

Subject: RE: CDD Forms and Prytanee certificate of good standing [C] [I]
From: Bradley Gillin <[REDACTED]>
Date: Tue, 24 Jul 2018 16:32:30 -0400
To: Vijay-A Sawant <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>

Classification: For internal use only

Vijay,

See attached newer version of the FinCEN forms – the correct SS# were updated.

Regards,
Brad

{cid:image001.gif@01D4236B.E5E32B10}

Bradley Gillin
Vice President
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl
New York, NY 10154
Tel. [REDACTED]
Fax ([REDACTED])
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Bradley Gillin
Sent: Monday, July 23, 2018 2:58 PM
To: Vijay-A Sawant <vijay-a.sawant@db.com>
Cc: Stewart Oldfield <[REDACTED]>
Subject: FW: CDD Forms and Prytanee certificate of good standing [C] [I]

Classification: For internal use only

Vijay,

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On Jul 23, 2018, at 1:14 PM, Bradley Gillin [REDACTED] wrote:

Classification: Confidential

Hi Darren,

A FinCEN CDD form is required for Southern Trust Company, Inc. We initially thought the form would not be required for this type of entity– apologies. You are an authorized signer on this account.

Also, to follow up on below, we require of certificate of good standing (within 6 months) for Prytanee.

Thank you.

Regards,
Brad

<image001.gif>

Bradley Gillin
Vice President
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl
New York, NY 10154
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Stewart Oldfield
Sent: Tuesday, July 17, 2018 5:26 PM
To: Darren Indyke <[REDACTED]>
Cc: Richard Kahn <[REDACTED]>; Bradley Gillin
<[REDACTED]>
Subject: RE: CDD Forms and Prytane certificate of good standing

Even better news, there's nothing more that you need to do on the CDD forms. We will take them as is. Sorry for the back and forth.

We do still need the certificate of good standing for Prytanee, however.
Please send when you can.

Thanks

From: Stewart Oldfield
Sent: Monday, July 16, 2018 1:07 PM
To: Darren Indyke <[REDACTED]>
Cc: Richard Kahn <[REDACTED]>; Bradley Gillin
[REDACTED]
Subject: RE: CDD Forms and Prytanee certificate of good standing

Sorry for the inconvenience. These forms are new and have quickly become the bane of my existence. I don't think you need to reexecute. For now, please just reattach what you already sent (also attached to this email) into emails from your address and Jeffrey's. I simply need to receive them from you directly.

Thanks

From: Darren Indyke [mailto:[REDACTED]]
Sent: Monday, July 16, 2018 11:15 AM

To: Stewart Oldfield <[REDACTED]>
Cc: Darren Indyke <[REDACTED]>; Richard Kahn <[REDACTED]>
Subject: Re: CDD Forms and Prytanee certificate of good standing

Stewart,

We sent these to you over a month ago and asked whether you needed originals. We have discarded the hard copies. If they need to be re-executed, please re-send form and we will have them re-executed and notarized.

DARREN K. INDYKE
DARREN K. INDYKE, PLLC
575 Lexington Avenue, 4th Floor
New York, New York 10022
Telephone: [REDACTED]
Telecopier: [REDACTED]
Mobile: [REDACTED]
email: [REDACTED]

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On Jul 16, 2018, at 10:56 AM, Stewart Oldfield <[REDACTED]> wrote:

<doc03237020180606141852.pdf>

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<Fincen CDD

Form_Certification_Regarding_Beneficial_Owners_of_Legal_Entit....pdf>

