

Subject: RE: Canyon requests - AIS - KCP [I]
From: Stewart Oldfield <[REDACTED]>
Date: Tue, 22 Nov 2016 10:34:40 -0500
To: Zbynek Kozelsky <[REDACTED]>, Daniel Sabba <[REDACTED]>, Darlene Zong <[REDACTED]>
Cc: Todd Stevens <[REDACTED]>

Classification: For internal use only

Please don't make decisions like this without including me in the discussion. Give me a call when you can

From: Zbynek Kozelsky
Sent: Tuesday, November 22, 2016 10:34 AM
To: Daniel Sabba; Stewart Oldfield; Darlene Zong
Cc: Todd Stevens
Subject: RE: Canyon requests - AIS - KCP [I]

Classification: For internal use only

Stew,

Daniel is correct. I am not going to approve any AIS for this client for Canyon.

Thank you

Ziggy

{cid:image003.png@01CFFD0A.6F882F50}

Zbynek "Ziggy" Kozelsky
Director | Branch Administrative Manager

Key Client Partners - Americas
Deutsche Bank Securities Inc.
Deutsche Asset & Wealth Management
345 Park Avenue, 26th Floor
New York, NY 10154
[REDACTED]
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From: Daniel Sabba
Sent: Tuesday, November 22, 2016 10:32 AM
To: Stewart Oldfield; Darlene Zong
Cc: Zbynek Kozelsky; Todd Stevens
Subject: RE: Canyon requests - AIS - KCP [I]

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Stew – I received guidance from Todd/Ziggy we wouldn't be marketing Canyon to Southern Financial.

From: Stewart Oldfield
Sent: Tuesday, November 22, 2016 10:30 AM
To: Daniel Sabba; Darlene Zong
Subject: FW: Canyon requests - AIS - KCP [I]

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Can we send these today? Thanks

From: Zbynek Kozelsky
Sent: Tuesday, November 15, 2016 5:44 PM
To: Stewart Oldfield
Cc: Mathew Negus; Todd Stevens; Joseph Dursi
Subject: RE: Canyon requests - AIS - KCP [I]

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Stew,

Just tell Daniel/Darlene to submit AIS requests for Canyon for Epstein/-
Southern Financial and TFMG.

Please keep in mind that TFMG is a COD account. You won't be able to book
Canyon there unless you have a new account (cash).

Thank you

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Zbynek "Ziggy" Kozelsky
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From: Stewart Oldfield
Sent: Tuesday, November 15, 2016 5:21 PM
To: Zbynek Kozelsky
Cc: Mathew Negus; Todd Stevens; Joseph Dursi
Subject: RE: Canyon requests - AIS - KCP [I]

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Then please tell me specifically what I need to do and for which clients. Are we only talking about Southern Financial and Tisch?

From: Zbynek Kozelsky
Sent: Tuesday, November 15, 2016 3:14 PM

To: Stewart Oldfield
Cc: Mathew Negus; Todd Stevens; Joseph Dursi
Subject: RE: Canyon requests - AIS - KCP [I]

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I am afraid we may be in violation of KCP KOPs if we do it your way...

Thank you

Ziggy

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Zbynek "Ziggy" Kozelsky
Director | Branch Administrative Manager

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From: Stewart Oldfield
Sent: Tuesday, November 15, 2016 3:11 PM
To: Zbynek Kozelsky
Cc: Mathew Negus; Todd Stevens; Joseph Dursi
Subject: RE: Canyon requests - AIS - KCP [I]

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One team one dream. Seems silly to have to go multiple places for the same thing. Let me know which names Andrew submitted that you think KCP should submit. Then have the relevant KCP coverage team submit for me.

Thanks

From: Zbynek Kozelsky
Sent: Tuesday, November 15, 2016 3:08 PM
To: Stewart Oldfield
Cc: Mathew Negus; Todd Stevens
Subject: Canyon requests - AIS - KCP [I]

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Hi Stew,

Regarding any Canyon AIS requests please work directly with your KCP coverage team (for KCP covered accounts).

ISG may not enter such request(s) and act on behalf of KCP.

Thank you

Ziggy

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Zbynek "Ziggy" Kozelsky
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