

Carl Timcke

From:

Sent:

To:

Subject:

Attachments:

Categories:

Renee Salhi on behalf of PWMUS AMLKYC

Thursday, October 13, 2016 3:40 PM

Cynthia Rodriguez; PWMUS AMLKYC

CLEARED: RDC alert_Jeffrey Epstein and PCR alert_Jeffrey Epstein [I]

Chip Packard Approval - Jeffrey Epstein.pdf; CLEARED_Jeffrey Epstein __RDC

Alert,Jeffrey

Epstein_PCR Aler.pdf

Blue Category

Classification: For internal use only

Hello Cynthia,

AML Compliance has reviewed the one PCR alert and three RDC alerts and

generated for Jeffrey Epstein, Grantor of the

Butterfly Trust, client of the Private Bank.

RDC Alerts:

Alert #1:

The subject of the alert is a positive match the grantor. AML Compliance

previously reviewed the subject matter of this

alert and provided clearance on August 13, 2013. I have attached the

clearance for your reference.

Alert #2:

· The subject of the alert is [REDACTED] who resides in [REDACTED]

· The grantor is Jeffrey Edward Epstein who resides in Saint Thomas, Virgin Islands.

AML Compliance clears this alert from an AML perspective as the middle name and location of the grantor does not

match that of the alert subject.

Alert #3:

· The subject of the alert is [REDACTED] who resides in [REDACTED]

· The grantor is Jeffrey E. Epstein who resides in Saint Thomas, Virgin Islands.

AML Compliance clears this alert from an AML perspective as the middle initial and location of the grantor does not

match that of the alert subject.

Alert subject information:

PCR Alerts:

· The subject of the alert is [REDACTED] who resides in [REDACTED]

· The grantor is Jeffrey E. Epstein who resides in Saint Thomas, Virgin Islands.

AML Compliance clears this alert from an AML perspective as the middle

initial and location of the grantor does not
match that of the alert subject.

Alert subject information:

[REDACTED]
Kind regards,
Renée Salhi

1

Renée Salhi
Associate | AML Compliance Officer
Deutsche Bank Securities Inc.
Private Wealth Management - AML Compliance
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Jacksonville, FL 32256

Tel. [REDACTED]

Email [REDACTED]

Visit us: <http://www.db.com>

Leistung aus Leidenschaft

From: Cynthia Rodriguez

Sent: Thursday, October 13, 2016 10:25 AM

To: PWMUS AMLKYC

Subject: RDC alert_Jeffrey Epstein and PCR alert_Jeffrey Epstein [I]

Dear AML Compliance,

Attached are RDC/PCR alerts for Jeffrey Epstein, a current client at DB. He is grantor in the Butterfly Trust, which we are doing a KYC Update on the new beneficiaries. See case 01650218. The attached alerts reference Mr. Epstein as a sex offender, serving a 21 month sentence for fraud, sexual assault, solicitation of prostitution and paying a settlement total of \$437,00 in disgorgement of trading profits, prejudgement interest and civil penalties . These alerts have previously been cleared with AML compliance. No new alerts have come up. Please provide clearance. Thank you.

Kind regards,

Cynthia Rodriguez

Cynthia Rodriguez

Assistant Vice President

Deutsche Bank Trust Company Americas

Deutsche Asset & Wealth Management

345 Park Avenue, 10154-0004 New York, NY, USA

Tel. [REDACTED]

Fax [REDACTED]

Email [REDACTED]

From: Akash-Kumar Gomesh

Sent: Wednesday, October 12, 2016 5:02 PM

To: Cynthia Rodriguez

2

Cc: PWM BIS-Research; PWMUS AMLKYC

Subject: RDC alert_James R. French, Jeffrey Epstein and PCR alert_Jeffrey Epstein [I]

Classification: For internal use only

Hello,

Attached is the alerts for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:

Forward explanation of whether the alert pertains to your client directly to compliance.

Receive confirmation from compliance that alert can be removed from the queue.

Include copies of all responses along with your KYC.

Kind regards,

Akash-Kumar Gimesh

Akash-Kumar Gimesh

Trainee Analyst | Business Information Search

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3