

Subject: RE: Boods to sell [C]  
From: Liam Osullivan <[REDACTED]>  
Date: Mon, 11 Feb 2019 14:10:51 -0500  
To: Richard Kahn <[REDACTED]>, "Darren Indyke ([REDACTED])" <[REDACTED]>  
Cc: Jeanne Brennan <[REDACTED]>, Stewart Oldfield <[REDACTED]>, Martin Zeman <[REDACTED]>, Davide-A Sferrazza <[REDACTED]>

Classification: Confidential

Darren and Rich,

So far we have sold 3 of the bonds. We are still working on the remaining 2 positions.

TRADE DATE

STLMNT DATE

BUY/SELL

QUANTITY

SEC. DESCRIPTION

CUSIP

PRICE

PRINCIPAL

INTEREST

FEES

TRANS. CCY

NET AMT.  
(TRANS. CCY)

2/11/2019

2/13/2019

SELL

-1,000,000.0000

DEUTSCHE BANK AG NTS ISIN#US251525AM33 4.296% 05/24/28 B/E DTD 05/24/13 CLB

251525AM3

87.84800000

878,480.00

-9,427.33

6.00

USD

887,901.33

2/11/2019

2/13/2019

SELL

-1,000,000.0000

DEUTSCHE BK AG N Y BRH VAR ISIN#US251526BN89 4.875% 12/01/32 B/E DTD  
12/01/17 CLB

251526BN8

81.35000000

813,500.00

-9,750.00

6.00

USD

823,244.00

TRADE DATE

STLMNT DATE

BUY/SELL

QUANTITY

SEC. DESCRIPTION

CUSIP

PRICE

PRINCIPAL

INTEREST

FEES

TRANS. CCY

NET AMT.  
(TRANS. CCY)

2/11/2019

2/13/2019

SELL

-1,000,000.0000

BUCKEYE PARTNERS L P FIXED TO FLTG RATE NT 6.375% 01/22/78 B/E DTD 01/22/18  
CLB

118230AS0

85.75000000

857,500.00

-3,718.75

6.00

USD

861,212.75

Prepared on 02/11/2019 Source: Pershing NetX 360

Unofficial trade confirmations use information from sources that the firm believes to be reliable, but Deutsche Bank Securities Inc. cannot guarantee the accuracy of this information, or the reliability of these sources. Please refer to your official account statements and confirmations for all trade information

Also, the following positions were transferred from the SFLLC account to TD Ameritrade today:

5,000 BABA

3,000 GOOG

1,000 AMZN

2,095 BIIB

25,000 CVNA

25,000 KPTI

15,000 UTX

Thank you,

Liam

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{<https://brandportal.intranet.db.com/img/modules/logogrey.gif>}

Liam O'Sullivan  
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DB Securities Inc  
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From: Liam Osullivan  
Sent: Monday, February 11, 2019 11:02 AM  
To: 'Richard Kahn' <[REDACTED]>  
Cc: Jeanne Brennan <[REDACTED]>; Stewart Oldfield <[REDACTED]>; Martin Zeman <[REDACTED]>; Davide-A Sferrazza <[REDACTED]>  
Subject: RE: Boods to sell [C]

Classification: Confidential

Rich,

The indicative bids are:

BPL 6 $\frac{3}{8}$ 01/22/78	118230AS0	1mm	85.75
DB 4 $\frac{7}{8}$ 12/01/32	251526BN8	1mm	81.35
DB 4.296 05/24/28	251525AM3	1mm	86.70
GE 4 PERP	369604BM4	2,469m	68.50
EIX 6 $\frac{1}{4}$ PERP	842400FU2	3mm	93.50

Thank you,

Liam

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From: Richard Kahn [mailto:████████████████████]  
Sent: Monday, February 11, 2019 9:43 AM  
To: Liam Osullivan <████████████████████>  
Cc: Jeanne Brennan <████████████████████>; Stewart Oldfield  
<████████████████████>  
Subject: Boods to sell

can you please obtain pricing to sell these positions..  
thank you

Southern Trust Co. - Fixed Income (DB)

Buckeye Partners Fixed to Float 6.375% Callable 1/22/23

1,000,000

Southern Financial LLC - Fixed Income (DB)

Deutsche Bank AG 4.875% exp 12/1/2032

1,000,000

Deutsche Bank AG 4.296% exp 5/24/2028

1,000,000

General Electric Co GTD Fixed to Float 4.0% Dec 15 2065

2,469,000

Southern Cal Edison 6.25% Dec 31 2049

3,000,000

Richard Kahn  
HBRK Associates Inc.  
575 Lexington Avenue 4th Floor  
New York, NY 10022

