

Subject: RE: FinCEN CDD Form - Epstein/SOUTHERN FINANCIAL RELATIONSHIP [C]
From: Vijay-A Sawant <vijay.a.sawant@gsf.com>
Date: Mon, 23 Jul 2018 02:25:28 -0400
To: Bradley Gillin <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>

Hello Brad,

Many thanks for the docs. I will review each of the form and advise in due course.

However, upon a cursory glance, I noticed that not all of the accounts are covered for CDD forms in the attachment sent. Please see list of ALL accounts due for remediation this year below, from this relationship. The CDD form is missing for entity highlighted in yellow below. Kindly provide the same, when available, thanks.

Darren K. Indyke PLLC

Southern Trust Company, Inc.

Zorro Management, LLC

J. Epstein Virgin Islands Foundation, Inc

Hyperion Air, LLC

JEGE, LLC

Neptune, LLC

Gratitude America Ltd

Mort, Inc

LSJE, LLC

Southern Financial LLC

NES, LLC

Plan D, LLC

JEGE, Inc

Jeepers, Inc

Prytanee, LLC

Kind Regards,

{cid:1__=C7BBF707DF9B04F18f9e8a93df9386909@db.com}

Vijay Sawant

KYC Case Representative

Deutsche CIB Centre Private Limited - Deutsche Bank Group

Wealth Management

B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway

Goregaon East, Mumbai - 400063 , India

From: Bradley Gillin

Sent: Friday, July 20, 2018 8:47 PM

To: Vijay-A Sawant <[REDACTED]>

Cc: Stewart Oldfield <[REDACTED]>

Subject: FinCEN CDD Form - Epstein/SOUTHERN FINANCIAL RELATIONSHIP [C]

Importance: High

Classification: Confidential

Vijay,

See forms attached – all have been signature verified. This is for all of their accounts. Thank you.

Regards,
Brad

Bradley Gillin
Vice President
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl
New York, NY 10154



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