

Subject: FW: RDC Alert Richard Kahn [I]
From: PWMUS AMLKYC [REDACTED]
Date: Wed, 05 Jul 2017 11:27:23 -0400
To: Kshitij Golani [REDACTED]

Classification: For internal use only

Hi K.G.,

Want to see if you can do these 2 alerts for Richard Kahn? I took a quick glance at the prior clearance from Cynthia. Not sure if it applies to the alerts. Let me know if you have any questions.

Regards,

Carl Timcke

{cid:1__=0ABBF490DFF5ECF48f9e8a93df9386909@db.com}

Carl Timcke
Associate | AML Compliance Officer

DB USA Core Corporation
Regulation, Compliance and Anti-Financial Crime

Anti-Financial Crime

5201 Gate Parkway, 2nd floor
Jacksonville, Florida 32256. USA
[REDACTED]

{cid:image002.png@01D16A61.6AA97F00}

From: Cynthia Rodriguez
Sent: Friday, June 30, 2017 10:03 AM
To: PWMUS AMLKYC [REDACTED]
Subject: RDC Alert Richard Kahn

Dear AML Compliance,

Attached is an RDC alert for Richard Kahn, appearing on the NY stock exchange disciplinary actions. I believe this alert has previously been cleared. Please see attached clearance and provide updated clearance. Thank you.

Kind regards,

Cynthia Rodriguez

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Cynthia Rodriguez
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl 10154-0004 New York, NY, USA
[REDACTED]