

Subject: RE: DB preferred deposits and CDs - increased rates as of 3/15/18
[I]
From: Cynthia Rodriguez <[REDACTED]>
Date: Fri, 16 Mar 2018 14:06:27 -0400
To: Stewart Oldfield <[REDACTED]>
Cc: Hildegarde Berrios <[REDACTED]>
Bradley Gillin <[REDACTED]>

Classification: For internal use only

Yes, sir! She is just waiting until the account is linked and she will transfer the funds.

Kind regards,

Cynthia Rodriguez

{cid:image001.png@01D0778D.2D49CDD0}

Cynthia Rodriguez
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl 10154-0004 New York, NY, USA
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

From: Stewart Oldfield
Sent: Friday, March 16, 2018 1:56 PM
To: Cynthia Rodriguez <[REDACTED]>
Cc: Hildegarde Berrios <[REDACTED]>; Bradley Gillin
<[REDACTED]>
Subject: RE: DB preferred deposits and CDs - increased rates as of 3/15/18
[I]

And she will just initiate the transfer directly? Thanks

From: Cynthia Rodriguez
Sent: Friday, March 16, 2018 1:54 PM
To: Stewart Oldfield <[REDACTED]>
Cc: Hildegard Berrios <[REDACTED]>; Bradley Gillin
<[REDACTED]>
Subject: RE: DB preferred deposits and CDs - increased rates as of 3/15/18
[I]

Classification: For internal use only

Hi,

Yes, the account is open. We are waiting for IT to link the account to the profile since they've been battling a technical glitch in our system. I've been in contact with Bella about it and they're aware and keeping an eye out for the account on their profile.. We are all set J

Kind regards,

Cynthia Rodriguez

{cid:image001.png@01D0778D.2D49CDD0}

Cynthia Rodriguez
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl 10154-0004 New York, NY, USA
Tel. [REDACTED]
Fax [REDACTED]

Email [REDACTED]

From: Stewart Oldfield
Sent: Friday, March 16, 2018 1:34 PM
To: Cynthia Rodriguez <[REDACTED]>
Cc: Hildegarde Berrios <[REDACTED]>; Bradley Gillin
<[REDACTED]>
Subject: RE: DB preferred deposits and CDs - increased rates as of 3/15/18

Did this happen? Thanks

From: Cynthia Rodriguez
Sent: Thursday, March 15, 2018 3:52 PM
To: Stewart Oldfield <[REDACTED]>; Richard Kahn
<[REDACTED]>
Cc: Bradley Gillin <[REDACTED]>
Subject: RE: DB preferred deposits and CDs - increased rates as of 3/15/18

Hi Rich,

I am currently waiting on confirmation of account linkage to the online profile. Bella should be able to see the account tomorrow morning to make the transfer. Thanks!

Kind regards,

Cynthia Rodriguez

{cid:image001.png@01D0778D.2D49CDD0}

Cynthia Rodriguez
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl 10154-0004 New York, NY, USA
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

From: Stewart Oldfield
Sent: Thursday, March 15, 2018 3:33 PM
To: Richard Kahn <[REDACTED]>
Cc: Bradley Gillin <[REDACTED]>; Cynthia Rodriguez
<[REDACTED]>
Subject: RE: DB preferred deposits and CDs - increased rates as of 3/15/18

Thanks. That should be done shortly.

From: Richard Kahn [mailto:[REDACTED]]
Sent: Thursday, March 15, 2018 3:31 PM
To: Stewart Oldfield <[REDACTED]>
Subject: Re: DB preferred deposits and CDs - increased rates as of 3/15/18

thank you

once caterpillar account is open we will transfer 14.5-15mm

EFTA01415394

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel [REDACTED]
fax [REDACTED]
cell [REDACTED]

On Mar 15, 2018, at 3:30 PM, Stewart Oldfield <[REDACTED]>
wrote:

Please see below for the latest DB bank account and CD rates.

The overnight interest rate on our Preferred Banking Account has increased to 1.50% for individuals. This rate also applies to sweep deposits into DB AG NY from linked brokerage accounts.

Deutsche Bank AG NY Branch Preferred Certificates of Deposit

Deutsche Bank AG New York Preferred Certificates of Deposit (Deutsche Bank AG Preferred CDs) can offer you a balance between your short-term liquidity needs and long-term strategic objectives.

Deutsche Bank is running a promotional campaign through April 30, 2018 on the 6-month and 3-month DB AG New York Preferred CDs.

Deutsche Bank AG NY Branch USD Client Promotional Rate (not FDIC-insured) and annual percentage yield are accurate as of 3/15/2018.

Term

Interest
Rate

Annual
Percentage
Yield

6-month

2.30%

2.33%

3-month

1.80%

1.82%

Other Available Terms

Deutsche Bank AG NY Branch USD Client Rates (not FDIC-insured) and annual percentage yield are accurate as of 3/15/2018.

Tenor

Interest
Rate

Annual
Percentage
Yield

1-month

1.55%

1.56%

9-month variable rate

1.82%**

1.84%**

12-month

2.50%

2.53%

18-month

2.65%

2.69%

24-month

2.75%

2.79%

The minimum deposit to purchase a Preferred CD and receive the advertised rate is \$250,000 (unless you already maintain funds in another Preferred CD and/or a Preferred Banking Account that are equal to or greater than \$250,000 in the aggregate). A penalty may be imposed for early withdrawals (see Terms and Conditions Statement for details). There is a maximum deposit of \$25 million per client / per term on the Deutsche Bank AG NY Preferred Certificate of Deposit.

**The interest rate may change after the account is opened. The interest rate for the 9-month variable rate Deutsche Bank AG Preferred CD will be

determined on the first business day of each calendar month during the term of the Deutsche Bank AG Preferred CD. The interest rate for each calendar month will be the Federal Funds effective rate for the first business day of the month as published in the Wall Street Journal + a pre-determined basis point spread.

**Please find attached some additional information on our offering, including an overview of Deutsche Bank AG Preferred CDs.

The minimum deposit to purchase a Preferred CD and receive the advertised rate is \$250,000 (unless you already maintain funds in another Preferred CD and/or a Preferred Banking Account that are equal to or greater than \$250,000 in the aggregate). A penalty may be imposed for early withdrawals (see Terms and Conditions Statement for details). There is a maximum deposit of \$25 million per client per term on the Deutsche Bank AG NY Preferred Certificate of Deposit.

Please do not hesitate to contact me with any questions or to discuss further.

Stew

<image003.png>

Stewart Oldfield, CFA, CAIA
Director

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, New York, NY 10154
Tel. [REDACTED]
Mobile [REDACTED]

Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

This communication may contain confidential and/or privileged information. If you are not the intended recipient (or have received this communication in error) please notify the sender immediately and destroy this communication. Any unauthorized copying, disclosure or distribution of the material in this communication is strictly forbidden.

Please refer to <https://db.com/disclosures> for additional EU corporate and regulatory disclosures.

Deutsche Bank does not render legal or tax advice, and the information contained in this communication should not be regarded as such.

<Preferred CD External Daily Pricing Sheet As Of 03152018.pdf><Preferred Banking Account External Rate Sheet 03152018 NEW YORK.PDF><WM178439_DB_AG_NY_PREFERRED_CD_Overview_External_FINAL.PDF>