

Subject: Trade Details --> 06/01/2018 [C]
From: Liam Osullivan [REDACTED]
Date: Fri, 01 Jun 2018 16:19:09 -0400
To: Paul Barrett <[REDACTED]>,
Richard Kahn <[REDACTED]>
Cc: Davide-A Sferrazza [REDACTED]
Joshua Shoshan <[REDACTED]>,
Xavier Avila <[REDACTED]>,
Martin Zeman <[REDACTED]>,
Stewart Oldfield <[REDACTED]>

Classification: Confidential

Paul,

In Haze:

You sold 15,995 shares of C 5.8% Ser C Pref at 25.3086. The account is long 22,163 shares.

In SFLLC:

You bought 1mm DB 4.296 05/24/28 at 91.777

You bought 1mm DB 4.875 12/01/32 at 86.825

Southern Financial LLC, LLC

Client buys curve cap

Notional: USD 45,000,000

Expiry: June 1, 2020 (2y)

Settlement: June 3, 2020 (Expiry + 2bd)

Strike: 0.10%

Underlying: (10y swap – 2y swap)

Premium: Client pays DB \$96,750 (mid=87,750) for value June 5, 2018.

Thank you,

Liam

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Liam O'Sullivan
AVP | Key Client Partners - US

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