

Subject: RE: Next Steps: Invitation for Frieze Preview [I]
From: Stewart Oldfield <[REDACTED]>
Date: Wed, 11 Jul 2018 10:23:37 -0400
To: Sarah Rafferty [REDACTED]

There's a typo in the invite text, fyi.

Below is the invitation which includes a registration link if you would like to reserve tickets

From: Sarah Rafferty
Sent: Tuesday, July 10, 2018 12:38 PM
To: Stewart Oldfield <[REDACTED]>
Subject: Next Steps: Invitation for Frieze Preview [I]

Classification: For internal use only

Hi Stewart, below is the invite for the Preview for you to forward to your nominated clients listed below:

James

Burritt

Ali

Rashid

Jamshid

Keynejad

Leon

Black

Michael

Bloomberg

Andrew

Feldstein

Rick

Caruso

Kelly

Masuda

Todd

Meister

Greg

Martin

Stan

Gold

Barry

Bloom

Ken

Jones

Paul

Watson

Robert

Forsythe

Todd

Wanek

Jeffrey

Epstein

Paul

Barrett

Ian

Slome

Katherine

Montrone

Raj

Pundarika

Todd

Kristol

Jon

Sobel

Heather

Crosby

Tom

Danziger

Rudy

Sahay

Patrick

McGinnis

As a reminder, compliance instructions are below.

Compliance

As long as you are not aware of any potential issues and the client meets the below criteria, you can enter them in compliance direct only if they confirm attendance. Questions or concerns should be directed to the ABC group.

Criteria:

- Invitees should be limited to the wealth management client (or prospect) and/or their family members
- No sensitive counterparts (including public officials, regulatory agencies, etc.)
- DB payment of client travel & accommodation is not permitted
- Pending business at the time of invite is not permitted (i.e. specific discussions pertaining to investment type and amount have occurred and decision or action is pending with client, or discussion on moving assets from bank x to DB).
- Prospective business is ok – general relationship building.

If they confirm, please use the following link to do this: [https://-www.concursolutions.com/Expense/Request/default.asp](https://www.concursolutions.com/Expense/Request/default.asp)

· The costs associated with this ticket type are: 11am Preview – \$376.90

· Enter in comments section - Parent ID: 226591 (enter in comments section)

Invitation (cut and paste/forward the below):

We hope you will be available to join us for the Preview of Frieze London. Below is the invitation which includes a registration link if you would like to reserve tickets. If you have any questions, please let me know.

{/Users/swaiale/Desktop/DBWM/Projects/DBWM - Frieze 2018 London/FINAL/-FINAL_US/PDFs/FRIEZE_London_US_Preview.pdf}