

Subject: Your KYC has been rejected
From: Kshitij Golani [REDACTED]
Date: Mon, 07 Aug 2017 12:50:36 -0400
To: [REDACTED]

Your KYC has been rejected.

Case #: [REDACTED]
Relationship: EPSTEIN, JEFFREY RELATIONSHIP
Customer Name: Epstein, Jeffrey E.
Customer Number: [REDACTED]
Reason for Rejection: Rejection Points 08/07/2017:
a) Jeanne Brennan Wiebracht (incorrect name) is still linked to this KYC. Please also add country of citizenship.
b) Please add full address of primary residence under Jeffrey Epstein's individual details section.
c) Please address court cases listed under research performed for Erika Kellerhals.
d) J. Epstein Virgin Islands Foundation, Inc is missing GCIS#
e) Please update the SOW narrative to include current information. The timeline in the narrative stops at 2004.
f) The address on the paycheck is that of the company itself. Please provide an independent address verification such as utility bill under his name etc.

- 1 As per the driver's license, the legal name of the signatory is – Jean Anne Brennan-Wiebracht. Please fix the legal name in the system and re-run searches accordingly. (KG - PARTIAL RESOLVED. See Point "a" above)
- 2 Please provide Certificate of Good Standing for all entities dated within 6 months. (KG - RESOLVED)
- 3 Please tag the UBO as a PEP due to his close relationship with Bill Clinton and Prince Andrew. Please make sure to add this reasoning as well. (KG - RESOLVED)
- 4 Please confirm if there are any material changes and how the client was contact to confirm this information. You can add a case comment addressing this point. (KG - RESOLVED)
- 5 Please update the expected assets in the account after 90 days/120 days fields as the values entered does not match up with what is in the account today. (KG - RESOLVED)
- 6 Please indicate who the primary contact person is for the RM and their preferred method of contact. (KG - RESOLVED)
- 7 Please re-run research for Erika Kellerhals with her DOB and please indicate her country of citizenship under her KYC profile. ((KG - PARTIAL RESOLVED. See Point "c" above)
- 8 Please provide address verification for Jeffrey Epstein. (KG - PARTIAL RESOLVED. See point "b & f" above)
- 9 Please provide clearance for RDC Alert on Southern Financial LLC. (KG - RESOLVED)

To view the KYC click here

