

Subject: Your KYC has been rejected
From: Kshitij Golani <[REDACTED]>
Date: Thu, 04 Oct 2018 15:47:39 -0400
To: [REDACTED]

Your KYC has been rejected.

Case #: [REDACTED]
Relationship: EPSTEIN, JEFFREY RELATIONSHIP
Customer Name: Epstein, Jeffrey E.
Customer Number: [REDACTED]
Reason for Rejection:1) Please provide documentation that evidences signing authority for - Southern Trust Company Inc - N4G023812 – DBSI. (KG – UNRESOLVED. After reviewing this account in NetEx, it seems that Paul S Barrett is was added as an LPOA. Please add him and provide the appropriate research etc.)
2) Please provide proof of address for Zorro Management LLC? (KG – UNRESOLVED. Please provide independent proof)
3) The research for Zorro Management LLC has a completely different entity under RDC. Please re-run. (KG – RESOLVED)
4) Please address the “Gerber Et Al V. The Financial Trust Company Et Al” lawsuit under Jeffrey Epsteins research. (KG – UNRESOLVED. As per the email from Kim Hart, the conditional approval was based on “so long as nothing further is identified through KYC and AML client adoptions”. The below is what this lawsuit is about. Please have the business escalate to Kim Hart to see if this poses any further risk and may need to be captured:
Investors Claim Jeffrey Epstein Built \$473M Ponzi Scheme: Shareholders filed a putative class action against financier, billionaire and convicted sex offender Jeffrey Epstein in New York federal court on Tuesday for his alleged role in developing and executing a \$473 million Ponzi scheme for which Steven Hoffenberg was convicted in 1997.
5) The Board of Directors of Southern Trust Company Inc is Jeffrey, Darren and Richard and the affairs of the company is managed by the directors. Thus, making Richard a legal rep. Please add him as a related party and provide the appropriate research, Ids etc. (KG – RESOLVED)

To view the KYC click here <https://dbforcepb.my.salesforce.com/-5000e00001NOB0w>