

Subject: RE: Butterfly Trust [C] [I]
From: Kimberly Hart <[REDACTED]>
Date: Fri, 12 Oct 2018 07:59:13 -0400
To: Ian Salters <[REDACTED]>,
Stewart Oldfield <[REDACTED]>,
Zbynek Kozelsky <[REDACTED]>
Cc: Martin Zeman <[REDACTED]>
Liam Osullivan <[REDACTED]>
zia Memon <[REDACTED]>

Classification: For internal use only

Thanks

Ziggy –

Are you comfortable that you can properly monitor activity based on the proposed controls?

All –

Before we move forward, Zia just needs to review the corporate docs.

{https://brandportal.intranet.db.com/img/modules/-DAWM_logo_metallic_46x46.gif}

Kimberly Hart
Managing Director | Divisional Control Officer

Wealth Management Americas

Deutsche Bank Trust Company Americas
345 Park Avenue, 14th floor, New York, NY 10154
Tel. [REDACTED]
Fax + [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Ian Salters
Sent: Thursday, October 11, 2018 6:52 PM
To: Stewart Oldfield <[REDACTED]>; Zbynek Kozelsky
[REDACTED]
Cc: Kimberly Hart <[REDACTED]>; Martin Zeman
[REDACTED]; Liam Osullivan <[REDACTED]>
Subject: RE: Butterfly Trust [C]

I am comfortable with this.

Ian

Sent with BlackBerry Work
(www.blackberry.com)

From: Stewart Oldfield <[REDACTED]>

Date: Thursday, Oct 11, 2018, 18:32

To: Zbynek Kozelsky <[REDACTED]>, Ian Salters
[REDACTED]

Cc: Kimberly Hart <[REDACTED]>, Martin Zeman
[REDACTED], Liam Osullivan <[REDACTED]>

Subject: RE: Butterfly Trust [C]

Ziggy and Ian,

I just spoke to Kim (copied here). She's comfortable with this arrangement if you both feel this meets your needs as well and we document accordingly. Please confirm.

Thanks

From: Liam Osullivan
Sent: Thursday, October 11, 2018 3:31 PM
To: Stewart Oldfield <[REDACTED]>
Cc: Martin Zeman <[REDACTED]>; Zbynek Kozelsky <[REDACTED]>; Ian Salters <[REDACTED]>
Subject: Butterfly Trust [C]

Classification: Confidential

I discussed the below with Ziggy, Ian and Martin.

We propose that the Butterfly Trust is opened with the KCP team. We will open the account under the N4G prefix. We will request a new CA number for Martin Zeman that will be used to separate Butterfly Trust from accounts that have signed KCP packages on file. Martin will sign a quarterly attestation that the account is not being offered KCP products.

Kind regards,
Liam O'Sullivan

{<https://brandportal.intranet.db.com/img/modules/logo.gif>}

Liam O'Sullivan
AVP | Key Client Partners - US

DB Securities Inc
Deutsche Bank Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. [REDACTED]
Email [REDACTED]

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