

Subject: RE: Deposit Return - A/c # [REDACTED] *** Query for pwm return Item [I]

From: Julian J Leff <[REDACTED]>
Date: Thu, 28 Sep 2017 12:12:44 -0400
To: Bradley Gillin <[REDACTED]>, "Pugh, Pamela A" <[REDACTED]>, "Fernandes, Maria I" <[REDACTED]>, "Groover, Karen A" <[REDACTED]>, "Itile, Renel" <[REDACTED]>, "Anna E Del Rosso ([REDACTED])" <[REDACTED]>

Cc: [REDACTED], Divya Rajawat <[REDACTED]>, Stewart Oldfield <[REDACTED]>, William-M Finn <[REDACTED]>, Cynthia Rodriguez <[REDACTED]>, Joy E Mitchell <[REDACTED]>, Gail F Mahabir <[REDACTED]>, CUST INV <[REDACTED]>, Mariela Lopez <[REDACTED]>, Norma A Kenney <[REDACTED]>, Raphael A Marin <[REDACTED]>, Muhammod J Uddin <[REDACTED]>, Evon A Lawrence <[REDACTED]>, Hemant-Kumar Rathore <[REDACTED]>, Erna Menniti <[REDACTED]>, Sara Castro <[REDACTED]>, Theresa Coleman <[REDACTED]>

Classification: For internal use only

Hi Brad,

You can try.

FIS – If a \$450,000 PWM deposit ([REDACTED]) is flagged as a duplicate, please allow it to pass thru.

Thanks,

Julian

Julian Leff
Vice President
DB USA Core Corporation
60 Wall Street, 12th floor
New York, New York 10005
Mailstop NYC60-1208

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From: Bradley Gillin
Sent: Thursday, September 28, 2017 12:06 PM
To: Hemant-Kumar Rathore <[REDACTED]>; Julian J Leff <[REDACTED]>
Cc: [REDACTED]; [REDACTED]; Divya Rajawat <[REDACTED]>; Stewart Oldfield <[REDACTED]>; William-M Finn <[REDACTED]>; Cynthia Rodriguez <[REDACTED]>; Joy E Mitchell <[REDACTED]>; Gail F Mahabir <[REDACTED]>; CUST INV <[REDACTED]>; Mariela Lopez <[REDACTED]>; Norma A Kenney <[REDACTED]>; Raphael A Marin <[REDACTED]>; Muhammod J Uddin <[REDACTED]>; Evon A Lawrence <[REDACTED]>
Subject: RE: Deposit Return - A/c # [REDACTED] *** Query for pwm return Item [I]

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Our client just spoke with Banco Popular in St. Thomas. Banco Popular informed them that they are now up and running.

Can we try to re-deposit the check?

Regards,
Brad

{cid:image001.gif@01D33852.2E5236D0}

Bradley Gillin
Vice President
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl
New York, NY 10154
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Hemant-Kumar Rathore
Sent: Wednesday, September 27, 2017 3:28 PM
To: Julian J Leff <[REDACTED]>; Bradley Gillin
<[REDACTED]>
Cc: [REDACTED]; Divya Rajawat
<[REDACTED]>; Stewart Oldfield <[REDACTED]>; William-
M Finn <[REDACTED]>; Cynthia Rodriguez
<[REDACTED]>; Joy E Mitchell <[REDACTED]>; Gail F
Mahabir <[REDACTED]>; CUST INV <[REDACTED]>; Mariela Lopez
<[REDACTED]>; Norma A Kenney <[REDACTED]>; Raphael A
Marin <[REDACTED]>; Muhammod J Uddin <[REDACTED]>;
Evon A Lawrence <[REDACTED]>
Subject: RE: Deposit Return - A/c # [REDACTED] *** Query for pwm return Item
[I]

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Hi Bradley,

As discussed this Item will be return and we will do debit entry in client's a/c [REDACTED] for same.

Thanks,

With regards,
Hemant-Kumar Rathore

{cid:image003.png@01D2C5BD.84AC7070}

Hemant-Kumar Rathore

DBOI Global Services Private Limited

C00 Wealth Management Operations

A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City -
SEZ, 302029 Jaipur, India

Tel. [REDACTED] - [REDACTED]

Mobile + [REDACTED]

Email [REDACTED]

Please send all the Banking related Queries to our new Group E-mail ID
[REDACTED]

From: Julian J Leff

Sent: Wednesday, September 27, 2017 3:07 PM

To: Bradley Gillin <[REDACTED]>

Cc: 'gtopwmbankingexceptioncheckprocessing@list.db.com'

<[REDACTED]>; Divya Rajawat

<[REDACTED]>; Stewart Oldfield <[REDACTED]>; William-

M Finn <[REDACTED]>; Cynthia Rodriguez

<[REDACTED]>; Joy E Mitchell <[REDACTED]>; Gail F Mahabir <[REDACTED]>; Hemant-Kumar Rathore <[REDACTED]>; [REDACTED] CUST INV <[REDACTED]>; Mariela Lopez <[REDACTED]>; Norma A Kenney <[REDACTED]>; Raphael A Marin <[REDACTED]>; Muhammod J Uddin <[REDACTED]>; Evon A Lawrence <[REDACTED]>
Subject: RE: Deposit Return - A/c # [REDACTED] *** Query for pwm return Item [I]

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Hi Bradley,

PWM can pass the credit and hold the difference in a suspense account, given the uncertainty as to when the check can be deposited again or Mr. Epstein should explore another option for this transaction. I suggest he call Banco Popular to see if they might be able to wire the funds into his PWM account.

Thanks,

Julian

Julian Leff

Vice President

DB USA Core Corporation

60 Wall Street, 12th floor

New York, New York 10005

Mailstop NYC60-1208

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From: Bradley Gillin
Sent: Wednesday, September 27, 2017 2:38 PM
To: Joy E Mitchell <[REDACTED]>; Gail F Mahabir <[REDACTED]>; Hemant-Kumar Rathore <[REDACTED]>; CUST INV <[REDACTED]>; Mariela Lopez <[REDACTED]>; Norma A Kenney <[REDACTED]>; Raphael A Marin <[REDACTED]>; Muhammod J Uddin <[REDACTED]>
Cc: [REDACTED]; [REDACTED]; Divya Rajawat <[REDACTED]>; Stewart Oldfield <[REDACTED]>; William-M Finn <[REDACTED]>; Cynthia Rodriguez <[REDACTED]>; Julian J Leff <[REDACTED]>
Subject: RE: Deposit Return - A/c # [REDACTED] *** Query for pwm return Item [I]

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Hi Joy,

Please confirm that the check can be credit to his DB account, and then revisited at a later date when the islands communications are back online.

Regards,
Brad

{cid:image003.gif@01D3379E.370B8A60}

Bradley Gillin

Vice President
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl
New York, NY 10154
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

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From: Joy E Mitchell
Sent: Wednesday, September 27, 2017 1:50 PM
To: Gail F Mahabir <[REDACTED]>; Hemant-Kumar Rathore <hemant-kumar.rathore@db.com>; CUST INV <[REDACTED]>; Mariela Lopez <[REDACTED]>; Norma A Kenney <[REDACTED]>; Raphael A Marin <[REDACTED]>; Muhammod J Uddin <[REDACTED]>
Cc: [REDACTED]; [REDACTED]; Bradley Gillin <[REDACTED]>; Divya Rajawat <[REDACTED]>; Stewart Oldfield <[REDACTED]>; William-M Finn <[REDACTED]>; Cynthia Rodriguez <[REDACTED]>; Julian J Leff <[REDACTED]>
Subject: RE: Deposit Return - A/c # [REDACTED] *** Query for pwm return Item [I]

Hi,

The check in question is drawn on Bank Of America located in St Thomas, US Virgin Island. This is one of the Islands that is affected by the hurricane and it seems that the check did not make it to the bank for payment. I would suggest that the client be debited and maybe the check re-deposited at a later date.

Kind regards,

Joy Mitchell

Operations Specialist

{cid:1__=0ABBF271DFD49B1A8f9e8a93df9386909@db.com}

DB USA Core Corporation

60 Wall Street 12th Fl.,

New York, NY 10005-2836

USA

Tel. [REDACTED]

Email [REDACTED]

{cid:image005.png@01D3379E.370B8A60}

From: Gail F Mahabir

Sent: Wednesday, September 27, 2017 11:58 AM

To: Hemant-Kumar Rathore <[REDACTED]>; CUST INV
<[REDACTED]>; Mariela Lopez <[REDACTED]>; Norma A Kenney
<[REDACTED]>; Raphael A Marin <[REDACTED]>; Joy E
Mitchell <[REDACTED]>; Muhammod J Uddin <[REDACTED]>
Cc: [REDACTED]
<[REDACTED]>; Bradley Gillin
<[REDACTED]>; Divya Rajawat <[REDACTED]>; Stewart
Oldfield <[REDACTED]>; William-M Finn <[REDACTED]>;
Cynthia Rodriguez <[REDACTED]>
Subject: RE: Deposit Return - A/c # [REDACTED] *** Query for pwm return Item
[I]

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Hemant-Kumar,

The check was returned by Banco Popular for not authorized, you will have to contact Banco Popular for further explanation.

Kind Regards,

Gail F Mahabir

DB USA Core Corporation

Check Support
60 Wall Street

12th Floor

New York, NY 10005

Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

From: Hemant-Kumar Rathore
Sent: Wednesday, September 27, 2017 11:34 AM

To: CUST INV <[REDACTED]>; Mariela Lopez <[REDACTED]>; Norma
A Kenney <[REDACTED]>; Raphael A Marin <[REDACTED]>;
Joy E Mitchell <[REDACTED]>; Muhammod J Uddin
<[REDACTED]>; Gail F Mahabir <[REDACTED]>
Cc: [REDACTED]
<[REDACTED]>; Bradley Gillin
<[REDACTED]>; Divya Rajawat <[REDACTED]>; Stewart
Oldfield <[REDACTED]>; William-M Finn <[REDACTED]>;
Cynthia Rodriguez <[REDACTED]>
Subject: RE: Deposit Return - A/c # [REDACTED] *** Query for pwm return Item
[I]

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Hi Team,

Can you please answer for below question or Bradly which is related with
one item of Deposit return :-

The check shows that Jeffery Epstein, made a check out to himself, from a
Banco popular account to be deposited in an account in his name at DB.

Why would this get returned?

{cid:image003.png@01D33784.91AC1120}

Thanks,

With regards,
Hemant-Kumar Rathore

{cid:image003.png@01D2C5BD.84AC7070}

Hemant-Kumar Rathore

DBOI Global Services Private Limited

COO Wealth Management Operations

A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City -
SEZ, 302029 Jaipur, India

Tel. [REDACTED] - [REDACTED]

Mobile + [REDACTED]

Email [REDACTED]

Please send all the Banking related Queries to our new Group E-mail ID

From: Bradley Gillin

Sent: Wednesday, September 27, 2017 11:26 AM

To: Hemant-Kumar Rathore <[REDACTED]>; Divya Rajawat
<[REDACTED]>; Stewart Oldfield <[REDACTED]>; William-
M Finn <[REDACTED]>; Cynthia Rodriguez <[REDACTED]>

Cc: [REDACTED]
<[REDACTED]>

Subject: RE: Deposit Return - A/c # [REDACTED] [I]

Classification: For internal use only

The check shows that Jeffery Epstein, made a check out to himself, from a Banco popular account to be deposited in an account in his name at DB.

Why would this get returned?

Regards,
Brad

{cid:image001.gif@01D33783.6B0046A0}

Bradley Gillin
Vice President
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl
New York, NY 10154
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

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From: Hemant-Kumar Rathore
Sent: Wednesday, September 27, 2017 11:22 AM
To: Bradley Gillin <[REDACTED]>; Divya Rajawat
<[REDACTED]>; Stewart Oldfield <[REDACTED]>; William-
M Finn <[REDACTED]>; Cynthia Rodriguez <[REDACTED]>
Cc: [REDACTED]
<[REDACTED]>
Subject: RE: Deposit Return - A/c # [REDACTED] [I]

Classification: For internal use only

Hi Bradley,

Refer to maker reason shows that Beneficiary directly need to reach out to originator for more clarification.

From: Bradley Gillin [mailto:[REDACTED]]
Sent: Wednesday, September 27, 2017 11:09 AM
To: Divya Rajawat <[REDACTED]>; Stewart Oldfield

<[REDACTED]>; William-M Finn <[REDACTED]>; Cynthia Rodriguez <[REDACTED]>
Cc: [REDACTED]
<[REDACTED]>
Subject: RE: Deposit Return - A/c # [REDACTED] [I]

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Please clarify what 'Refer to Maker' means.

Regards,
Brad

{cid:image001.gif@01D33781.1212D7D0}

Bradley Gillin
Vice President
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl
New York, NY 10154
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

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From: Divya Rajawat
Sent: Wednesday, September 27, 2017 11:06 AM
To: Stewart Oldfield <[REDACTED]>; William-M Finn <william-m.finn@db.com>; Bradley Gillin <[REDACTED]>; Cynthia Rodriguez <[REDACTED]>
Cc: [REDACTED]
<[REDACTED]>
Subject: Deposit Return - A/c # [REDACTED]

Hi Team,

Check # 001012 for \$ 450,000.00 has been deposited to A/c – [REDACTED] but returned with reason as remitter's account in 'REFER TO MAKER' status.

As the return reason REFER TO MAKER , then the item will be return only.

Copy of checks attached above.

Thanks,

With regards,
Divya Rajawat

{cid:image003.png@01D2C5BD.84AC7070}

Divya Rajawat

DBOI Global Services Private Limited

C00 Wealth Management Operations

A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City -
SEZ, 302029 Jaipur, India

Tel. [REDACTED] - [REDACTED]

Email [REDACTED]

Please send all the Banking related Queries to our new Group E-mail ID
[REDACTED]

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