

Subject: RE: Deposit Return - A/c # [REDACTED] *** Query for pwm return Item [C]

From: Joy E Mitchell <[REDACTED]>

Date: Fri, 29 Sep 2017 09:55:53 -0400

To: Bradley Gillin <[REDACTED]>, Julian J Leff <[REDACTED]>, [REDACTED], [REDACTED], [REDACTED], [REDACTED]

Cc: Stewart Oldfield <[REDACTED]>, Theresa Coleman <[REDACTED]>, [REDACTED], Cynthia Rodriguez <[REDACTED]>, Raphael A Marin <[REDACTED]>, William-M Finn <[REDACTED]>, CUST INV <[REDACTED]>, Erna Menniti <[REDACTED]>, Muhammod J Uddin <[REDACTED]>, Sara Castro <[REDACTED]>, Evon A Lawrence <[REDACTED]>, Mariela Lopez <[REDACTED]>, Norma A Kenney <[REDACTED]>, Gail F Mahabir <[REDACTED]>

Hi Brad,

The check was re- deposited yesterday.

Kind regards,

Joy Mitchell

Operations Specialist

{cid:1__=0ABBF271DFD49B1A8f9e8a93df9386909@db.com}

DB USA Core Corporation
60 Wall Street 12th Fl.,
New York, NY 10005-2836

USA

Tel. [REDACTED]

Email [REDACTED]

From: Bradley Gillin
Sent: Friday, September 29, 2017 9:51 AM
To: Joy E Mitchell <[REDACTED]>; Julian J Leff
<[REDACTED]>; [REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
<[REDACTED]>; Divya Rajawat
<[REDACTED]>; Stewart Oldfield <[REDACTED]>; William-
M Finn <[REDACTED]>; Cynthia Rodriguez
<[REDACTED]>; Gail F Mahabir <[REDACTED]>; CUST INV
<[REDACTED]>; Mariela Lopez <[REDACTED]>; Norma A Kenney
<[REDACTED]>; Raphael A Marin <[REDACTED]>; Muhammod
J Uddin <[REDACTED]>; Evon A Lawrence <[REDACTED]>;
Hemant-Kumar Rathore <[REDACTED]>; Erna Menniti
<[REDACTED]>; Sara Castro <[REDACTED]>; Theresa Coleman
<[REDACTED]>
Subject: Re: Deposit Return - A/c # [REDACTED] *** Query for pwm return Item
[C]

Classification: Confidential

I have just been instructed from the client to try to deposit on Monday. Please confirm that this can be done. Thanks.

Regards,
Brad

From: Joy E Mitchell

Sent: Thursday, September 28, 2017 01:15 PM

To: Bradley Gillin; Julian J Leff; Pugh, Pamela A

<[REDACTED]>; Fernandes, Maria I

<[REDACTED]>; Groover, Karen A

<[REDACTED]>; Itile, Renel <[REDACTED]>;

Anna E Del Rosso ([REDACTED])

<[REDACTED]>

Cc: [REDACTED],

<[REDACTED]>; Divya Rajawat; Stewart

Oldfield; William-M Finn; Cynthia Rodriguez; Gail F Mahabir; CUST INV;

Mariela Lopez; Norma A Kenney; Raphael A Marin; Muhammod J Uddin; Evon A

Lawrence; Hemant-Kumar Rathore; Erna Menniti; Sara Castro; Theresa Coleman

Subject: RE: Deposit Return - A/c # [REDACTED] *** Query for pwm return Item

[C]

Classification: Confidential

Thank.

Regards,

Joy Mitchell

Operations Specialist

EFTA01414851

{cid:1__=0ABBF271DFD49B1A8f9e8a93df9386909@db.com}

DB Global Processing Services, Inc.

100 Plaza One

Jersey City, NJ 07311-3901

USA

Tel. [REDACTED]

Fax [REDACTED]

Email [REDACTED]

{cid:image003.png@01D3385B.D4E9FC40}

From: Bradley Gillin

Sent: Thursday, September 28, 2017 1:05 PM

To: Joy E Mitchell <[REDACTED]>; Julian J Leff

<[REDACTED]>; Pugh, Pamela A <[REDACTED]>;

Fernandes, Maria I <[REDACTED]>; Groover, Karen A

<[REDACTED]>; Itile, Renel <[REDACTED]>;

Anna E Del Rosso ([REDACTED])

<[REDACTED]>

Cc: [REDACTED]

<[REDACTED]>; Divya Rajawat

<[REDACTED]>; Stewart Oldfield <[REDACTED]>; William-

M Finn <[REDACTED]>; Cynthia Rodriguez

<[REDACTED]>; Gail F Mahabir <[REDACTED]>; CUST INV

<[REDACTED]>; Mariela Lopez <[REDACTED]>; Norma A Kenney

<[REDACTED]>; Raphael A Marin <[REDACTED]>; Muhammod

J Uddin <[REDACTED]>; Evon A Lawrence <[REDACTED]>;

Hemant-Kumar Rathore <[REDACTED]>; Erna Menniti

<[REDACTED]>; Sara Castro <[REDACTED]>; Theresa Coleman

<[REDACTED]>

Subject: RE: Deposit Return - A/c # [REDACTED] *** Query for pwm return Item
[C]

Classification: Confidential

Confirmed. Please re-deposit the check.

Regards,
Brad

{cid:image001.gif@01D3385A.71470C10}

Bradley Gillin
Vice President
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl
New York, NY 10154

Securities offered through Deutsche Bank Securities Inc.

From: Joy E Mitchell
Sent: Thursday, September 28, 2017 12:59 PM
To: Julian J Leff <[REDACTED]>; Bradley Gillin
<[REDACTED]>; Pugh, Pamela A <[REDACTED]>;
Fernandes, Maria I <[REDACTED]>; Groover, Karen A
<[REDACTED]>; Itile, Renel <[REDACTED]>;
Anna E Del Rosso ([REDACTED])
<[REDACTED]>
Cc: [REDACTED];
<[REDACTED]>; Divya Rajawat
<[REDACTED]>; Stewart Oldfield <[REDACTED]>; William-
M Finn <[REDACTED]>; Cynthia Rodriguez
<[REDACTED]>; Gail F Mahabir <[REDACTED]>; CUST INV
<[REDACTED]>; Mariela Lopez <[REDACTED]>; Norma A Kenney
<[REDACTED]>; Raphael A Marin <[REDACTED]>; Muhammod
J Uddin <[REDACTED]>; Evon A Lawrence <[REDACTED]>;

Hemant-Kumar Rathore <[REDACTED]>; Erna Menniti
<[REDACTED]>; Sara Castro <[REDACTED]>; Theresa Coleman
<[REDACTED]>
Subject: RE: Deposit Return - A/c # [REDACTED] *** Query for pwm return Item
[C]

Classification: Confidential

Hi Brad,

Just to confirm that yesterday PWM charged the client an instructed Return Items to Return the check. This means that an advice will be generated and sent to Return Items by FIS. Upon receipt, Return Items will re-associate the check to the advice and mail to the client (see attachment). This is the procedure in place for PWM return checks.

I am reiterating, as per your e-mail below dated 9/28, you would like for the check to be re-deposited. This means that Return Items will be preparing a deposit ticket and submit to FIS for processing. Looking forward to your confirmation.

Kind regards,

Joy Mitchell
Operations Specialist

{cid:1__=0ABBF271DFD49B1A8f9e8a93df9386909@db.com}

DB Global Processing Services, Inc.

100 Plaza One

Jersey City, NJ 07311-3901

USA

Tel. [REDACTED]

Fax [REDACTED]

Email [REDACTED]

{cid:image006.png@01D33859.5C8B8A90}

From: Julian J Leff

Sent: Thursday, September 28, 2017 12:13 PM

To: Bradley Gillin <[REDACTED]>; Pugh, Pamela A

<[REDACTED]>; Fernandes, Maria I

<[REDACTED]>; Groover, Karen A

<[REDACTED]>; Itile, Renel <[REDACTED]>;

Anna E Del Rosso ([REDACTED])

<[REDACTED]>

Cc: [REDACTED]

<[REDACTED]>; Divya Rajawat
<[REDACTED]>; Stewart Oldfield <[REDACTED]>; William-
M Finn <[REDACTED]>; Cynthia Rodriguez
<[REDACTED]>; Joy E Mitchell <[REDACTED]>; Gail F
Mahabir <[REDACTED]>; CUST INV <[REDACTED]>; Mariela Lopez
<[REDACTED]>; Norma A Kenney <[REDACTED]>; Raphael A
Marin <[REDACTED]>; Muhammod J Uddin <[REDACTED]>;
Evon A Lawrence <[REDACTED]>; Hemant-Kumar Rathore <[REDACTED]>
<[REDACTED]>; Erna Menniti <[REDACTED]>; Sara Castro
<[REDACTED]>; Theresa Coleman <[REDACTED]>
Subject: RE: Deposit Return - A/c # [REDACTED] *** Query for pwm return Item
[I]

Classification: For internal use only

Hi Brad,

You can try.

FIS – If a \$450,000 PWM deposit (535269691) is flagged as a duplicate,
please allow it to pass thru.

Thanks,

Julian

Julian Leff

Vice President

DB USA Core Corporation

60 Wall Street, 12th floor

New York, New York 10005

Mailstop NYC60-1208

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From: Bradley Gillin
Sent: Thursday, September 28, 2017 12:06 PM
To: Hemant-Kumar Rathore <[REDACTED]>; Julian J Leff <[REDACTED]>
Cc: [REDACTED]; [REDACTED]; Divya Rajawat <[REDACTED]>; Stewart Oldfield <[REDACTED]>; William-M Finn <[REDACTED]>; Cynthia Rodriguez <[REDACTED]>; Joy E Mitchell <[REDACTED]>; Gail F Mahabir <[REDACTED]>; CUST INV <[REDACTED]>; Mariela Lopez <[REDACTED]>; Norma A Kenney <[REDACTED]>; Raphael A Marin <[REDACTED]>; Muhammod J Uddin <[REDACTED]>; Evon A Lawrence <[REDACTED]>
Subject: RE: Deposit Return - A/c # [REDACTED] *** Query for pwm return Item [I]

Classification: For internal use only

Our client just spoke with Banco Popular in St. Thomas. Banco Popular informed them that they are now up and running.

Can we try to re-deposit the check?

Regards,
Brad

{cid:image001.gif@01D33852.2E5236D0}

Bradley Gillin
Vice President
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl
New York, NY 10154

Securities offered through Deutsche Bank Securities Inc.

From: Hemant-Kumar Rathore
Sent: Wednesday, September 27, 2017 3:28 PM
To: Julian J Leff <>; Bradley Gillin
<>
Cc: <>;
<>; Divya Rajawat
<>; Stewart Oldfield <>; William-
M Finn <>; Cynthia Rodriguez
<>; Joy E Mitchell <>; Gail F
Mahabir <>; CUST INV <>; Mariela Lopez
<>; Norma A Kenney <>; Raphael A
Marin <>; Muhammod J Uddin <>;
Evon A Lawrence <>
Subject: RE: Deposit Return - A/c # <> *** Query for pwm return Item
[I]

Classification: For internal use only

Hi Bradley,

As discussed this Item will be return and we will do debit entry in
client's a/c <> for same.

EFTA01414858

Thanks,

With regards,
Hemant-Kumar Rathore

{cid:image003.png@01D2C5BD.84AC7070}

Hemant-Kumar Rathore

DBOI Global Services Private Limited

COO Wealth Management Operations

A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City -
SEZ, 302029 Jaipur, India

Email [REDACTED]

Please send all the Banking related Queries to our new Group E-mail ID
[REDACTED]

From: Julian J Leff

Sent: Wednesday, September 27, 2017 3:07 PM

To: Bradley Gillin <[REDACTED]>

Cc: [REDACTED]

<[REDACTED]>; Divya Rajawat

<[REDACTED]>; Stewart Oldfield <[REDACTED]>; William-

M Finn <[REDACTED]>; Cynthia Rodriguez

<[REDACTED]>; Joy E Mitchell <[REDACTED]>; Gail F

Mahabir <[REDACTED]>; Hemant-Kumar Rathore <[REDACTED]>

<[REDACTED]>; CUST INV <[REDACTED]>; Mariela Lopez

<[REDACTED]>; Norma A Kenney <[REDACTED]>; Raphael A

Marin <[REDACTED]>; Muhammod J Uddin <[REDACTED]>;

Evon A Lawrence <[REDACTED]>

Subject: RE: Deposit Return - A/c # [REDACTED] *** Query for pwm return Item
[I]

Classification: For internal use only

Hi Bradley,

PWM can pass the credit and hold the difference in a suspense account, given the uncertainty as to when the check can be deposited again or Mr. Epstein should explore another option for this transaction. I suggest he call Banco Popular to see if they might be able to wire the funds into his PWM account.

Thanks,

Julian

Julian Leff

Vice President

DB USA Core Corporation

60 Wall Street, 12th floor

New York, New York 10005

Mailstop NYC60-1208

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From: Bradley Gillin
Sent: Wednesday, September 27, 2017 2:38 PM
To: Joy E Mitchell <[REDACTED]>; Gail F Mahabir <[REDACTED]>; Hemant-Kumar Rathore <[REDACTED]>; CUST INV <[REDACTED]>; Mariela Lopez <[REDACTED]>; Norma A Kenney <[REDACTED]>; Raphael A Marin <[REDACTED]>; Muhammod J Uddin <[REDACTED]>
Cc: [REDACTED]; [REDACTED]; Divya Rajawat <[REDACTED]>; Stewart Oldfield <[REDACTED]>; William-M Finn <[REDACTED]>; Cynthia Rodriguez <[REDACTED]>; Julian J Leff <[REDACTED]>
Subject: RE: Deposit Return - A/c # [REDACTED] *** Query for pwm return Item [I]

Classification: For internal use only

Hi Joy,

Please confirm that the check can be credit to his DB account, and then revisited at a later date when the islands communications are back online.

Regards,
Brad

{cid:image003.gif@01D3379E.370B8A60}

Bradley Gillin
Vice President
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl
New York, NY 10154
[REDACTED]
[REDACTED]
[REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Joy E Mitchell
Sent: Wednesday, September 27, 2017 1:50 PM
To: Gail F Mahabir <[REDACTED]>; Hemant-Kumar Rathore <[REDACTED]>;
[REDACTED]>; CUST INV <[REDACTED]>; Mariela Lopez
<[REDACTED]>; Norma A Kenney <[REDACTED]>; Raphael A
Marin <[REDACTED]>; Muhammod J Uddin <[REDACTED]>
Cc: [REDACTED],
<[REDACTED]>; Bradley Gillin
<[REDACTED]>; Divya Rajawat <[REDACTED]>; Stewart
Oldfield <[REDACTED]>; William-M Finn <[REDACTED]>;
Cynthia Rodriguez <[REDACTED]>; Julian J Leff
<[REDACTED]>
Subject: RE: Deposit Return - A/c # [REDACTED] *** Query for pwm return Item
[I]

Hi,

The check in question is drawn on Bank Of America located in St Thomas, US Virgin Island. This is one of the Islands that is affected by the hurricane and it seems that the check did not make it to the bank for payment. I would suggest that the client be debited and maybe the check re-deposited at a later date.

Kind regards,

Joy Mitchell
Operations Specialist

{cid:1__=0ABBF271DFD49B1A8f9e8a93df9386909@db.com}

DB USA Core Corporation

60 Wall Street 12th Fl.,
New York, NY 10005-2836

USA

Tel. [REDACTED]

Email [REDACTED]

{cid:image005.png@01D3379E.370B8A60}

From: Gail F Mahabir
Sent: Wednesday, September 27, 2017 11:58 AM
To: Hemant-Kumar Rathore <[REDACTED]>; CUST INV
<[REDACTED]>; Mariela Lopez <[REDACTED]>; Norma A Kenney
<[REDACTED]>; Raphael A Marin <[REDACTED]>; Joy E
Mitchell <[REDACTED]>; Muhammod J Uddin <[REDACTED]>
Cc: [REDACTED]
<[REDACTED]>; Bradley Gillin
<[REDACTED]>; Divya Rajawat <[REDACTED]>; Stewart
Oldfield <[REDACTED]>; William-M Finn <[REDACTED]>;
Cynthia Rodriguez <[REDACTED]>
Subject: RE: Deposit Return - A/c # [REDACTED] *** Query for pwm return Item
[I]

Classification: For internal use only

Hemant-Kumar,

The check was returned by Banco Popular for not authorized, you will have to
contact Banco Popular for further explanation.

Kind Regards,

Gail F Mahabir

DB USA Core Corporation

Check Support
60 Wall Street

12th Floor

New York, NY 10005

[REDACTED]

From: Hemant-Kumar Rathore

Sent: Wednesday, September 27, 2017 11:34 AM

To: CUST INV <[REDACTED]>; Mariela Lopez <[REDACTED]>; Norma
A Kenney <[REDACTED]>; Raphael A Marin <[REDACTED]>;
Joy E Mitchell <[REDACTED]>; Muhammod J Uddin
<[REDACTED]>; Gail F Mahabir <[REDACTED]>

Cc: [REDACTED]
<[REDACTED]>; Bradley Gillin
<[REDACTED]>; Divya Rajawat <[REDACTED]>; Stewart
Oldfield <[REDACTED]>; William-M Finn <[REDACTED]>;
Cynthia Rodriguez <[REDACTED]>

Subject: RE: Deposit Return - A/c # [REDACTED] *** Query for pwm return Item
[I]

Classification: For internal use only

Hi Team,

Can you please answer for below question or Bradly which is related with one item of Deposit return :-

The check shows that Jeffery Epstein, made a check out to himself, from a Banco popular account to be deposited in an account in his name at DB.

Why would this get returned?

{cid:image003.png@01D33784.91AC1120}

Thanks,

With regards,
Hemant-Kumar Rathore

{cid:image003.png@01D2C5BD.84AC7070}

Hemant-Kumar Rathore

DBOI Global Services Private Limited

COO Wealth Management Operations
A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City -

SEZ, 302029 Jaipur, India

[REDACTED]
[REDACTED]
Email [REDACTED]

Please send all the Banking related Queries to our new Group E-mail ID
[REDACTED]

From: Bradley Gillin
Sent: Wednesday, September 27, 2017 11:26 AM
To: Hemant-Kumar Rathore <[REDACTED]>; Divya Rajawat <[REDACTED]>; Stewart Oldfield <[REDACTED]>; William-M Finn <[REDACTED]>; Cynthia Rodriguez <[REDACTED]>
Cc: [REDACTED],
<[REDACTED]>
Subject: RE: Deposit Return - A/c # [REDACTED] [I]

Classification: For internal use only

The check shows that Jeffery Epstein, made a check out to himself, from a Banco popular account to be deposited in an account in his name at DB.

Why would this get returned?

Regards,
Brad

{cid:image001.gif@01D33783.6B0046A0}

Bradley Gillin
Vice President
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl
New York, NY 10154
[REDACTED]
[REDACTED]
[REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Hemant-Kumar Rathore
Sent: Wednesday, September 27, 2017 11:22 AM
To: Bradley Gillin <[REDACTED]>; Divya Rajawat <[REDACTED]>; Stewart Oldfield <[REDACTED]>; William-M Finn <[REDACTED]>; Cynthia Rodriguez <[REDACTED]>
Cc: [REDACTED]
<[REDACTED]>
Subject: RE: Deposit Return - A/c # [REDACTED] [I]

Classification: For internal use only

Hi Bradley,

Refer to maker reason shows that Beneficiary directly need to reach out to originator for more clarification.

From: Bradley Gillin [mailto:[REDACTED]]
Sent: Wednesday, September 27, 2017 11:09 AM
To: Divya Rajawat <[REDACTED]>; Stewart Oldfield <[REDACTED]>; William-M Finn <[REDACTED]>; Cynthia Rodriguez <[REDACTED]>
Cc: [REDACTED]
<[REDACTED]>
Subject: RE: Deposit Return - A/c # [REDACTED] [I]

Classification: For internal use only

Please clarify what 'Refer to Maker' means.

Regards,
Brad

{cid:image001.gif@01D33781.1212D7D0}

Bradley Gillin
Vice President
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl
New York, NY 10154
[REDACTED]
[REDACTED]
[REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Divya Rajawat
Sent: Wednesday, September 27, 2017 11:06 AM
To: Stewart Oldfield <[REDACTED]>; William-M Finn <william-m.finn@db.com>; Bradley Gillin <[REDACTED]>; Cynthia Rodriguez <[REDACTED]>
Cc: [REDACTED]
<[REDACTED]>
Subject: Deposit Return - A/c # [REDACTED]

Hi Team,

Check # 001012 for \$ 450,000.00 has been deposited to A/c - [REDACTED] but returned with reason as remitter's account in 'REFER TO MAKER' status.

As the return reason REFER TO MAKER , then the item will be return only.

Copy of checks attached above.

Thanks,

With regards,
Divya Rajawat

{cid:image003.png@01D2C5BD.84AC7070}

Divya Rajawat

DBOI Global Services Private Limited

C00 Wealth Management Operations
A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City -
SEZ, 302029 Jaipur, India
Tel. 212(469)3273 AVAYA -3382705

Email [REDACTED]

Please send all the Banking related Queries to our new Group E-mail ID
[REDACTED]

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