

Subject: RE: DB tech conference - Sep. 12/13 Las Vegas [I]
From: Nina-X Nevidzanska <[REDACTED]>
Date: Wed, 01 Aug 2018 11:09:54 -0400
To: Stewart Oldfield <[REDACTED]>
Cc: Alison Sinicropi <[REDACTED]>,
Terri Sohrab <[REDACTED]>,
Bradley Gillin <[REDACTED]>

Stew, please see attached forms for Niki and Kurosh to sign.

I'll need Niki's email for setting up her registrant's profile in the system. Kurosh is already registered.

Let me know if anything else.

Thank you

Nina

From: Stewart Oldfield
Sent: Wednesday, August 01, 2018 10:27 AM
To: Nina-X Nevidzanska <[REDACTED]>
Cc: Alison Sinicropi <[REDACTED]>; Terri Sohrab <[REDACTED]>; Bradley Gillin <[REDACTED]>
Subject: RE: DB tech conference - Sep. 12/13 Las Vegas [I]

This form still has footnotes with questions for compliance. Please clean up and send executable versions for Niki (and Kurosh if you think you will need him to sign as well).

Thanks

From: Nina-X Nevidzanska
Sent: Tuesday, July 31, 2018 10:44 AM

To: Stewart Oldfield <[REDACTED]>
Cc: Alison Sinicropi <[REDACTED]>
Subject: RE: DB tech conference - Sep. 12/13 Las Vegas [I]

Hi Stew,

Looks like the new process for prospects registration is the same for all the conferences going forward.

Could you please have Mr. Tehranchian and Niki Rokni to sign the Attendee Acknowledgment form and send it back to me?

I will also need their e-mail addresses so that the Corporate Access team can create their profiles in the registration system upon which they will be able to register (Kurosh for Tech conference and Niki for the Aircraft Financing).

Thank you

Nina

Kind regards

{<https://brandportal.intranet.db.com/img/modules/logo.gif>}

Nina Nevidzanska

Deutsche Bank

EFTA01415673

Corporate Finance Partnership - Americas

60 Wall Street, New York, NY 10005

Tel: [REDACTED]

E-mail: [REDACTED]

From: Stewart Oldfield
Sent: Monday, July 30, 2018 3:55 PM
To: Nina-X Nevidzanska <[REDACTED]>
Cc: Alison Sinicropi <[REDACTED]>
Subject: RE: DB tech conference - Sep. 12/13 Las Vegas [I]

prospect

From: Nina-X Nevidzanska
Sent: Monday, July 30, 2018 3:54 PM
To: Stewart Oldfield <[REDACTED]>
Cc: Alison Sinicropi <[REDACTED]>
Subject: RE: DB tech conference - Sep. 12/13 Las Vegas [I]

Thank you, Stew.

Is Kurosh Tehranchian a client or prospect? Checking with the events team if he is registered.

Will get back to you re Aircraft Financing Conference as well.

Nina

From: Stewart Oldfield
Sent: Monday, July 30, 2018 3:47 PM
To: Nina-X Nevidzanska <[REDACTED]>
Cc: Alison Sinicropi <[REDACTED]>
Subject: RE: DB tech conference - Sep. 12/13 Las Vegas [I]

I have invited the people listed below. Only a few are likely to attend. Shouldn't be a problem getting the doc filled out. Please call when you'd like to discuss. Thanks

Kurosh Tehranchian (relationship of Terri). I know he registered (or tried to). Perhaps you can check

Steve Warner – CIO of Tisch, doubt he will attend

Robert Forsythe and Paul Watson – Third Lake. Robert attended last year. Not sure whether either plans to go this year

Greg Martin – Shamrock. Don't think he's planning to go

Roger Bulloch – Brightstar Capital

Jim Burritt – Pacific Life

Milo Gonzalez and Gosha Zandt – Henry Nicholas family office

Katherine Montrone – Liberty Lane Services

Paul Barrett – Southern Financial

Chuck Chai – Hillspire

Amber Caska – Portfolia

Gregory Ruben – Elysium (Leon Black family office)

Rob Cain and Tim Sarhatt – CFM, won't attend

Ali Rashid – cannot attend

Ray Tonsing

Rahul Vaid – Crestline. Attended last year

Lara George and Mike Niccolina – MCM. Cannot attend

Reaz Iaslam – cannot attend

Also, I have someone who would like to attend the Aircraft Financing and Leasing conference in NY – Niki Rokni (please see link below)

<http://www.axonaviation.com/company/our-team>

From: Nina-X Nevidzanska
Sent: Friday, July 27, 2018 11:07 AM
To: Stewart Oldfield <[REDACTED]>
Cc: Alison Sinicropi <[REDACTED]>
Subject: FW: DB tech conference - Sep. 12/13 Las Vegas [I]

Hi Stew,

Alison and I are again centralizing the list of all WM guests going to the Tech conference. I see you invited Alan Resnikoff from Shamrock. Anyone else?

Please note that there are a few important changes to the registration this year:

- With the enforced KYC processes in place, going forward, the prospective Clients/non-onboarded guests, will have to attest to the attached waiver. This isn't applicable for on-boarded clients.

- Alison Sinicropi and I will be happy to help with the actual online registration with coordination with the Events team, as guests who aren't on-boarded in registration system won't be able to register freely.

Also please note that special programming/social evening events are still

TBD and would be only open to the select guests. We will keep you posted as they shape out.

Thanks a lot

Nina

Kind regards

{<https://brandportal.intranet.db.com/img/modules/logo.gif>}

Nina Nevidzanska

Deutsche Bank

Corporate Finance Partnership - Americas

60 Wall Street, New York, NY 10005

Tel: [REDACTED]

E-mail: [REDACTED]

From: Edward Carlstrom

Sent: Tuesday, July 24, 2018 2:32 PM

To: Stewart Oldfield <[REDACTED]>

Cc: Harris Winters <[REDACTED]>

Subject: RE: DB tech conference - Sep. 12/13 Las Vegas [I]

Stew – you can send this one out. It's approved to use with clients.

From: Stewart Oldfield
Sent: Monday, July 23, 2018 1:15 PM
To: Edward Carlstrom <[REDACTED]>
Cc: Harris Winters <[REDACTED]>
Subject: RE: DB tech conference - Sep. 12/13 Las Vegas [I]

Nice, thanks. Let me know when there's something I can send, even if only an indicative list.

Thanks

From: Edward Carlstrom
Sent: Monday, July 23, 2018 2:24 PM
To: Stewart Oldfield <[REDACTED]>
Cc: Harris Winters <[REDACTED]>
Subject: RE: DB tech conference - Sep. 12/13 Las Vegas [I]

Classification: For internal use only

I don't think this is cleared to send to clients yet, as its still shifting, but attached is the most recent draft agenda I've seen. Most of the private company track is filled out – take a look at the right most column.

Edward A. Carlstrom
Director, Deutsche Bank Securities Inc.
Mobile +1 [REDACTED]
Email [REDACTED]

From: Stewart Oldfield
Sent: Monday, July 23, 2018 10:32 AM
To: Edward Carlstrom <[REDACTED]>
Cc: Harris Winters <[REDACTED]>

Subject: FW: DB tech conference - Sep. 12/13 Las Vegas

When will have the private companies confirmed?

From: Alan Resnikoff [mailto:AResnikoff@shamrockcap.com]
Sent: Monday, July 23, 2018 1:28 PM
To: Stewart Oldfield <[REDACTED]>
Subject: RE: DB tech conference - Sep. 12/13 Las Vegas

Thanks Stewart. Would be great to know more about the private companies that are attending.

Alan Resnikoff | Partner

Shamrock Capital

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Los Angeles, CA 90024

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aresnikoff@shamrockcap.com

www.shamrockcap.com

Joanna Nelson | Executive Assistant

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jnelson@shamrockcap.com

From: Stewart Oldfield <[REDACTED]>
Sent: Monday, July 23, 2018 8:54 AM
To: Alan Resnikoff <AResnikoff@shamrockcap.com>
Subject: DB tech conference - Sep. 12/13 Las Vegas

Alan,

Registration for our September tech conference is now open using the link below. I hope all is well and look forward to catching up soon.

Stew

<https://conferences.db.com/americas/tech18>

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Stewart Oldfield, CFA, CAIA
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