC insured. If you'd like me to send you account forms, just let me know.

Hope all is well.

Thanks

From: Richard Kahn [mailto:[REDACTED]]
Sent: Thursday, February 08, 2018 8:59 AM
To: Stewart Oldfield <[REDACTED]>
Subject: Re: DB preferred deposits and CDs - rate update as of 2/5/18

thanks for sending - still waiting on feedback from jee

however my mother may be interested in these CD's

figure she would invest 200k or so..and probably add over time to about 500k

please advise

thank you

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel [REDACTED]
fax [REDACTED]
cell [REDACTED]

On Feb 6, 2018, at 2:28 PM, Stewart Oldfield <[REDACTED]> wrote:

Please see below for the latest DB bank account and CD rates.

The interest rate on our Preferred Banking Account has increased to 1.20% for individuals. This rate also applies to sweep deposits into DB AG NY from linked brokerage accounts.

Deutsche Bank AG NY Branch Preferred Certificates of Deposit

Deutsche Bank AG New York Preferred Certificates of Deposit (Deutsche Bank AG Preferred CDs) can offer you a balance between your short-term liquidity needs and long-term strategic objectives.

We're currently offering a promotional 9-month variable-rate Deutsche Bank AG Preferred CD as well as our standard fixed rate 1-month, 3-month, 6-month, 12-month, 18-month and 24-month Deutsche Bank AG Preferred CDs. Please find below our indicative rates, which are subject to change.

Promotional Terms

Deutsche Bank is running a promotional campaign through February 28, 2018 on the 6-month and 12-month DB AG New York Preferred CDs.

Deutsche Bank AG NY Branch USD Client Promotional Rate (not FDIC-insured) and annual percentage yield are accurate as of 2/5/2018.

Term

Interest
Rate

Annual
Percentage
Yield

6-month Personal

2.00%

2.02%

6-month Business

1.90%

1.92%

12-month Personal

2.25%

2.28%

12-month Business

2.15%

2.18%

Other Available Terms

Deutsche Bank AG NY Branch USD Client Rates (not FDIC-insured) and annual percentage yield are accurate as of 2/5/2018.

Tenor

Interest
Rate

Annual
Percentage
Yield

1-month

1.25%

1.26%

3-month

1.50%

1.51%

9-month variable rate

1.82%**

1.84%**

18-month

2.40%

2.43%

24-month

2.50%

2.53%

The minimum deposit to purchase a Preferred CD and receive the advertised rate is \$250,000 (unless you already maintain funds in another Preferred CD and/or a Preferred Banking Account that are equal to or greater than \$250,000 in the aggregate). A penalty may be imposed for early withdrawals (see Terms and Conditions Statement for details). There is a maximum deposit of \$25 million per client / per term on the Deutsche Bank AG NY Preferred Certificate of Deposit.

**The interest rate may change after the account is opened. The interest rate for the 9-month variable rate Deutsche Bank AG Preferred CD will be determined on the first business day of each calendar month during the term of the Deutsche Bank AG Preferred CD. The interest rate for each calendar month will be the Federal Funds effective rate for the first business day of the month as published in the Wall Street Journal + a pre-determined basis point spread.

**Please find attached some additional information on our offering, including an overview of Deutsche Bank AG Preferred CDs.

The minimum deposit to purchase a Preferred CD and receive the advertised rate is \$250,000 (unless you already maintain funds in another Preferred CD and/or a Preferred Banking Account that are equal to or greater than \$250,000 in the aggregate). A penalty may be imposed for early withdrawals (see Terms and Conditions Statement for details). There is a maximum deposit of \$25 million per client per term on the Deutsche Bank AG NY Preferred Certificate of Deposit.

Please do not hesitate to contact me with any questions or to discuss further.

Thanks,

Stew

<image003.png>

Stewart Oldfield, CFA, CAIA
Director

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, New York, NY 10154
Tel. [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

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