

Subject: RE: PRIIPs Client Notification [I]  
From: Gbenga Omosuyi <[REDACTED]>  
Date: Mon, 18 Dec 2017 11:58:48 -0500  
To: Sylvia Escobar <[REDACTED]>  
Anna-Sofiya Lupolover <[REDACTED]>,  
Cynthia Rodriguez <[REDACTED]>  
Cc: Stewart Oldfield <[REDACTED]>,  
Olivier Poncet <olivier.poncet@db.com>

Sure thing – keep in mind ISG validation varies from the Banker CA validation... thanks!

From: Sylvia Escobar  
Sent: Monday, December 18, 2017 11:58 AM  
To: Gbenga Omosuyi <[REDACTED]>; Anna-Sofiya Lupolover <[REDACTED]>; Cynthia Rodriguez <[REDACTED]>  
Cc: Stewart Oldfield <[REDACTED]>; Olivier Poncet <[REDACTED]>  
Subject: RE: PRIIPs Client Notification [I]

Hi Gbenga,

Could you please send me the final account population once you are done with your validation?

Thanks

Sylvia

{<https://brandportal.intranet.db.com/img/modules/logo.gif>}

Sylvia Escobar  
Vice President

Deutsche Bank Securities Inc.  
Wealth Management-Americas  
1 South Street, 21202-3298 Baltimore, MD, USA  
[REDACTED]

From: Gbenga Omosuyi  
Sent: Monday, December 18, 2017 11:37 AM  
To: Anna-Sofiya Lupolover <[REDACTED]>; Cynthia Rodriguez  
<[REDACTED]>; Sylvia Escobar <[REDACTED]>  
Cc: Stewart Oldfield <[REDACTED]>; Olivier Poncet  
<[REDACTED]>  
Subject: RE: PRIIPs Client Notification [I]

Thanks Anna-Sofiya, Cynthia.

Sylvia – kindly see below note re: N4G024943 and attached supporting doc.  
Can we please remove the client from the PRIIPs notification list?

(FYI - there may be a few more of these “non-EEA domiciled” confirmations coming down...)

Many thanks!

Best,

Gbenga

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{[https://brandportal.intranet.db.com/img/modules/-DAWM\\_logo\\_metallic\\_46x46.gif](https://brandportal.intranet.db.com/img/modules/-DAWM_logo_metallic_46x46.gif)}

Gbenga Omosuyi

Business Manager | GPS - Investment Solutions Group  
Deutsche Bank Securities Inc. | Deutsche Bank Wealth Management  
345 Park Avenue, 24th Floor, New York, NY 10154-0004

[REDACTED]

From: Anna-Sofiya Lupolover  
Sent: Monday, December 18, 2017 11:26 AM  
To: Gbenga Omosuyi <[REDACTED]>  
Cc: Cynthia Rodriguez <[REDACTED]>; Stewart Oldfield  
<[REDACTED]>  
Subject: FW: PRIIPs Client Notification [I]

Classification: For internal use only

FYI. Please see below.

Kind Regards,

Anna-Sofiya

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{cid:3\_\_=0ABBF755DFDBA10A8f9e8a93df9386909@db.com}

Anna-Sofiya Lupolover

Assistant Vice President

Deutsche Bank Trust Company Americas  
Deutsche Bank Wealth Management  
345 Park Avenue, New York, NY 10154

[REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Cynthia Rodriguez  
Sent: Monday, December 18, 2017 11:22 AM  
To: Anna-Sofiya Lupolover <anna-sofiya.lupolover@db.com>; Stewart Oldfield

<[REDACTED]>  
Cc: Bradley Gillin <[REDACTED]>  
Subject: RE: PRIIPs Client Notification [I]

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Hi Anna,

This entity is a trust set up by Jeffrey Epstein, residing in USVI. This trust is regulated by the laws of the state of NY, and has never been domiciled in Italy. Attached is the trust agreement as support. Please let us know if you need anything else to support this. Thank you so much.

Kind regards,

Cynthia Rodriguez

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{cid:image001.png@01D0778D.2D49CDD0}

Cynthia Rodriguez  
Assistant Vice President

Deutsche Bank Trust Company Americas  
Deutsche Bank Wealth Management  
345 Park Avenue, 24th Fl 10154-0004 New York, NY, USA



From: Anna-Sofiya Lupolover  
Sent: Monday, December 18, 2017 10:53 AM  
To: Stewart Oldfield <[REDACTED]>

Cc: Cynthia Rodriguez [REDACTED]; Bradley Gillin

Subject: PRIIPs Client Notification [I]

Classification: For internal use only

Hello,

Below is list of clients identified by the WM Regulatory team as EEA clients, hence in scope of the Packaged Retail Investment Product and Insurance-based Investment Product (PRIIPs) Regulation.

Clients will be informed of the PRIIPs regulation, which goes live on January 3rd 2018 via the attached (draft) letter by mail, (presumably) this week. The letter will be mailed centrally based on a list compiled by Brokerage.

If you believe that your client is not EEA domiciled, please let me know ASAP.

Let me know if you have any questions. Thank you.

Account #

Customer EEA Country

Customer Owner

Account Address Line 1

N4G024943

Italy

Stewart Oldfield

THE HAZE TRUST

Kind Regards,

Anna-Sofiya

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{cid:3\_\_=0ABBF755DFDBA10A8f9e8a93df9386909@db.com}

Anna-Sofiya Lupolover

Assistant Vice President

Deutsche Bank Trust Company Americas  
Deutsche Bank Wealth Management  
345 Park Avenue, New York, NY 10154

Securities offered through Deutsche Bank Securities Inc.