

Subject: RE: Approval Required on \$23mn Wire [I]
From: Cynthia Rodriguez <[REDACTED]>
Date: Tue, 22 Nov 2016 15:11:01 -0500
To: Matthew Schaefer <[REDACTED]>, bsoexceptions@list.db.com, PWM SPG <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>

Hi Matthew,

For some reason, the updated signature card is not in the repository. Attached is the POA on file for this company. The corporate resolution can also be found in approved KYC case 01195100. Please let me know if you need anything else for this.

Kind regards,

Cynthia Rodriguez

{cid:image001.png@01D0778D.2D49CDD0}

Cynthia Rodriguez
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Asset & Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

From: Matthew Schaefer
Sent: Tuesday, November 22, 2016 3:00 PM
To: Cynthia Rodriguez; 'bsoexceptions@list.db.com'; PWM SPG
Cc: Stewart Oldfield

Subject: RE: Approval Required on \$23mn Wire [I]

Classification: For internal use only

Hi Cynthia,

Please send me the client's signature card.

Kind regards,
Matthew Schaefer

{<https://brandportal.intranet.db.com/img/modules/logo.gif>}

Matthew Schaefer
Regulatory Analyst | Supervisory Principals Group

Deutsche Bank Securities Inc.

Deutsche Bank Wealth Management
5022 Gate Parkway, Suite 400, 32256
Jacksonville, USA
Mail Stop: JCK01-0231
Tel. [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

From: Cynthia Rodriguez [mailto:[REDACTED]]
Sent: Tuesday, November 22, 2016 2:27 PM
To: 'bsoexceptions@list.db.com' <bsoexceptions@list.db.com>; PWM SPG
<[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>
Subject: Approval Required on \$23mn Wire
Importance: High

EFTA01414827

Hello,

Our client, Southern Trust Company, would like to internally transfer \$23mn into their brokerage account under the name of The Haze Trust. Please see attached letter of instruction. The callback was made to the phone number on file, and confirmed by Darren Indyke who is the signatory on the account. Please provide approval to process this wire today. Thank you.

Kind regards,

Cynthia Rodriguez

{cid:image001.png@01D0778D.2D49CDD0}

Cynthia Rodriguez
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Asset & Wealth Management
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