

CLEARED\_Jeffrey Epstein \_\_RDC Alert,Jeffrey Epstein\_\_PCR Alert [I]

PWMUS AMLKYC to: Amanda Kirby

Sent by: Andrew Kisz

Cc:

History:

Classification: For internal use only

Hi Amanda,

AML Compliance has reviewed the RDC and PCR alerts dated 5/28/2013 pertaining to our prospective client, Jeffrey Epstein. The RDC Alerts are positive matches for our prospect as it pertains to negative

information based on reputation. However, AML Compliance clears these alerts based on the attached email approval from Chip Packard with the support of senior management on an AML reputational and legal perspective to onboard the prospective client, Jeffrey Epstein.

The two PCR alerts involve a Jeffrey S Epstein that lives in Massachusetts. Our prospective client has

as a different middle name, Edward, and resides in New York and Florida. Accordingly, AML Compliance CLEARS these PCR alerts as false positives.

Best regards,

Andrew J. Kisz

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PWMUS AMLKYC, Jacqueline Lightbody

This message has been forwarded.

08/13/2013 05:25 PM

Andrew J. Kisz

Associate | AML Compliance Officer

DB Services New Jersey, Inc.

Asset & Wealth Management

5022 Gate Parkway, Suite 400, 32256 Jacksonville, USA

[REDACTED]

Amanda Kirby

From:

To:

Cc:

Date:

Subject:

Classification: For internal use only Hi, I realize...

[REDACTED]

08/12/2013 05:42 PM

Fw: Jeffrey Epstein \_\_RDC Alert,Jeffrey Epstein\_\_PCR Alert [I]

Classification: For internal use only

Hi,

08/12/2013 05:42:37 PM

I realized I never heard back for the below clearance approval. Please let me know as soon as possible

as we are now moving ahead with this client and would like to open accounts ASAP.

Thank you,

Amanda

----- Forwarded by [REDACTED] on 08/12/2013 05:38 PM -----From:

[REDACTED]

To:

Date:

Subject:

PWMUS AMLKYC/db/dbcom@DBAmericas,

06/12/2013 10:54 AM

Fw: Jeffrey Epstein \_\_RDC Alert,Jeffrey Epstein\_\_PCR Alert [I]

Classification: For internal use only

Hi,

Please see RDC Alerts below:

RDC Alert #1:

- This is our client. The accounts which we will be opening will not be in Jeffrey Epstein's name. They will be in the name of 2 companies he controls and should not pose a reputational risk

- Also attached is an email from Chip Packard saying that he does not believe that there is any reputational risk in taking this client on.

PCR Alert #1 and #2:

- Not our client. Our client is Jeffrey E Epstein and these alerts pertain to Jeffrey S Epstein.

Please approve clearance

Kind regards,

Amanda Kirby

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Amanda Kirby

Associate

Deutsche Asset & Wealth Management

345 Park Avenue, 10154-0004 New York, NY, USA

[REDACTED]

Securities offered through Deutsche Bank Securities Inc.

----- Forwarded by Amanda Kirby/db/dbcom on 06/12/2013 10:52 AM -----From:

PWM

BIS-Research/db/dbcom@DBAPAC

To:  
Cc:

Date:  
Subject:  
05/29/2013 11:43 AM

Jeffrey Epstein \_\_RDC Alert, Jeffrey Epstein\_\_PCR Alert [I]  
Classification: For internal use only

Hi Amanda,

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:

Forward explanation of whether the alert pertains to your client directly to compliance.

Receive confirmation from compliance that alert can be removed from the queue.

Include copies of all responses along with your KYC.

Jeffrey Epstein \_\_RDC Alert

Jeffrey Epstein \_\_Jeffrey Epstein \_\_RDC Alert.pdf Jeffrey Epstein \_\_RDC Aler 1.pdf Chip approval

pdf Jeffrey Epstein \_\_RDC Alertt..1.pdf Chip approval.pdf

Jeffrey Epstein\_\_PCR Alert

Jeffrey Epstein\_\_PCR Alert.pdf Jeffrey Epstein \_\_PCR Alert 2.pdf Jeffrey Epstein\_\_PCR Alert.1.pdf

Thanks

Ashish

pdf Jeffrey Epstein \_\_PCR Alert 2.pdf Jeffrey Epstein\_\_PCR Alert.1.pdf